

Separation in Generic Extensions

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theory *Pointed-DC* **imports** *ZF.AC*

begin

This proof of DC is from Moschovakis ”Notes on Set Theory”

consts *dc-witness* :: $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$

primrec

wit0 : $dc-witness(0, A, a, s, R) = a$

witrec : $dc-witness(succ(n), A, a, s, R) = s'\{x \in A. \langle dc-witness(n, A, a, s, R), x \rangle \in R\}$

lemma *witness-into-A* [TC]: $a \in A \Longrightarrow n \in nat \Longrightarrow$
 $(\forall X . X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \Longrightarrow$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \Longrightarrow$
 $dc-witness(n, A, a, s, R) \in A$

apply (*induct-tac n ,simp+*)

apply (*drule-tac x=dc-witness(x, A, a, s, R) in bspec, assumption*)

apply (*drule-tac x={xa \in A . \langle dc-witness(x, A, a, s, R), xa \rangle \in R} in spec*)

apply *auto*

done

lemma *witness-related* : $a \in A \Longrightarrow n \in nat \Longrightarrow$
 $(\forall X . X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \Longrightarrow$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \Longrightarrow$
 $\langle dc-witness(n, A, a, s, R), dc-witness(succ(n), A, a, s,$
 $R) \rangle \in R$

apply (*frule-tac n=n and s=s and R=R in witness-into-A, assumption+*)

apply (*drule-tac x=dc-witness(n, A, a, s, R) in bspec, assumption*)

apply (*drule-tac x={x \in A . \langle dc-witness(n, A, a, s, R), x \rangle \in R} in spec*)

apply (*simp, blast*)

done

lemma *witness-funtype*: $a \in A \Longrightarrow$
 $(\forall X . X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \Longrightarrow$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \Longrightarrow$
 $(\lambda n \in nat. dc-witness(n, A, a, s, R)) \in nat \rightarrow A$

apply (*rule-tac B={dc-witness(n, A, a, s, R). n \in nat} in fun-weaken-type*)

apply (*rule lam-funtype*)
apply (*blast intro:witness-into-A*)
done

lemma *witness-to-fun*: $a \in A \implies (\forall X . X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \implies$
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \implies$
 $\exists f \in \text{nat} \rightarrow A. \forall n \in \text{nat}. f'n = \text{dc-witness}(n, A, a, s, R)$
apply (*rule-tac x= $\lambda n \in \text{nat}. \text{dc-witness}(n, A, a, s, R)$ in beXI, simp*)
apply (*rule witness-funtype, simp+*)
done

theorem *pointed-DC* : $(\forall x \in A. \exists y \in A. \langle x, y \rangle \in R) \implies$
 $\forall a \in A. (\exists f \in \text{nat} \rightarrow A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in R))$
apply (*rule*)
apply (*insert AC-func-Pow*)
apply (*drule allI*)
apply (*drule-tac x=A in spec*)
apply (*drule-tac P= $\lambda f . \forall x \in \text{Pow}(A) - \{0\}. f'x \in x$*
 $\text{and } A = \text{Pow}(A) - \{0\} \rightarrow A$
 $\text{and } Q = \exists f \in \text{nat} \rightarrow A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in R)$)
in bexE)
prefer 2 apply (*assumption*)
apply (*rename-tac s*)
apply (*rule-tac x= $\lambda n \in \text{nat}. \text{dc-witness}(n, A, a, s, R)$ in beXI*)
prefer 2 apply (*blast intro:witness-funtype*)
apply (*rule conjI, simp*)
apply (*rule ballI, rename-tac m*)
apply (*subst beta, simp+*)
apply (*rule witness-related, auto*)
done

lemma *aux-DC-on-AxNat2* : $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R \implies$
 $\forall x \in A \times \text{nat}. \exists y \in A \times \text{nat}. \langle x, y \rangle \in \{\langle a, b \rangle \in R. \text{snd}(b) = \text{succ}(\text{snd}(a))\}$
apply (*rule ballI, erule-tac x=x in ballE, simp-all*)
done

lemma *infer-snd* : $c \in A \times B \implies \text{snd}(c) = k \implies c = \langle \text{fst}(c), k \rangle$
by auto

corollary *DC-on-A-x-nat* :
 $(\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R) \implies$
 $\forall a \in A. (\exists f \in \text{nat} \rightarrow A. f'0 = a \wedge (\forall n \in \text{nat}. \langle \langle f'n, n \rangle, \langle f'succ(n), \text{succ}(n) \rangle \rangle \in R))$
apply (*frule aux-DC-on-AxNat2*)
apply (*drule-tac R= $\{\langle a, b \rangle \in R. \text{snd}(b) = \text{succ}(\text{snd}(a))\}$ in pointed-DC*)
apply (*rule ballI*)
apply (*rotate-tac*)
apply (*drule-tac x= $\langle a, 0 \rangle$ in bspec, simp*)
apply (*erule bexE, rename-tac g*)

apply (*rule-tac* $x = \lambda x \in \text{nat}. \text{fst}(g'x)$ **and** $A = \text{nat} \rightarrow A$ **in** *bestI*, *auto*)
apply (*subgoal-tac* $\forall n \in \text{nat}. g'n = \langle \text{fst}(g' n), n \rangle$)
prefer 2 **apply** (*rule ballI*, *rename-tac m*)
apply (*induct-tac m*, *simp*)
apply (*rename-tac d*, *auto*)
apply (*frule-tac* $A = \text{nat}$ **and** $x = d$ **in** *bspec*, *simp*)
apply (*rule-tac* $A = A$ **and** $B = \text{nat}$ **in** *infer-snd*, *auto*)
apply (*rule-tac* $a = \langle \text{fst}(g' d), d \rangle$ **and** $b = g' d$ **in** *ssubst*, *assumption*)

apply (*subst snd-conv*, *simp*)
done

lemma *aux-sequence-DC* : $\bigwedge R. \forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \implies$
 $R = \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}). \langle x, y \rangle \in S'm \} \implies$
 $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in R$
apply (*rule ballI*, *rename-tac v*)
apply (*frule Pair-fst-snd-eq*)
apply (*erule-tac* $x = \text{fst}(v)$ **in** *ballE*)
apply (*drule-tac* $x = \text{succ}(\text{snd}(v))$ **in** *bspec*, *auto*)
done

lemma *aux-sequence-DC2* : $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \implies$
 $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}).$
 $\langle x, y \rangle \in S'm \}$
by *auto*

lemma *sequence-DC* : $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \implies$
 $\forall a \in A. (\exists f \in \text{nat} \rightarrow A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'\text{succ}(n) \rangle \in S'\text{succ}(n)))$
apply (*drule aux-sequence-DC2*)
apply (*drule DC-on-A-x-nat*, *auto*)
done
end

theory *Forcing-Notions* **imports** *Pointed-DC* **begin**

definition *compat-in* :: $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o$ **where**
 $\text{compat-in}(A, r, p, q) == \exists d \in A. \langle d, p \rangle \in r \wedge \langle d, q \rangle \in r$

lemma *compat-inI* :
 $\llbracket d \in A ; \langle d, p \rangle \in r ; \langle d, q \rangle \in r \rrbracket \implies \text{compat-in}(A, r, p, q)$
by (*auto simp add: compat-in-def*)

lemma *refl-compat*:
 $\llbracket \text{refl}(A, r) ; \langle p, q \rangle \in r \mid p = q \mid \langle q, p \rangle \in r ; p \in A ; q \in A \rrbracket \implies \text{compat-in}(A, r, p, q)$
by (*auto simp add: refl-def compat-inI*)

lemma *chain-compat*:
 $\text{refl}(A, r) \implies \text{linear}(A, r) \implies (\forall p \in A. \forall q \in A. \text{compat-in}(A, r, p, q))$
by (*simp add: refl-compat linear-def*)

lemma *subset-fun-image*: $f:N \rightarrow P \implies f''N \subseteq P$
by (*auto simp add: image-fun apply-funtype*)

definition

antichain :: $i \Rightarrow i \Rightarrow i \Rightarrow o$ **where**
antichain(P, leq, A) == $A \subseteq P \wedge (\forall p \in A. \forall q \in A. (\neg \text{compat-in}(P, leq, p, q)))$

definition

ccc :: $i \Rightarrow i \Rightarrow o$ **where**
ccc(P, leq) == $\forall A. \text{antichain}(P, leq, A) \longrightarrow |A| \leq \text{nat}$

locale *forcing-notion* =

fixes P *leq one*
assumes *one-in-P*: $one \in P$
and *leq-preord*: $\text{preorder-on}(P, leq)$
and *one-max*: $\forall p \in P. \langle p, one \rangle \in leq$

begin

definition

dense :: $i \Rightarrow o$ **where**
dense(D) == $\forall p \in P. \exists d \in D. \langle d, p \rangle \in leq$

definition

dense-below :: $i \Rightarrow i \Rightarrow o$ **where**
dense-below(D, q) == $\forall p \in P. \langle p, q \rangle \in leq \longrightarrow (\exists d \in D. \langle d, p \rangle \in leq)$

lemma *P-dense*: $\text{dense}(P)$

by (*insert leq-preord, auto simp add: preorder-on-def refl-def dense-def*)

definition

increasing :: $i \Rightarrow o$ **where**
increasing(F) == $\forall x \in F. \forall p \in P. \langle x, p \rangle \in leq \longrightarrow p \in F$

definition

compat :: $i \Rightarrow i \Rightarrow o$ **where**
compat(p, q) == $\text{compat-in}(P, leq, p, q)$

definition

antichain :: $i \Rightarrow o$ **where**
antichain(A) == $A \subseteq P \wedge (\forall p \in A. \forall q \in A. (\neg \text{compat}(p, q)))$

definition

filter :: $i \Rightarrow o$ **where**
filter(G) == $G \subseteq P \wedge \text{increasing}(G) \wedge (\forall p \in G. \forall q \in G. \text{compat-in}(G, leq, p, q))$

lemma *filterD* : $\text{filter}(G) \implies x \in G \implies x \in P$

by (*auto simp add : subsetD filter-def*)

lemma *filter-leqD* : $\text{filter}(G) \implies x \in G \implies y \in P \implies \langle x, y \rangle \in leq \implies y \in G$

by (*simp add: filter-def increasing-def*)

lemma *low-bound-filter* :
assumes *filter(G)* **and** $p \in G$ **and** $q \in G$
shows $\exists r \in G. \langle r, p \rangle \in \text{leq} \wedge \langle r, q \rangle \in \text{leq}$
using *assms*
unfolding *compat-in-def filter-def* **by** *blast*

definition
upclosure :: $i \Rightarrow i$ **where**
upclosure(A) == $\{p \in P. \exists a \in A. \langle a, p \rangle \in \text{leq}\}$

lemma *upclosureI [intro]* : $p \in P \Rightarrow a \in A \Rightarrow \langle a, p \rangle \in \text{leq} \Rightarrow p \in \text{upclosure}(A)$
by (*simp add:upclosure-def, auto*)

lemma *upclosureE [elim]* :
 $p \in \text{upclosure}(A) \Rightarrow (\bigwedge x a. x \in P \Rightarrow a \in A \Rightarrow \langle a, x \rangle \in \text{leq} \Rightarrow R) \Rightarrow R$
by (*auto simp add:upclosure-def*)

lemma *upclosureD [dest]* :
 $p \in \text{upclosure}(A) \Rightarrow \exists a \in A. (\langle a, p \rangle \in \text{leq}) \wedge p \in P$
by (*simp add:upclosure-def*)

lemma *upclosure-increasing* :
 $A \subseteq P \Rightarrow \text{increasing}(\text{upclosure}(A))$
apply (*unfold increasing-def upclosure-def, simp*)
apply *clarify*
apply (*rule-tac x=a in beI*)
apply (*insert leq-preord, unfold preorder-on-def*)
apply (*drule conjunct2, unfold trans-on-def*)
apply (*drule-tac x=a in bspec, fast*)
apply (*drule-tac x=x in bspec, assumption*)
apply (*drule-tac x=p in bspec, assumption*)
apply (*simp, assumption*)
done

lemma *upclosure-in-P*: $A \subseteq P \Rightarrow \text{upclosure}(A) \subseteq P$
apply (*rule subsetI*)
apply (*simp add:upclosure-def*)
done

lemma *A-sub-upclosure*: $A \subseteq P \Rightarrow A \subseteq \text{upclosure}(A)$
apply (*rule subsetI*)
apply (*simp add:upclosure-def, auto*)
apply (*insert leq-preord, unfold preorder-on-def refl-def, auto*)
done

lemma *elem-upclosure*: $A \subseteq P \Rightarrow x \in A \Rightarrow x \in \text{upclosure}(A)$
by (*blast dest:A-sub-upclosure*)

```

lemma closure-compat-filter:
   $A \subseteq P \implies (\forall p \in A. \forall q \in A. \text{compat-in}(A, \text{leq}, p, q)) \implies \text{filter}(\text{upclosure}(A))$ 
  apply (unfold filter-def)
  apply (intro conjI)
  apply (rule upclosure-in-P, assumption)
  apply (rule upclosure-increasing, assumption)
  apply (unfold compat-in-def)
  apply (rule ballI)+
  apply (rename-tac x y)
  apply (drule upclosureD)+
  apply (erule bexE)+
  apply (rename-tac a b)
  apply (drule-tac A=A
    and  $x=a$  in bspec, assumption)
  apply (drule-tac A=A
    and  $x=b$  in bspec, assumption)
  apply (auto)
  apply (rule-tac x=d in beXI)
  prefer 2 apply (simp add:A-sub-upclosure [THEN subsetD])
  apply (insert leq-preord, unfold preorder-on-def trans-on-def, drule conjunct2)
  apply (rule conjI)
  apply (drule-tac x=d in bspec, rule-tac A=A in subsetD, assumption+)
  apply (drule-tac x=a in bspec, rule-tac A=A in subsetD, assumption+)
  apply (drule-tac x=x in bspec, assumption, auto)
  done

```

```

lemma aux-RS1:  $f \in N \rightarrow P \implies n \in N \implies f^n \in \text{upclosure}(f \text{ ``} N)$ 
  apply (rule-tac elem-upclosure)
  apply (rule subset-fun-image, assumption)
  apply (simp add: image-fun, blast)
  done
end

```

```

lemma refl-monot-domain:  $\text{refl}(B, r) \implies A \subseteq B \implies \text{refl}(A, r)$ 
  apply (drule subset-iff [THEN iffD1])
  apply (unfold refl-def)
  apply (blast)
  done

```

```

lemma decr-succ-decr:  $f \in \text{nat} \rightarrow P \implies \text{preorder-on}(P, \text{leq}) \implies$ 
   $\forall n \in \text{nat}. \langle f \text{ ' succ}(n), f \text{ ' } n \rangle \in \text{leq} \implies$ 
   $n \in \text{nat} \implies m \in \text{nat} \implies n \leq m \longrightarrow \langle f \text{ ' } m, f \text{ ' } n \rangle \in \text{leq}$ 
  apply (unfold preorder-on-def, erule conjE)
  apply (induct-tac m, simp add:refl-def, rename-tac x)
  apply (rule impI)
  apply (case-tac n ≤ x, simp)
  apply (drule-tac x=x in bspec, assumption)
  apply (unfold trans-on-def)
  apply (drule-tac x=f'succ(x) in bspec, simp)

```

```

apply (drule-tac x=f'x in bspec, simp)
apply (drule-tac x=f'n in bspec, auto)
apply (drule-tac le-succ-iff [THEN iffD1], simp add: refl-def)
done
lemma not-le-imp-lt:  $[\sim i \leq j ; \text{Ord}(i); \text{Ord}(j)] \implies j < i$ 
by (simp add: not-le-iff-lt)

lemma decr-seq-linear:  $\text{refl}(P, \text{leq}) \implies f \in \text{nat} \rightarrow P \implies$ 
 $\forall n \in \text{nat}. \langle f' \text{succ}(n), f' n \rangle \in \text{leq} \implies$ 
 $\text{trans}[P](\text{leq}) \implies \text{linear}(f \text{ `` nat, leq})$ 
apply (unfold linear-def)
apply (rule ball-image-simp [THEN iffD2], assumption, simp, rule ballI)+
apply (rename-tac y)
apply (case-tac  $x \leq y$ )
apply (drule-tac  $\text{leq} = \text{leq}$  and  $n = x$  and  $m = y$  in decr-succ-decr)

apply (simp add: preorder-on-def)

apply (simp+)
apply (drule not-le-imp-lt [THEN leI], simp-all)
apply (drule-tac  $\text{leq} = \text{leq}$  and  $n = y$  and  $m = x$  in decr-succ-decr)

apply (simp add: preorder-on-def)

apply (simp+)
done

locale countable-generic = forcing-notion +
fixes  $\mathcal{D}$ 
assumes countable-sub-of-P:  $\mathcal{D} \in \text{nat} \rightarrow \text{Pow}(P)$ 
and seq-of-denses:  $\forall n \in \text{nat}. \text{dense}(\mathcal{D}'n)$ 

begin

definition
 $D\text{-generic} :: i \Rightarrow o$  where
 $D\text{-generic}(G) == \text{filter}(G) \wedge (\forall n \in \text{nat}. (\mathcal{D}'n) \cap G \neq \emptyset)$ 

lemma RS-relation:
assumes
1:  $x \in P$ 
and
2:  $n \in \text{nat}$ 
shows
 $\exists y \in P. \langle x, y \rangle \in (\lambda m \in \text{nat}. \{\langle x, y \rangle \in P * P. \langle y, x \rangle \in \text{leq} \wedge y \in \mathcal{D}'(\text{pred}(m))\})'n$ 
proof -

```

from *seq-of-denses* **and** 2 **have** $dense(\mathcal{D} \text{ ' } pred(n))$ **by** (*simp*)
with 1 **have**
 $\exists d \in \mathcal{D} \text{ ' } Arith.pred(n). \langle d, x \rangle \in leq$
unfolding *dense-def* **by** (*simp*)
then obtain d **where**
 $\exists: d \in \mathcal{D} \text{ ' } Arith.pred(n) \wedge \langle d, x \rangle \in leq$
by (*rule bexE, simp*)
from *countable-subsets-of-P* **have**
 $\mathcal{D} \text{ ' } Arith.pred(n) \in Pow(P)$
using 2 **by** (*blast dest:apply-funtype intro:pred-type*)
then have
 $\mathcal{D} \text{ ' } Arith.pred(n) \subseteq P$
by (*rule PowD*)
then have
 $d \in P \wedge \langle d, x \rangle \in leq \wedge d \in \mathcal{D} \text{ ' } Arith.pred(n)$
using 3 **by** *auto*
then show *?thesis* **using** 1 **and** 2 **by** *auto*
qed

theorem *rasiowa-sikorski*:

$$p \in P \implies \exists G. p \in G \wedge D\text{-generic}(G)$$

proof –

assume

$$Eq1: p \in P$$

let

$$?S = (\lambda m \in nat. \{ \langle x, y \rangle \in P * P. \langle y, x \rangle \in leq \wedge y \in \mathcal{D}'(pred(m)) \})$$

from *RS-relation* **have**

$$\forall x \in P. \forall n \in nat. \exists y \in P. \langle x, y \rangle \in ?S'n$$

by (*auto*)

with *sequence-DC* **have**

$$\forall a \in P. (\exists f \in nat \rightarrow P. f'0 = a \wedge (\forall n \in nat. \langle f'n, f'succ(n) \rangle \in ?S'succ(n)))$$

by (*blast*)

then obtain f **where**

$$Eq2: f : nat \rightarrow P$$

and

$$Eq3: f'0 = p \wedge$$

$$(\forall n \in nat.$$

$$f'n \in P \wedge f'succ(n) \in P \wedge \langle f'succ(n), f'n \rangle \in leq \wedge$$

$$f'succ(n) \in \mathcal{D}'n)$$

using *Eq1* **by** (*auto*)

then have

$$Eq4: f'nat \subseteq P$$

by (*simp add:subset-fun-image*)

with *leq-preord* **have**

$$Eq5: refl(f'nat, leq) \wedge trans[P](leq)$$

unfolding *preorder-on-def* **by** (*blast intro:refl-monot-domain*)

from *Eq3* **have**

$$\forall n \in nat. \langle f'succ(n), f'n \rangle \in leq$$

by (*simp*)

with Eq2 and Eq5 and leq-preord and decr-seq-linear have
Eq6: linear(f''nat, leq)
unfolding preorder-on-def by (blast)
with Eq5 and chain-compat have
 $(\forall p \in f''nat. \forall q \in f''nat. \text{compat-in}(f''nat, leq, p, q))$
by (auto)
then have
fil: filter(upclosure(f''nat))
(is filter(?G))
using closure-compat-filter and Eq4 by simp
have
gen: $\forall n \in nat. \mathcal{D}' n \cap ?G \neq 0$
proof
fix n
assume
n ∈ nat
with Eq2 and Eq3 have
 $f'succ(n) \in ?G \wedge f'succ(n) \in \mathcal{D}' n$
using aux-RS1 by simp
then show
 $\mathcal{D}' n \cap ?G \neq 0$
by blast
qed
from Eq3 and Eq2 have
 $p \in ?G$
using aux-RS1 by auto
with gen and fil show ?thesis
unfolding D-generic-def by auto
qed
end
end
theory Relative imports ZF begin

1 Relativization and Absoluteness

1.1 Relativized versions of standard set-theoretic concepts

definition

empty :: [i=>o,i] => o where
empty(M,z) == $\forall x[M]. x \notin z$

definition

subset :: [i=>o,i,i] => o where
subset(M,A,B) == $\forall x[M]. x \in A \longrightarrow x \in B$

definition

upair :: [i=>o,i,i,i] => o where

$$\text{upair}(M, a, b, z) == a \in z \ \& \ b \in z \ \& \ (\forall x[M]. x \in z \longrightarrow x = a \mid x = b)$$

definition

$$\begin{aligned} \text{pair} &:: [i=>o, i, i, i] => o \ \mathbf{where} \\ \text{pair}(M, a, b, z) &== \exists x[M]. \text{upair}(M, a, a, x) \ \& \\ &\quad (\exists y[M]. \text{upair}(M, a, b, y) \ \& \ \text{upair}(M, x, y, z)) \end{aligned}$$

definition

$$\begin{aligned} \text{union} &:: [i=>o, i, i, i] => o \ \mathbf{where} \\ \text{union}(M, a, b, z) &== \forall x[M]. x \in z \longleftrightarrow x \in a \mid x \in b \end{aligned}$$

definition

$$\begin{aligned} \text{is-cons} &:: [i=>o, i, i, i] => o \ \mathbf{where} \\ \text{is-cons}(M, a, b, z) &== \exists x[M]. \text{upair}(M, a, a, x) \ \& \ \text{union}(M, x, b, z) \end{aligned}$$

definition

$$\begin{aligned} \text{successor} &:: [i=>o, i, i] => o \ \mathbf{where} \\ \text{successor}(M, a, z) &== \text{is-cons}(M, a, a, z) \end{aligned}$$

definition

$$\begin{aligned} \text{number1} &:: [i=>o, i] => o \ \mathbf{where} \\ \text{number1}(M, a) &== \exists x[M]. \text{empty}(M, x) \ \& \ \text{successor}(M, x, a) \end{aligned}$$

definition

$$\begin{aligned} \text{number2} &:: [i=>o, i] => o \ \mathbf{where} \\ \text{number2}(M, a) &== \exists x[M]. \text{number1}(M, x) \ \& \ \text{successor}(M, x, a) \end{aligned}$$

definition

$$\begin{aligned} \text{number3} &:: [i=>o, i] => o \ \mathbf{where} \\ \text{number3}(M, a) &== \exists x[M]. \text{number2}(M, x) \ \& \ \text{successor}(M, x, a) \end{aligned}$$

definition

$$\begin{aligned} \text{powerset} &:: [i=>o, i, i] => o \ \mathbf{where} \\ \text{powerset}(M, A, z) &== \forall x[M]. x \in z \longleftrightarrow \text{subset}(M, x, A) \end{aligned}$$

definition

$$\begin{aligned} \text{is-Collect} &:: [i=>o, i, i=>o, i] => o \ \mathbf{where} \\ \text{is-Collect}(M, A, P, z) &== \forall x[M]. x \in z \longleftrightarrow x \in A \ \& \ P(x) \end{aligned}$$

definition

$$\begin{aligned} \text{is-Replace} &:: [i=>o, i, [i, i]=>o, i] => o \ \mathbf{where} \\ \text{is-Replace}(M, A, P, z) &== \forall u[M]. u \in z \longleftrightarrow (\exists x[M]. x \in A \ \& \ P(x, u)) \end{aligned}$$

definition

$$\begin{aligned} \text{inter} &:: [i=>o, i, i, i] => o \ \mathbf{where} \\ \text{inter}(M, a, b, z) &== \forall x[M]. x \in z \longleftrightarrow x \in a \ \& \ x \in b \end{aligned}$$

definition

setdiff :: [$i=>o,i,i,i$] => *o* **where**
setdiff(M,a,b,z) == $\forall x[M]. x \in z \longleftrightarrow x \in a \ \& \ x \notin b$

definition

big-union :: [$i=>o,i,i,i$] => *o* **where**
big-union(M,A,z) == $\forall x[M]. x \in z \longleftrightarrow (\exists y[M]. y \in A \ \& \ x \in y)$

definition

big-inter :: [$i=>o,i,i,i$] => *o* **where**
big-inter(M,A,z) ==
 $(A=0 \longrightarrow z=0) \ \&$
 $(A \neq 0 \longrightarrow (\forall x[M]. x \in z \longleftrightarrow (\forall y[M]. y \in A \longrightarrow x \in y)))$

definition

cartprod :: [$i=>o,i,i,i$] => *o* **where**
cartprod(M,A,B,z) ==
 $\forall u[M]. u \in z \longleftrightarrow (\exists x[M]. x \in A \ \& \ (\exists y[M]. y \in B \ \& \ pair(M,x,y,u)))$

definition

is-sum :: [$i=>o,i,i,i$] => *o* **where**
is-sum(M,A,B,Z) ==
 $\exists A0[M]. \exists n1[M]. \exists s1[M]. \exists B1[M].$
 $number1(M,n1) \ \& \ cartprod(M,n1,A,A0) \ \& \ upair(M,n1,n1,s1) \ \&$
 $cartprod(M,s1,B,B1) \ \& \ union(M,A0,B1,Z)$

definition

is-Inl :: [$i=>o,i,i,i$] => *o* **where**
is-Inl(M,a,z) == $\exists zero[M]. empty(M,zero) \ \& \ pair(M,zero,a,z)$

definition

is-Inr :: [$i=>o,i,i,i$] => *o* **where**
is-Inr(M,a,z) == $\exists n1[M]. number1(M,n1) \ \& \ pair(M,n1,a,z)$

definition

is-converse :: [$i=>o,i,i,i$] => *o* **where**
is-converse(M,r,z) ==
 $\forall x[M]. x \in z \longleftrightarrow$
 $(\exists w[M]. w \in r \ \& \ (\exists u[M]. \exists v[M]. pair(M,u,v,w) \ \& \ pair(M,v,u,x)))$

definition

pre-image :: [$i=>o,i,i,i$] => *o* **where**
pre-image(M,r,A,z) ==
 $\forall x[M]. x \in z \longleftrightarrow (\exists w[M]. w \in r \ \& \ (\exists y[M]. y \in A \ \& \ pair(M,x,y,w)))$

definition

is-domain :: [$i=>o,i,i,i$] => *o* **where**
is-domain(M,r,z) ==
 $\forall x[M]. x \in z \longleftrightarrow (\exists w[M]. w \in r \ \& \ (\exists y[M]. pair(M,x,y,w)))$

definition

image :: $[i=>o,i,i,i] => o$ **where**
image(M,r,A,z) ==
 $\forall y[M]. y \in z \iff (\exists w[M]. w \in r \ \& \ (\exists x[M]. x \in A \ \& \ \text{pair}(M,x,y,w)))$

definition

is-range :: $[i=>o,i,i] => o$ **where**
— the cleaner $\exists r'[M]. \text{is-converse}(M, r, r') \wedge \text{is-domain}(M, r', z)$ unfortunately
needs an instance of separation in order to prove $M(\text{converse}(r))$.
is-range(M,r,z) ==
 $\forall y[M]. y \in z \iff (\exists w[M]. w \in r \ \& \ (\exists x[M]. \text{pair}(M,x,y,w)))$

definition

is-field :: $[i=>o,i,i] => o$ **where**
is-field(M,r,z) ==
 $\exists dr[M]. \exists rr[M]. \text{is-domain}(M,r,dr) \ \& \ \text{is-range}(M,r,rr) \ \& \ \text{union}(M,dr,rr,z)$

definition

is-relation :: $[i=>o,i] => o$ **where**
is-relation(M,r) ==
 $(\forall z[M]. z \in r \longrightarrow (\exists x[M]. \exists y[M]. \text{pair}(M,x,y,z)))$

definition

is-function :: $[i=>o,i] => o$ **where**
is-function(M,r) ==
 $\forall x[M]. \forall y[M]. \forall y'[M]. \forall p[M]. \forall p'[M].$
 $\text{pair}(M,x,y,p) \longrightarrow \text{pair}(M,x,y',p') \longrightarrow p \in r \longrightarrow p' \in r \longrightarrow y=y'$

definition

fun-apply :: $[i=>o,i,i,i] => o$ **where**
fun-apply(M,f,x,y) ==
 $(\exists xs[M]. \exists fxs[M].$
 $\text{upair}(M,x,x,xs) \ \& \ \text{image}(M,f,xs,fxs) \ \& \ \text{big-union}(M,fxs,y))$

definition

typed-function :: $[i=>o,i,i,i] => o$ **where**
typed-function(M,A,B,r) ==
is-function(M,r) & *is-relation*(M,r) & *is-domain*(M,r,A) &
 $(\forall u[M]. u \in r \longrightarrow (\forall x[M]. \forall y[M]. \text{pair}(M,x,y,u) \longrightarrow y \in B))$

definition

is-funspace :: $[i=>o,i,i,i] => o$ **where**
is-funspace(M,A,B,F) ==
 $\forall f[M]. f \in F \iff \text{typed-function}(M,A,B,f)$

definition

composition :: $[i=>o,i,i,i] => o$ **where**
composition(M,r,s,t) ==

$$\begin{aligned} \forall p[M]. p \in t \iff & \\ (\exists x[M]. \exists y[M]. \exists z[M]. \exists xy[M]. \exists yz[M]. & \\ \text{pair}(M,x,z,p) \ \& \ \text{pair}(M,x,y,xy) \ \& \ \text{pair}(M,y,z,yz) \ \& \\ xy \in s \ \& \ yz \in r) & \end{aligned}$$

definition

$$\begin{aligned} \text{injection} &:: [i=>o,i,i,i] => o \ \mathbf{where} \\ \text{injection}(M,A,B,f) &== \\ \text{typed-function}(M,A,B,f) \ \& & \\ (\forall x[M]. \forall x'[M]. \forall y[M]. \forall p[M]. \forall p'[M]. & \\ \text{pair}(M,x,y,p) \longrightarrow \text{pair}(M,x',y,p') \longrightarrow p \in f \longrightarrow p' \in f \longrightarrow x=x') & \end{aligned}$$

definition

$$\begin{aligned} \text{surjection} &:: [i=>o,i,i,i] => o \ \mathbf{where} \\ \text{surjection}(M,A,B,f) &== \\ \text{typed-function}(M,A,B,f) \ \& & \\ (\forall y[M]. y \in B \longrightarrow (\exists x[M]. x \in A \ \& \ \text{fun-apply}(M,f,x,y))) & \end{aligned}$$

definition

$$\begin{aligned} \text{bijection} &:: [i=>o,i,i,i] => o \ \mathbf{where} \\ \text{bijection}(M,A,B,f) &== \text{injection}(M,A,B,f) \ \& \ \text{surjection}(M,A,B,f) \end{aligned}$$

definition

$$\begin{aligned} \text{restriction} &:: [i=>o,i,i,i] => o \ \mathbf{where} \\ \text{restriction}(M,r,A,z) &== \\ \forall x[M]. x \in z \iff (x \in r \ \& \ (\exists u[M]. u \in A \ \& \ (\exists v[M]. \text{pair}(M,u,v,x)))) & \end{aligned}$$

definition

$$\begin{aligned} \text{transitive-set} &:: [i=>o,i] => o \ \mathbf{where} \\ \text{transitive-set}(M,a) &== \forall x[M]. x \in a \longrightarrow \text{subset}(M,x,a) \end{aligned}$$

definition

$$\begin{aligned} \text{ordinal} &:: [i=>o,i] => o \ \mathbf{where} \\ \text{— an ordinal is a transitive set of transitive sets} & \\ \text{ordinal}(M,a) &== \text{transitive-set}(M,a) \ \& \ (\forall x[M]. x \in a \longrightarrow \text{transitive-set}(M,x)) \end{aligned}$$

definition

$$\begin{aligned} \text{limit-ordinal} &:: [i=>o,i] => o \ \mathbf{where} \\ \text{— a limit ordinal is a non-empty, successor-closed ordinal} & \\ \text{limit-ordinal}(M,a) &== \\ \text{ordinal}(M,a) \ \& \ \sim \ \text{empty}(M,a) \ \& & \\ (\forall x[M]. x \in a \longrightarrow (\exists y[M]. y \in a \ \& \ \text{successor}(M,x,y))) & \end{aligned}$$

definition

$$\begin{aligned} \text{successor-ordinal} &:: [i=>o,i] => o \ \mathbf{where} \\ \text{— a successor ordinal is any ordinal that is neither empty nor limit} & \\ \text{successor-ordinal}(M,a) &== \\ \text{ordinal}(M,a) \ \& \ \sim \ \text{empty}(M,a) \ \& \ \sim \ \text{limit-ordinal}(M,a) & \end{aligned}$$

definition

finite-ordinal :: $[i=>o, i] => o$ **where**
 — an ordinal is finite if neither it nor any of its elements are limit
 $finite-ordinal(M, a) ==$
 $ordinal(M, a) \ \& \ \sim \ limit-ordinal(M, a) \ \&$
 $(\forall x[M]. x \in a \longrightarrow \sim \ limit-ordinal(M, x))$

definition

omega :: $[i=>o, i] => o$ **where**
 — omega is a limit ordinal none of whose elements are limit
 $omega(M, a) == \ limit-ordinal(M, a) \ \& \ (\forall x[M]. x \in a \longrightarrow \sim \ limit-ordinal(M, x))$

definition

is-quasinat :: $[i=>o, i] => o$ **where**
 $is-quasinat(M, z) == \ empty(M, z) \ | \ (\exists m[M]. \ successor(M, m, z))$

definition

is-nat-case :: $[i=>o, i, [i, i] =>o, i, i] => o$ **where**
 $is-nat-case(M, a, is-b, k, z) ==$
 $(\emptyset(M, k) \longrightarrow z=a) \ \&$
 $(\forall m[M]. \ successor(M, m, k) \longrightarrow is-b(m, z)) \ \&$
 $(is-quasinat(M, k) \ | \ \emptyset(M, z))$

definition

relation1 :: $[i=>o, [i, i] =>o, i => i] => o$ **where**
 $relation1(M, is-f, f) == \forall x[M]. \forall y[M]. \ is-f(x, y) \longleftrightarrow y = f(x)$

definition

Relation1 :: $[i=>o, i, [i, i] =>o, i => i] => o$ **where**
 — as above, but typed
 $Relation1(M, A, is-f, f) ==$
 $\forall x[M]. \forall y[M]. x \in A \longrightarrow is-f(x, y) \longleftrightarrow y = f(x)$

definition

relation2 :: $[i=>o, [i, i, i] =>o, [i, i] => i] => o$ **where**
 $relation2(M, is-f, f) == \forall x[M]. \forall y[M]. \forall z[M]. \ is-f(x, y, z) \longleftrightarrow z = f(x, y)$

definition

Relation2 :: $[i=>o, i, i, [i, i, i] =>o, [i, i] => i] => o$ **where**
 $Relation2(M, A, B, is-f, f) ==$
 $\forall x[M]. \forall y[M]. \forall z[M]. x \in A \longrightarrow y \in B \longrightarrow is-f(x, y, z) \longleftrightarrow z = f(x, y)$

definition

relation3 :: $[i=>o, [i, i, i, i] =>o, [i, i, i] => i] => o$ **where**
 $relation3(M, is-f, f) ==$
 $\forall x[M]. \forall y[M]. \forall z[M]. \forall u[M]. \ is-f(x, y, z, u) \longleftrightarrow u = f(x, y, z)$

definition

Relation3 :: $[i=>o, i, i, i, [i, i, i, i] =>o, [i, i, i] => i] => o$ **where**

$$\begin{aligned} \text{Relation3}(M,A,B,C, is-f, f) == \\ \forall x[M]. \forall y[M]. \forall z[M]. \forall u[M]. \\ x \in A \longrightarrow y \in B \longrightarrow z \in C \longrightarrow is-f(x,y,z,u) \longleftrightarrow u = f(x,y,z) \end{aligned}$$

definition

$$\begin{aligned} \text{relation4} :: [i=>o, [i,i,i,i,i]=>o, [i,i,i,i]=>i] => o \text{ where} \\ \text{relation4}(M, is-f, f) == \\ \forall u[M]. \forall x[M]. \forall y[M]. \forall z[M]. \forall a[M]. is-f(u,x,y,z,a) \longleftrightarrow a = f(u,x,y,z) \end{aligned}$$

Useful when absoluteness reasoning has replaced the predicates by terms

lemma *triv-Relation1*:

$$\text{Relation1}(M, A, \lambda x y. y = f(x), f)$$

by (*simp add: Relation1-def*)

lemma *triv-Relation2*:

$$\text{Relation2}(M, A, B, \lambda x y a. a = f(x,y), f)$$

by (*simp add: Relation2-def*)

1.2 The relativized ZF axioms

definition

$$\begin{aligned} \text{extensionality} :: (i=>o) => o \text{ where} \\ \text{extensionality}(M) == \\ \forall x[M]. \forall y[M]. (\forall z[M]. z \in x \longleftrightarrow z \in y) \longrightarrow x=y \end{aligned}$$

definition

$$\text{separation} :: [i=>o, i=>o] => o \text{ where}$$

— The formula P should only involve parameters belonging to M and all its quantifiers must be relativized to M . We do not have separation as a scheme; every instance that we need must be assumed (and later proved) separately.

$$\begin{aligned} \text{separation}(M,P) == \\ \forall z[M]. \exists y[M]. \forall x[M]. x \in y \longleftrightarrow x \in z \ \& \ P(x) \end{aligned}$$

definition

$$\begin{aligned} \text{upair-ax} :: (i=>o) => o \text{ where} \\ \text{upair-ax}(M) == \forall x[M]. \forall y[M]. \exists z[M]. \text{upair}(M,x,y,z) \end{aligned}$$

definition

$$\begin{aligned} \text{Union-ax} :: (i=>o) => o \text{ where} \\ \text{Union-ax}(M) == \forall x[M]. \exists z[M]. \text{big-union}(M,x,z) \end{aligned}$$

definition

$$\begin{aligned} \text{power-ax} :: (i=>o) => o \text{ where} \\ \text{power-ax}(M) == \forall x[M]. \exists z[M]. \text{powerset}(M,x,z) \end{aligned}$$

definition

$$\begin{aligned} \text{univalent} :: [i=>o, i, [i,i]=>o] => o \text{ where} \\ \text{univalent}(M,A,P) == \\ \forall x[M]. x \in A \longrightarrow (\forall y[M]. \forall z[M]. P(x,y) \ \& \ P(x,z) \longrightarrow y=z) \end{aligned}$$

definition

replacement :: $[i=>o, [i,i]=>o] => o$ **where**
replacement(M,P) ==
 $\forall A[M]. \text{univalent}(M,A,P) \longrightarrow$
 $(\exists Y[M]. \forall b[M]. (\exists x[M]. x \in A \ \& \ P(x,b)) \longrightarrow b \in Y)$

definition

strong-replacement :: $[i=>o, [i,i]=>o] => o$ **where**
strong-replacement(M,P) ==
 $\forall A[M]. \text{univalent}(M,A,P) \longrightarrow$
 $(\exists Y[M]. \forall b[M]. b \in Y \longleftrightarrow (\exists x[M]. x \in A \ \& \ P(x,b)))$

definition

foundation-ax :: $(i=>o) => o$ **where**
foundation-ax(M) ==
 $\forall x[M]. (\exists y[M]. y \in x) \longrightarrow (\exists y[M]. y \in x \ \& \ \sim(\exists z[M]. z \in x \ \& \ z \in y))$

1.3 A trivial consistency proof for V_ω

We prove that V_ω (or *univ* in Isabelle) satisfies some ZF axioms. Kunen, Theorem IV 3.13, page 123.

lemma *univ0-downwards-mem*: $[[y \in x; x \in \text{univ}(0)]] ==> y \in \text{univ}(0)$

apply (*insert Transset-univ [OF Transset-0]*)

apply (*simp add: Transset-def, blast*)

done

lemma *univ0-Ball-abs [simp]*:

$A \in \text{univ}(0) ==> (\forall x \in A. x \in \text{univ}(0) \longrightarrow P(x)) \longleftrightarrow (\forall x \in A. P(x))$

by (*blast intro: univ0-downwards-mem*)

lemma *univ0-Bex-abs [simp]*:

$A \in \text{univ}(0) ==> (\exists x \in A. x \in \text{univ}(0) \ \& \ P(x)) \longleftrightarrow (\exists x \in A. P(x))$

by (*blast intro: univ0-downwards-mem*)

Congruence rule for separation: can assume the variable is in M

lemma *separation-cong [cong]*:

$(!!x. M(x) ==> P(x) \longleftrightarrow P'(x))$

$==> \text{separation}(M, \%x. P(x)) \longleftrightarrow \text{separation}(M, \%x. P'(x))$

by (*simp add: separation-def*)

lemma *univalent-cong [cong]*:

$[[A=A'; !!x y. [[x \in A; M(x); M(y)]] ==> P(x,y) \longleftrightarrow P'(x,y)]]$

$==> \text{univalent}(M, A, \%x y. P(x,y)) \longleftrightarrow \text{univalent}(M, A', \%x y. P'(x,y))$

by (*simp add: univalent-def*)

lemma *univalent-triv [intro,simp]*:

$\text{univalent}(M, A, \lambda x y. y = f(x))$

by (*simp add: univalent-def*)

lemma *univalent-conjI2* [*intro, simp*]:

$univalent(M, A, Q) ==> univalent(M, A, \lambda x y. P(x, y) \& Q(x, y))$

by (*simp add: univalent-def, blast*)

Congruence rule for replacement

lemma *strong-replacement-cong* [*cong*]:

$[[\! \! \lambda x y. [M(x); M(y)] ==> P(x, y) \longleftrightarrow P'(x, y)]]$
 $==> strong-replacement(M, \%x y. P(x, y)) \longleftrightarrow$
 $strong-replacement(M, \%x y. P'(x, y))$

by (*simp add: strong-replacement-def*)

The extensionality axiom

lemma *extensionality*($\lambda x. x \in univ(0)$)

apply (*simp add: extensionality-def*)

apply (*blast intro: univ0-downwards-mem*)

done

The separation axiom requires some lemmas

lemma *Collect-in-Vfrom*:

$[[X \in Vfrom(A, j); Transset(A)]]$ $==> Collect(X, P) \in Vfrom(A, succ(j))$

apply (*drule Transset-Vfrom*)

apply (*rule subset-mem-Vfrom*)

apply (*unfold Transset-def, blast*)

done

lemma *Collect-in-VLimit*:

$[[X \in Vfrom(A, i); Limit(i); Transset(A)]]$
 $==> Collect(X, P) \in Vfrom(A, i)$

apply (*rule Limit-VfromE, assumption+*)

apply (*blast intro: Limit-has-succ VfromI Collect-in-Vfrom*)

done

lemma *Collect-in-univ*:

$[[X \in univ(A); Transset(A)]]$ $==> Collect(X, P) \in univ(A)$

by (*simp add: univ-def Collect-in-VLimit*)

lemma *separation*($\lambda x. x \in univ(0), P$)

apply (*simp add: separation-def, clarify*)

apply (*rule-tac x = Collect(z, P) in bexI*)

apply (*blast intro: Collect-in-univ Transset-0+*)

done

Unordered pairing axiom

lemma *upair-ax*($\lambda x. x \in univ(0)$)

apply (*simp add: upair-ax-def upair-def*)

apply (*blast intro: doubleton-in-univ*)

done

Union axiom

```
lemma Union-ax( $\lambda x. x \in \text{univ}(0)$ )  
apply (simp add: Union-ax-def big-union-def, clarify)  
apply (rule-tac x= $\bigcup x$  in bexI)  
  apply (blast intro: univ0-downwards-mem)  
apply (blast intro: Union-in-univ Transset-0)  
done
```

Powerset axiom

```
lemma Pow-in-univ:  
  [ $X \in \text{univ}(A); \text{Transset}(A)$ ]  $\implies \text{Pow}(X) \in \text{univ}(A)$   
apply (simp add: univ-def Pow-in-VLimit)  
done
```

```
lemma power-ax( $\lambda x. x \in \text{univ}(0)$ )  
apply (simp add: power-ax-def powerset-def subset-def, clarify)  
apply (rule-tac x= $\text{Pow}(x)$  in bexI)  
  apply (blast intro: univ0-downwards-mem)  
apply (blast intro: Pow-in-univ Transset-0)  
done
```

Foundation axiom

```
lemma foundation-ax( $\lambda x. x \in \text{univ}(0)$ )  
apply (simp add: foundation-ax-def, clarify)  
apply (cut-tac A=x in foundation)  
apply (blast intro: univ0-downwards-mem)  
done
```

```
lemma replacement( $\lambda x. x \in \text{univ}(0), P$ )  
apply (simp add: replacement-def, clarify)  
oops
```

no idea: maybe prove by induction on the rank of A?

Still missing: Replacement, Choice

1.4 Lemmas Needed to Reduce Some Set Constructions to Instances of Separation

```
lemma image-iff-Collect:  $r \text{ “ } A = \{y \in \bigcup(\bigcup(r)). \exists p \in r. \exists x \in A. p = \langle x, y \rangle\}$   
apply (rule equalityI, auto)  
apply (simp add: Pair-def, blast)  
done
```

```
lemma vimage-iff-Collect:  
   $r \text{ “ } A = \{x \in \bigcup(\bigcup(r)). \exists p \in r. \exists y \in A. p = \langle x, y \rangle\}$   
apply (rule equalityI, auto)
```

apply (*simp add: Pair-def, blast*)
done

These two lemmas lets us prove *domain-closed* and *range-closed* without new instances of separation

lemma *domain-eq-vimage*: $\text{domain}(r) = r \text{ -- " Union(Union(r))$
apply (*rule equalityI, auto*)
apply (*rule vimageI, assumption*)
apply (*simp add: Pair-def, blast*)
done

lemma *range-eq-image*: $\text{range}(r) = r \text{ -- " Union(Union(r))$
apply (*rule equalityI, auto*)
apply (*rule imageI, assumption*)
apply (*simp add: Pair-def, blast*)
done

lemma *replacementD*:
 $[[\text{replacement}(M,P); M(A); \text{univalent}(M,A,P)]]$
 $==> \exists Y[M]. (\forall b[M]. ((\exists x[M]. x \in A \ \& \ P(x,b)) \longrightarrow b \in Y))$
by (*simp add: replacement-def*)

lemma *strong-replacementD*:
 $[[\text{strong-replacement}(M,P); M(A); \text{univalent}(M,A,P)]]$
 $==> \exists Y[M]. (\forall b[M]. (b \in Y \longleftrightarrow (\exists x[M]. x \in A \ \& \ P(x,b))))$
by (*simp add: strong-replacement-def*)

lemma *separationD*:
 $[[\text{separation}(M,P); M(z)]]$ $==> \exists y[M]. \forall x[M]. x \in y \longleftrightarrow x \in z \ \& \ P(x)$
by (*simp add: separation-def*)

More constants, for order types

definition

order-isomorphism :: $[i=>o, i, i, i, i, i] => o$ **where**
 $\text{order-isomorphism}(M,A,r,B,s,f) ==$
 $\text{bijection}(M,A,B,f) \ \&$
 $(\forall x[M]. x \in A \longrightarrow (\forall y[M]. y \in A \longrightarrow$
 $(\forall p[M]. \forall fx[M]. \forall fy[M]. \forall q[M].$
 $\text{pair}(M,x,y,p) \longrightarrow \text{fun-apply}(M,f,x,fx) \longrightarrow \text{fun-apply}(M,f,y,fy) \longrightarrow$
 $\text{pair}(M,fx,fy,q) \longrightarrow (p \in r \longleftrightarrow q \in s))))$

definition

pred-set :: $[i=>o, i, i, i, i] => o$ **where**
 $\text{pred-set}(M,A,x,r,B) ==$
 $\forall y[M]. y \in B \longleftrightarrow (\exists p[M]. p \in r \ \& \ y \in A \ \& \ \text{pair}(M,y,x,p))$

definition

membership :: $[i=>o, i, i] => o$ **where** — membership relation
 $\text{membership}(M,A,r) ==$

$$\forall p[M]. p \in r \longleftrightarrow (\exists x[M]. x \in A \ \& \ (\exists y[M]. y \in A \ \& \ x \in y \ \& \ \text{pair}(M, x, y, p)))$$

1.5 Introducing a Transitive Class Model

The class M is assumed to be transitive and to satisfy some relativized ZF axioms

```

locale M-trivial =
  fixes  $M$ 
  assumes transM:      [|  $y \in x$ ;  $M(x)$  |] ==>  $M(y)$ 
  and upair-ax:      upair-ax( $M$ )
  and Union-ax:      Union-ax( $M$ )

  and M-inhabit [iff]:  $M(0)$ 

```

Automatically discovers the proof using *transM*, *nat-0I* and *M-inhabit*.

```

lemma (in M-trivial) rall-abs [simp]:
   $M(A) ==> (\forall x[M]. x \in A \longrightarrow P(x)) \longleftrightarrow (\forall x \in A. P(x))$ 
by (blast intro: transM)

```

```

lemma (in M-trivial) rex-abs [simp]:
   $M(A) ==> (\exists x[M]. x \in A \ \& \ P(x)) \longleftrightarrow (\exists x \in A. P(x))$ 
by (blast intro: transM)

```

```

lemma (in M-trivial) ball-iff-equiv:
   $M(A) ==> (\forall x[M]. (x \in A \longleftrightarrow P(x))) \longleftrightarrow$ 
     $(\forall x \in A. P(x)) \ \& \ (\forall x. P(x) \longrightarrow M(x) \longrightarrow x \in A)$ 
by (blast intro: transM)

```

Simplifies proofs of equalities when there's an iff-equality available for rewriting, universally quantified over M . But it's not the only way to prove such equalities: its premises $M(A)$ and $M(B)$ can be too strong.

```

lemma (in M-trivial) M-equalityI:
  [| !! $x$ .  $M(x) ==> x \in A \longleftrightarrow x \in B$ ;  $M(A)$ ;  $M(B)$  |] ==>  $A=B$ 
by (blast dest: transM)

```

1.5.1 Trivial Absoluteness Proofs: Empty Set, Pairs, etc.

```

lemma (in M-trivial) empty-abs [simp]:
   $M(z) ==> \text{empty}(M, z) \longleftrightarrow z=0$ 
apply (simp add: empty-def)
apply (blast intro: transM)
done

```

```

lemma (in M-trivial) subset-abs [simp]:
   $M(A) ==> \text{subset}(M, A, B) \longleftrightarrow A \subseteq B$ 
apply (simp add: subset-def)
apply (blast intro: transM)

```


done

lemma (in *M-trivial*) *upair-abs* [*simp*]:

$M(z) ==> \text{upair}(M, a, b, z) \longleftrightarrow z = \{a, b\}$

apply (*simp add: upair-def*)

apply (*blast intro: transM*)

done

lemma (in *M-trivial*) *upair-in-M-iff* [*iff*]:

$M(\{a, b\}) \longleftrightarrow M(a) \ \& \ M(b)$

apply (*insert upair-ax, simp add: upair-ax-def*)

apply (*blast intro: transM*)

done

lemma (in *M-trivial*) *singleton-in-M-iff* [*iff*]:

$M(\{a\}) \longleftrightarrow M(a)$

by (*insert upair-in-M-iff [of a a], simp*)

lemma (in *M-trivial*) *pair-abs* [*simp*]:

$M(z) ==> \text{pair}(M, a, b, z) \longleftrightarrow z = \langle a, b \rangle$

apply (*simp add: pair-def Pair-def*)

apply (*blast intro: transM*)

done

lemma (in *M-trivial*) *pair-in-M-iff* [*iff*]:

$M(\langle a, b \rangle) \longleftrightarrow M(a) \ \& \ M(b)$

by (*simp add: Pair-def*)

lemma (in *M-trivial*) *pair-components-in-M*:

$[\langle x, y \rangle \in A; M(A)] ==> M(x) \ \& \ M(y)$

apply (*simp add: Pair-def*)

apply (*blast dest: transM*)

done

lemma (in *M-trivial*) *cartprod-abs* [*simp*]:

$[M(A); M(B); M(z)] ==> \text{cartprod}(M, A, B, z) \longleftrightarrow z = A * B$

apply (*simp add: cartprod-def*)

apply (*rule iffI*)

apply (*blast intro!: equalityI intro: transM dest!: rspec*)

apply (*blast dest: transM*)

done

1.5.2 Absoluteness for Unions and Intersections

lemma (in *M-trivial*) *union-abs* [*simp*]:

$[M(a); M(b); M(z)] ==> \text{union}(M, a, b, z) \longleftrightarrow z = a \cup b$

apply (*simp add: union-def*)

apply (*blast intro: transM*)

done

lemma (in *M-trivial*) *inter-abs* [*simp*]:
 $\llbracket M(a); M(b); M(z) \rrbracket \implies \text{inter}(M,a,b,z) \longleftrightarrow z = a \cap b$
apply (*simp add: inter-def*)
apply (*blast intro: transM*)
done

lemma (in *M-trivial*) *setdiff-abs* [*simp*]:
 $\llbracket M(a); M(b); M(z) \rrbracket \implies \text{setdiff}(M,a,b,z) \longleftrightarrow z = a - b$
apply (*simp add: setdiff-def*)
apply (*blast intro: transM*)
done

lemma (in *M-trivial*) *Union-abs* [*simp*]:
 $\llbracket M(A); M(z) \rrbracket \implies \text{big-union}(M,A,z) \longleftrightarrow z = \bigcup(A)$
apply (*simp add: big-union-def*)
apply (*blast dest: transM*)
done

lemma (in *M-trivial*) *Union-closed* [*intro,simp*]:
 $M(A) \implies M(\bigcup(A))$
by (*insert Union-ax, simp add: Union-ax-def*)

lemma (in *M-trivial*) *Un-closed* [*intro,simp*]:
 $\llbracket M(A); M(B) \rrbracket \implies M(A \cup B)$
by (*simp only: Un-eq-Union, blast*)

lemma (in *M-trivial*) *cons-closed* [*intro,simp*]:
 $\llbracket M(a); M(A) \rrbracket \implies M(\text{cons}(a,A))$
by (*subst cons-eq [symmetric], blast*)

lemma (in *M-trivial*) *cons-abs* [*simp*]:
 $\llbracket M(b); M(z) \rrbracket \implies \text{is-cons}(M,a,b,z) \longleftrightarrow z = \text{cons}(a,b)$
by (*simp add: is-cons-def, blast intro: transM*)

lemma (in *M-trivial*) *successor-abs* [*simp*]:
 $\llbracket M(a); M(z) \rrbracket \implies \text{successor}(M,a,z) \longleftrightarrow z = \text{succ}(a)$
by (*simp add: successor-def, blast*)

lemma (in *M-trivial*) *succ-in-M-iff* [*iff*]:
 $M(\text{succ}(a)) \longleftrightarrow M(a)$
apply (*simp add: succ-def*)
apply (*blast intro: transM*)
done

1.5.3 Absoluteness for Separation and Replacement

lemma (in *M-trivial*) *separation-closed* [*intro,simp*]:
 $\llbracket \text{separation}(M,P); M(A) \rrbracket \implies M(\text{Collect}(A,P))$

```

apply (insert separation, simp add: separation-def)
apply (drule rspec, assumption, clarify)
apply (subgoal-tac y = Collect(A,P), blast)
apply (blast dest: transM)
done

```

```

lemma separation-iff:
  separation(M,P)  $\longleftrightarrow$  ( $\forall z[M]. \exists y[M]. is-Collect(M,z,P,y)$ )
by (simp add: separation-def is-Collect-def)

```

```

lemma (in M-trivial) Collect-abs [simp]:
  [| M(A); M(z) |]  $\implies is-Collect(M,A,P,z) \longleftrightarrow z = Collect(A,P)$ 
apply (simp add: is-Collect-def)
apply (blast dest: transM)
done

```

Probably the premise and conclusion are equivalent

1.5.4 The Operator *is-Replace*

```

lemma is-Replace-cong [cong]:
  [| A=A';
    !!x y. [| M(x); M(y) |]  $\implies P(x,y) \longleftrightarrow P'(x,y)$ ;
    z=z' |]
   $\implies is-Replace(M, A, \%x y. P(x,y), z) \longleftrightarrow$ 
     $is-Replace(M, A', \%x y. P'(x,y), z')$ 
by (simp add: is-Replace-def)

```

```

lemma (in M-trivial) univalent-Replace-iff:
  [| M(A); univalent(M,A,P);
    !!x y. [| x $\in$ A; P(x,y) |]  $\implies M(y)$  |]
   $\implies u \in Replace(A,P) \longleftrightarrow (\exists x. x \in A \ \& \ P(x,u))$ 
apply (simp add: Replace-iff univalent-def)
apply (blast dest: transM)
done

```

```

lemma (in M-trivial) strong-replacement-closed [intro,simp]:
  [| strong-replacement(M,P); M(A); univalent(M,A,P);
    !!x y. [| x $\in$ A; P(x,y) |]  $\implies M(y)$  |]  $\implies M(Replace(A,P))$ 
apply (simp add: strong-replacement-def)
apply (drule-tac x=A in rspec, safe)
apply (subgoal-tac Replace(A,P) = Y)
apply simp
apply (rule equality-iffI)
apply (simp add: univalent-Replace-iff)
apply (blast dest: transM)
done

```

lemma (in *M-trivial*) *Replace-abs*:

$$\begin{aligned} & \llbracket M(A); M(z); \text{univalent}(M,A,P); \\ & \quad !!x y. \llbracket x \in A; P(x,y) \rrbracket \implies M(y) \rrbracket \\ & \implies \text{is-Replace}(M,A,P,z) \longleftrightarrow z = \text{Replace}(A,P) \end{aligned}$$

apply (*simp add: is-Replace-def*)
apply (*rule iffI*)
apply (*rule equality-iffI*)
apply (*simp-all add: univalent-Replace-iff*)
apply (*blast dest: transM*)
done

lemma (in *M-trivial*) *RepFun-closed*:

$$\begin{aligned} & \llbracket \text{strong-replacement}(M, \lambda x y. y = f(x)); M(A); \forall x \in A. M(f(x)) \rrbracket \\ & \implies M(\text{RepFun}(A,f)) \end{aligned}$$

apply (*simp add: RepFun-def*)
done

lemma *Replace-conj-eq*: $\{y . x \in A, x \in A \ \& \ y=f(x)\} = \{y . x \in A, y=f(x)\}$
by *simp*

Better than *RepFun-closed* when having the formula $x \in A$ makes relativization easier.

lemma (in *M-trivial*) *RepFun-closed2*:

$$\begin{aligned} & \llbracket \text{strong-replacement}(M, \lambda x y. x \in A \ \& \ y = f(x)); M(A); \forall x \in A. M(f(x)) \rrbracket \\ & \implies M(\text{RepFun}(A, \%x. f(x))) \end{aligned}$$

apply (*simp add: RepFun-def*)
apply (*frule strong-replacement-closed, assumption*)
apply (*auto dest: transM simp add: Replace-conj-eq univalent-def*)
done

1.5.5 Absoluteness for *Lambda*

definition

is-lambda :: $[i=>o, i, [i,i]=>o, i] => o$ **where**

$$\begin{aligned} & \text{is-lambda}(M, A, \text{is-b}, z) == \\ & \quad \forall p[M]. p \in z \longleftrightarrow \\ & \quad (\exists u[M]. \exists v[M]. u \in A \ \& \ \text{pair}(M,u,v,p) \ \& \ \text{is-b}(u,v)) \end{aligned}$$

lemma (in *M-trivial*) *lam-closed*:

$$\begin{aligned} & \llbracket \text{strong-replacement}(M, \lambda x y. y = \langle x, b(x) \rangle); M(A); \forall x \in A. M(b(x)) \rrbracket \\ & \implies M(\lambda x \in A. b(x)) \end{aligned}$$

by (*simp add: lam-def, blast intro: RepFun-closed dest: transM*)

Better than *lam-closed*: has the formula $x \in A$

lemma (in *M-trivial*) *lam-closed2*:

$$\begin{aligned} & \llbracket \text{strong-replacement}(M, \lambda x y. x \in A \ \& \ y = \langle x, b(x) \rangle); \\ & \quad M(A); \forall m[M]. m \in A \longrightarrow M(b(m)) \rrbracket \implies M(\text{Lambda}(A,b)) \end{aligned}$$

```

apply (simp add: lam-def)
apply (blast intro: RepFun-closed2 dest: transM)
done

```

```

lemma (in M-trivial) lambda-abs2:
  [| Relation1 (M,A,is-b,b); M(A);  $\forall m[M]. m \in A \longrightarrow M(b(m)); M(z)$  |]
  ==> is-lambda(M,A,is-b,z)  $\longleftrightarrow z = \text{Lambda}(A,b)$ 
apply (simp add: Relation1-def is-lambda-def)
apply (rule iffI)
  prefer 2 apply (simp add: lam-def)
apply (rule equality-iffI)
apply (simp add: lam-def)
apply (rule iffI)
  apply (blast dest: transM)
apply (auto simp add: transM [of - A])
done

```

```

lemma is-lambda-cong [cong]:
  [| A=A'; z=z';
    !!x y. [| x∈A; M(x); M(y) |] ==> is-b(x,y)  $\longleftrightarrow$  is-b'(x,y) |]
  ==> is-lambda(M, A, %x y. is-b(x,y), z)  $\longleftrightarrow$ 
    is-lambda(M, A', %x y. is-b'(x,y), z')
by (simp add: is-lambda-def)

```

```

lemma (in M-trivial) image-abs [simp]:
  [| M(r); M(A); M(z) |] ==> image(M,r,A,z)  $\longleftrightarrow z = r''A$ 
apply (simp add: image-def)
apply (rule iffI)
  apply (blast intro!: equalityI dest: transM, blast)
done

```

What about *Pow-abs*? Powerset is NOT absolute! This result is one direction of absoluteness.

```

lemma (in M-trivial) powerset-Pow:
  powerset(M, x, Pow(x))
by (simp add: powerset-def)

```

But we can't prove that the powerset in *M* includes the real powerset.

```

lemma (in M-trivial) powerset-imp-subset-Pow:
  [| powerset(M,x,y); M(y) |] ==>  $y \subseteq \text{Pow}(x)$ 
apply (simp add: powerset-def)
apply (blast dest: transM)
done

```

1.5.6 Absoluteness for the Natural Numbers

```

lemma (in M-trivial) nat-into-M [intro]:
   $n \in \text{nat} \implies M(n)$ 
by (induct n rule: nat-induct, simp-all)

```

lemma (in *M-trivial*) *nat-case-closed* [*intro,simp*]:

$$[[M(k); M(a); \forall m[M]. M(b(m))]] \implies M(\text{nat-case}(a,b,k))$$
apply (*case-tac k=0, simp*)
apply (*case-tac $\exists m. k = \text{succ}(m)$, force*)
apply (*simp add: nat-case-def*)
done

lemma (in *M-trivial*) *quasinat-abs* [*simp*]:

$$M(z) \implies \text{is-quasinat}(M,z) \longleftrightarrow \text{quasinat}(z)$$
by (*auto simp add: is-quasinat-def quasinat-def*)

lemma (in *M-trivial*) *nat-case-abs* [*simp*]:

$$[[\text{relation1}(M, \text{is-b}, b); M(k); M(z)]]$$

$$\implies \text{is-nat-case}(M, a, \text{is-b}, k, z) \longleftrightarrow z = \text{nat-case}(a, b, k)$$
apply (*case-tac quasinat(k)*)
prefer 2
apply (*simp add: is-nat-case-def non-nat-case*)
apply (*force simp add: quasinat-def*)
apply (*simp add: quasinat-def is-nat-case-def*)
apply (*elim disjE exE*)
apply (*simp-all add: relation1-def*)
done

lemma *is-nat-case-cong*:

$$[[a = a'; k = k'; z = z'; M(z');$$

$$!!x y. [[M(x); M(y)]]] \implies \text{is-b}(x,y) \longleftrightarrow \text{is-b}'(x,y)]]$$

$$\implies \text{is-nat-case}(M, a, \text{is-b}, k, z) \longleftrightarrow \text{is-nat-case}(M, a', \text{is-b}', k', z')$$
by (*simp add: is-nat-case-def*)

1.6 Absoluteness for Ordinals

These results constitute Theorem IV 5.1 of Kunen (page 126).

lemma (in *M-trivial*) *lt-closed*:

$$[[j < i; M(i)]]] \implies M(j)$$
by (*blast dest: ltD intro: transM*)

lemma (in *M-trivial*) *transitive-set-abs* [*simp*]:

$$M(a) \implies \text{transitive-set}(M,a) \longleftrightarrow \text{Transset}(a)$$
by (*simp add: transitive-set-def Transset-def*)

lemma (in *M-trivial*) *ordinal-abs* [*simp*]:

$$M(a) \implies \text{ordinal}(M,a) \longleftrightarrow \text{Ord}(a)$$
by (*simp add: ordinal-def Ord-def*)

lemma (in *M-trivial*) *limit-ordinal-abs* [*simp*]:

$$M(a) \implies \text{limit-ordinal}(M,a) \longleftrightarrow \text{Limit}(a)$$
apply (*unfold Limit-def limit-ordinal-def*)

apply (*simp add: Ord-0-lt-iff*)
apply (*simp add: lt-def, blast*)
done

lemma (*in M-trivial*) *successor-ordinal-abs* [*simp*]:
 $M(a) \implies \text{successor-ordinal}(M,a) \longleftrightarrow \text{Ord}(a) \ \& \ (\exists b[M]. a = \text{succ}(b))$
apply (*simp add: successor-ordinal-def, safe*)
apply (*drule Ord-cases-disj, auto*)
done

lemma *finite-Ord-is-nat*:
 $[\![\text{Ord}(a); \sim \text{Limit}(a); \forall x \in a. \sim \text{Limit}(x)]\!] \implies a \in \text{nat}$
by (*induct a rule: trans-induct3, simp-all*)

lemma (*in M-trivial*) *finite-ordinal-abs* [*simp*]:
 $M(a) \implies \text{finite-ordinal}(M,a) \longleftrightarrow a \in \text{nat}$
apply (*simp add: finite-ordinal-def*)
apply (*blast intro: finite-Ord-is-nat intro: nat-into-Ord*
dest: Ord-trans naturals-not-limit)
done

lemma *Limit-non-Limit-implies-nat*:
 $[\![\text{Limit}(a); \forall x \in a. \sim \text{Limit}(x)]\!] \implies a = \text{nat}$
apply (*rule le-anti-sym*)
apply (*rule all-lt-imp-le, blast, blast intro: Limit-is-Ord*)
apply (*simp add: lt-def*)
apply (*blast intro: Ord-in-Ord Ord-trans finite-Ord-is-nat*)
apply (*erule nat-le-Limit*)
done

lemma (*in M-trivial*) *omega-abs* [*simp*]:
 $M(a) \implies \text{omega}(M,a) \longleftrightarrow a = \text{nat}$
apply (*simp add: omega-def*)
apply (*blast intro: Limit-non-Limit-implies-nat dest: naturals-not-limit*)
done

lemma (*in M-trivial*) *number1-abs* [*simp*]:
 $M(a) \implies \text{number1}(M,a) \longleftrightarrow a = 1$
by (*simp add: number1-def*)

lemma (*in M-trivial*) *number2-abs* [*simp*]:
 $M(a) \implies \text{number2}(M,a) \longleftrightarrow a = \text{succ}(1)$
by (*simp add: number2-def*)

lemma (*in M-trivial*) *number3-abs* [*simp*]:
 $M(a) \implies \text{number3}(M,a) \longleftrightarrow a = \text{succ}(\text{succ}(1))$
by (*simp add: number3-def*)

Kunen continued to 20...

1.7 Some instances of separation and strong replacement

locale M -basic = M -trivial +

assumes *Inter-separation*:

$M(A) \implies \text{separation}(M, \lambda x. \forall y[M]. y \in A \longrightarrow x \in y)$

and *Diff-separation*:

$M(B) \implies \text{separation}(M, \lambda x. x \notin B)$

and *cartprod-separation*:

$[[M(A); M(B)]]$

$\implies \text{separation}(M, \lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. y \in B \ \& \ \text{pair}(M, x, y, z)))$

and *image-separation*:

$[[M(A); M(r)]]$

$\implies \text{separation}(M, \lambda y. \exists p[M]. p \in r \ \& \ (\exists x[M]. x \in A \ \& \ \text{pair}(M, x, y, p)))$

and *converse-separation*:

$M(r) \implies \text{separation}(M,$

$\lambda z. \exists p[M]. p \in r \ \& \ (\exists x[M]. \exists y[M]. \text{pair}(M, x, y, p) \ \& \ \text{pair}(M, y, x, z)))$

and *restrict-separation*:

$M(A) \implies \text{separation}(M, \lambda z. \exists x[M]. x \in A \ \& \ (\exists y[M]. \text{pair}(M, x, y, z)))$

and *comp-separation*:

$[[M(r); M(s)]]$

$\implies \text{separation}(M, \lambda xz. \exists x[M]. \exists y[M]. \exists z[M]. \exists xy[M]. \exists yz[M].$
 $\text{pair}(M, x, z, xz) \ \& \ \text{pair}(M, x, y, xy) \ \& \ \text{pair}(M, y, z, yz) \ \&$
 $xy \in s \ \& \ yz \in r)$

and *pred-separation*:

$[[M(r); M(x)]]$ $\implies \text{separation}(M, \lambda y. \exists p[M]. p \in r \ \& \ \text{pair}(M, y, x, p))$

and *Memrel-separation*:

$\text{separation}(M, \lambda z. \exists x[M]. \exists y[M]. \text{pair}(M, x, y, z) \ \& \ x \in y)$

and *funspace-succ-replacement*:

$M(n) \implies$

$\text{strong-replacement}(M, \lambda p z. \exists f[M]. \exists b[M]. \exists nb[M]. \exists cnbf[M].$
 $\text{pair}(M, f, b, p) \ \& \ \text{pair}(M, n, b, nb) \ \& \ \text{is-cons}(M, nb, f, cnbf) \ \&$
 $\text{upair}(M, cnbf, cnbf, z))$

and *is-recfun-separation*:

— for well-founded recursion: used to prove *is-recfun-equal*

$[[M(r); M(f); M(g); M(a); M(b)]]$

$\implies \text{separation}(M,$

$\lambda x. \exists xa[M]. \exists xb[M].$

$\text{pair}(M, x, a, xa) \ \& \ xa \in r \ \& \ \text{pair}(M, x, b, xb) \ \& \ xb \in r \ \&$

$(\exists fx[M]. \exists gx[M]. \text{fun-apply}(M, f, x, fx) \ \& \ \text{fun-apply}(M, g, x, gx) \ \&$
 $fx \neq gx))$

and *power-ax*: $\text{power-ax}(M)$

lemma (in M -basic) *cartprod-iff-lemma*:

$[[M(C); \forall u[M]. u \in C \longleftrightarrow (\exists x \in A. \exists y \in B. u = \{\{x\}, \{x, y\}\})];$

$\text{powerset}(M, A \cup B, p1); \text{powerset}(M, p1, p2); M(p2)]]$

$\implies C = \{u \in p2 . \exists x \in A. \exists y \in B. u = \{\{x\}, \{x, y\}\}\}$

apply (*simp add: powerset-def*)

apply (*rule equalityI, clarify, simp*)

apply (*frule transM, assumption*)

apply (*frule transM, assumption, simp (no-asm-simp)*)

apply *blast*
apply *clarify*
apply (*frule transM, assumption, force*)
done

lemma (*in M-basic*) *cartprod-iff*:

$$\llbracket M(A); M(B); M(C) \rrbracket$$

$$\implies \text{cartprod}(M, A, B, C) \longleftrightarrow$$

$$(\exists p1[M]. \exists p2[M]. \text{powerset}(M, A \cup B, p1) \ \& \ \text{powerset}(M, p1, p2) \ \&$$

$$C = \{z \in p2. \exists x \in A. \exists y \in B. z = \langle x, y \rangle\})$$
apply (*simp add: Pair-def cartprod-def, safe*)
defer 1
apply (*simp add: powerset-def*)
apply *blast*

Final, difficult case: the left-to-right direction of the theorem.

apply (*insert power-ax, simp add: power-ax-def*)
apply (*frule-tac x=A \cup B and P=\lambda x. rex(M, Q(x)) for Q in rspec*)
apply (*blast, clarify*)
apply (*drule-tac x=z and P=\lambda x. rex(M, Q(x)) for Q in rspec*)
apply *assumption*
apply (*blast intro: cartprod-iff-lemma*)
done

lemma (*in M-basic*) *cartprod-closed-lemma*:

$$\llbracket M(A); M(B) \rrbracket \implies \exists C[M]. \text{cartprod}(M, A, B, C)$$
apply (*simp del: cartprod-abs add: cartprod-iff*)
apply (*insert power-ax, simp add: power-ax-def*)
apply (*frule-tac x=A \cup B and P=\lambda x. rex(M, Q(x)) for Q in rspec*)
apply (*blast, clarify*)
apply (*drule-tac x=z and P=\lambda x. rex(M, Q(x)) for Q in rspec, auto*)
apply (*intro rexI conjI, simp+*)
apply (*insert cartprod-separation [of A B], simp*)
done

All the lemmas above are necessary because Powerset is not absolute. I should have used Replacement instead!

lemma (*in M-basic*) *cartprod-closed* [*intro, simp*]:

$$\llbracket M(A); M(B) \rrbracket \implies M(A * B)$$
by (*frule cartprod-closed-lemma, assumption, force*)

lemma (*in M-basic*) *sum-closed* [*intro, simp*]:

$$\llbracket M(A); M(B) \rrbracket \implies M(A + B)$$
by (*simp add: sum-def*)

lemma (*in M-basic*) *sum-abs* [*simp*]:

$$\llbracket M(A); M(B); M(Z) \rrbracket \implies \text{is-sum}(M, A, B, Z) \longleftrightarrow (Z = A + B)$$
by (*simp add: is-sum-def sum-def singleton-0 nat-into-M*)

lemma (in *M-trivial*) *Inl-in-M-iff* [*iff*]:

$$M(\text{Inl}(a)) \longleftrightarrow M(a)$$

by (*simp add: Inl-def*)

lemma (in *M-trivial*) *Inl-abs* [*simp*]:

$$M(Z) \implies \text{is-Inl}(M,a,Z) \longleftrightarrow (Z = \text{Inl}(a))$$

by (*simp add: is-Inl-def Inl-def*)

lemma (in *M-trivial*) *Inr-in-M-iff* [*iff*]:

$$M(\text{Inr}(a)) \longleftrightarrow M(a)$$

by (*simp add: Inr-def*)

lemma (in *M-trivial*) *Inr-abs* [*simp*]:

$$M(Z) \implies \text{is-Inr}(M,a,Z) \longleftrightarrow (Z = \text{Inr}(a))$$

by (*simp add: is-Inr-def Inr-def*)

1.7.1 converse of a relation

lemma (in *M-basic*) *M-converse-iff*:

$$M(r) \implies$$

$$\text{converse}(r) =$$

$$\{z \in \bigcup (\bigcup (r)) * \bigcup (\bigcup (r)).$$

$$\exists p \in r. \exists x[M]. \exists y[M]. p = \langle x,y \rangle \ \& \ z = \langle y,x \rangle\}$$

apply (*rule equalityI*)

prefer 2 **apply** (*blast dest: transM, clarify, simp*)

apply (*simp add: Pair-def*)

apply (*blast dest: transM*)

done

lemma (in *M-basic*) *converse-closed* [*intro,simp*]:

$$M(r) \implies M(\text{converse}(r))$$

apply (*simp add: M-converse-iff*)

apply (*insert converse-separation [of r], simp*)

done

lemma (in *M-basic*) *converse-abs* [*simp*]:

$$[\![M(r); M(z)]\!] \implies \text{is-converse}(M,r,z) \longleftrightarrow z = \text{converse}(r)$$

apply (*simp add: is-converse-def*)

apply (*rule iffI*)

prefer 2 **apply** *blast*

apply (*rule M-equalityI*)

apply *simp*

apply (*blast dest: transM*)+

done

1.7.2 image, preimage, domain, range

lemma (in *M-basic*) *image-closed* [*intro,simp*]:

$$[\![M(A); M(r)]\!] \implies M(r \circ A)$$

apply (*simp add: image-iff-Collect*)

apply (*insert image-separation [of A r], simp*)
done

lemma (*in M-basic vimage-abs [simp]*):
 $[[M(r); M(A); M(z)]] ==> \text{pre-image}(M,r,A,z) \longleftrightarrow z = r-''A$
apply (*simp add: pre-image-def*)
apply (*rule iffI*)
 apply (*blast intro!: equalityI dest: transM, blast*)
done

lemma (*in M-basic vimage-closed [intro,simp]*):
 $[[M(A); M(r)]] ==> M(r-''A)$
by (*simp add: vimage-def*)

1.7.3 Domain, range and field

lemma (*in M-basic domain-abs [simp]*):
 $[[M(r); M(z)]] ==> \text{is-domain}(M,r,z) \longleftrightarrow z = \text{domain}(r)$
apply (*simp add: is-domain-def*)
apply (*blast intro!: equalityI dest: transM*)
done

lemma (*in M-basic domain-closed [intro,simp]*):
 $M(r) ==> M(\text{domain}(r))$
apply (*simp add: domain-eq-vimage*)
done

lemma (*in M-basic range-abs [simp]*):
 $[[M(r); M(z)]] ==> \text{is-range}(M,r,z) \longleftrightarrow z = \text{range}(r)$
apply (*simp add: is-range-def*)
apply (*blast intro!: equalityI dest: transM*)
done

lemma (*in M-basic range-closed [intro,simp]*):
 $M(r) ==> M(\text{range}(r))$
apply (*simp add: range-eq-image*)
done

lemma (*in M-basic field-abs [simp]*):
 $[[M(r); M(z)]] ==> \text{is-field}(M,r,z) \longleftrightarrow z = \text{field}(r)$
by (*simp add: is-field-def field-def*)

lemma (*in M-basic field-closed [intro,simp]*):
 $M(r) ==> M(\text{field}(r))$
by (*simp add: field-def*)

1.7.4 Relations, functions and application

lemma (*in M-basic relation-abs [simp]*):
 $M(r) ==> \text{is-relation}(M,r) \longleftrightarrow \text{relation}(r)$

apply (*simp add: is-relation-def relation-def*)
apply (*blast dest!: bspec dest: pair-components-in-M*)
done

lemma (*in M-basic function-abs [simp]*):
 $M(r) \implies \text{is-function}(M,r) \longleftrightarrow \text{function}(r)$
apply (*simp add: is-function-def function-def, safe*)
apply (*frule transM, assumption*)
apply (*blast dest: pair-components-in-M*)
done

lemma (*in M-basic apply-closed [intro,simp]*):
 $\llbracket M(f); M(a) \rrbracket \implies M(f'a)$
by (*simp add: apply-def*)

lemma (*in M-basic apply-abs [simp]*):
 $\llbracket M(f); M(x); M(y) \rrbracket \implies \text{fun-apply}(M,f,x,y) \longleftrightarrow f'x = y$
apply (*simp add: fun-apply-def apply-def, blast*)
done

lemma (*in M-basic typed-function-abs [simp]*):
 $\llbracket M(A); M(f) \rrbracket \implies \text{typed-function}(M,A,B,f) \longleftrightarrow f \in A \rightarrow B$
apply (*auto simp add: typed-function-def relation-def Pi-iff*)
apply (*blast dest: pair-components-in-M*)
done

lemma (*in M-basic injection-abs [simp]*):
 $\llbracket M(A); M(f) \rrbracket \implies \text{injection}(M,A,B,f) \longleftrightarrow f \in \text{inj}(A,B)$
apply (*simp add: injection-def apply-iff inj-def*)
apply (*blast dest: transM [of - A]*)
done

lemma (*in M-basic surjection-abs [simp]*):
 $\llbracket M(A); M(B); M(f) \rrbracket \implies \text{surjection}(M,A,B,f) \longleftrightarrow f \in \text{surj}(A,B)$
by (*simp add: surjection-def surj-def*)

lemma (*in M-basic bijection-abs [simp]*):
 $\llbracket M(A); M(B); M(f) \rrbracket \implies \text{bijection}(M,A,B,f) \longleftrightarrow f \in \text{bij}(A,B)$
by (*simp add: bijection-def bij-def*)

1.7.5 Composition of relations

lemma (*in M-basic M-comp-iff*):
 $\llbracket M(r); M(s) \rrbracket$
 $\implies r \circ s =$
 $\{xz \in \text{domain}(s) * \text{range}(r).$
 $\quad \exists x[M]. \exists y[M]. \exists z[M]. xz = \langle x,z \rangle \ \& \ \langle x,y \rangle \in s \ \& \ \langle y,z \rangle \in r\}$
apply (*simp add: comp-def*)
apply (*rule equalityI*)

apply *clarify*
apply *simp*
apply (*blast dest: transM*)
done

lemma (*in M-basic*) *comp-closed* [*intro, simp*]:
 $\llbracket M(r); M(s) \rrbracket \implies M(r \ O \ s)$
apply (*simp add: M-comp-iff*)
apply (*insert comp-separation [of r s], simp*)
done

lemma (*in M-basic*) *composition-abs* [*simp*]:
 $\llbracket M(r); M(s); M(t) \rrbracket \implies \text{composition}(M, r, s, t) \longleftrightarrow t = r \ O \ s$
apply *safe*

Proving *composition*(*M, r, s, r O s*)

prefer 2
apply (*simp add: composition-def comp-def*)
apply (*blast dest: transM*)

Opposite implication

apply (*rule M-equalityI*)
apply (*simp add: composition-def comp-def*)
apply (*blast del: allE dest: transM*)
done

no longer needed

lemma (*in M-basic*) *restriction-is-function*:
 $\llbracket \text{restriction}(M, f, A, z); \text{function}(f); M(f); M(A); M(z) \rrbracket$
 $\implies \text{function}(z)$
apply (*simp add: restriction-def ball-iff-equiv*)
apply (*unfold function-def, blast*)
done

lemma (*in M-basic*) *restriction-abs* [*simp*]:
 $\llbracket M(f); M(A); M(z) \rrbracket$
 $\implies \text{restriction}(M, f, A, z) \longleftrightarrow z = \text{restrict}(f, A)$
apply (*simp add: ball-iff-equiv restriction-def restrict-def*)
apply (*blast intro!: equalityI dest: transM*)
done

lemma (*in M-basic*) *M-restrict-iff*:
 $M(r) \implies \text{restrict}(r, A) = \{z \in r . \exists x \in A. \exists y[M]. z = \langle x, y \rangle\}$
by (*simp add: restrict-def, blast dest: transM*)

lemma (*in M-basic*) *restrict-closed* [*intro, simp*]:
 $\llbracket M(A); M(r) \rrbracket \implies M(\text{restrict}(r, A))$
apply (*simp add: M-restrict-iff*)

apply (*insert restrict-separation [of A], simp*)
done

lemma (*in M-basic*) *Inter-abs [simp]*:

$$\llbracket M(A); M(z) \rrbracket \implies \text{big-inter}(M, A, z) \longleftrightarrow z = \bigcap(A)$$
apply (*simp add: big-inter-def Inter-def*)
apply (*blast intro!: equalityI dest: transM*)
done

lemma (*in M-basic*) *Inter-closed [intro, simp]*:

$$M(A) \implies M(\bigcap(A))$$
by (*insert Inter-separation, simp add: Inter-def*)

lemma (*in M-basic*) *Int-closed [intro, simp]*:

$$\llbracket M(A); M(B) \rrbracket \implies M(A \cap B)$$
apply (*subgoal-tac M({A,B})*)
apply (*frule Inter-closed, force+*)
done

lemma (*in M-basic*) *Diff-closed [intro, simp]*:

$$\llbracket M(A); M(B) \rrbracket \implies M(A - B)$$
by (*insert Diff-separation, simp add: Diff-def*)

1.7.6 Some Facts About Separation Axioms

lemma (*in M-basic*) *separation-conj*:

$$\llbracket \text{separation}(M, P); \text{separation}(M, Q) \rrbracket \implies \text{separation}(M, \lambda z. P(z) \ \& \ Q(z))$$
by (*simp del: separation-closed*
add: separation-iff Collect-Int-Collect-eq [symmetric])

lemma *Collect-Un-Collect-eq*:

$$\text{Collect}(A, P) \cup \text{Collect}(A, Q) = \text{Collect}(A, \%x. P(x) \ | \ Q(x))$$
by *blast*

lemma *Diff-Collect-eq*:

$$A - \text{Collect}(A, P) = \text{Collect}(A, \%x. \sim P(x))$$
by *blast*

lemma (*in M-trivial*) *Collect-rall-eq*:

$$M(Y) \implies \text{Collect}(A, \%x. \forall y[M]. y \in Y \longrightarrow P(x, y)) =$$

$$(if \ Y=0 \ then \ A \ else \ (\bigcap y \in Y. \ \{x \in A. \ P(x, y)\}))$$
apply *simp*
apply (*blast dest: transM*)
done

lemma (*in M-basic*) *separation-disj*:

$$\llbracket \text{separation}(M, P); \text{separation}(M, Q) \rrbracket \implies \text{separation}(M, \lambda z. P(z) \ | \ Q(z))$$
by (*simp del: separation-closed*)

add: separation-iff Collect-Un-Collect-eq [symmetric])

lemma (in *M-basic*) *separation-neg*:
 $separation(M,P) ==> separation(M, \lambda z. \sim P(z))$
by (*simp del: separation-closed*
add: separation-iff Diff-Collect-eq [symmetric])

lemma (in *M-basic*) *separation-imp*:
 $[[separation(M,P); separation(M,Q)]]$
 $==> separation(M, \lambda z. P(z) \longrightarrow Q(z))$
by (*simp add: separation-neg separation-disj not-disj-iff-imp [symmetric]*)

This result is a hint of how little can be done without the Reflection Theorem. The quantifier has to be bounded by a set. We also need another instance of Separation!

lemma (in *M-basic*) *separation-rall*:
 $[[M(Y); \forall y[M]. separation(M, \lambda x. P(x,y));$
 $\forall z[M]. strong-replacement(M, \lambda x y. y = \{u \in z . P(u,x)\})]]$
 $==> separation(M, \lambda x. \forall y[M]. y \in Y \longrightarrow P(x,y))$
apply (*simp del: separation-closed rall-abs*
add: separation-iff Collect-rall-eq)
apply (*blast intro!: RepFun-closed dest: transM*)
done

1.7.7 Functions and function space

The assumption $M(A \rightarrow B)$ is unusual, but essential: in all but trivial cases, $A \rightarrow B$ cannot be expected to belong to M .

lemma (in *M-basic*) *is-funspace-abs [simp]*:
 $[[M(A); M(B); M(F); M(A \rightarrow B)]] ==> is-funspace(M,A,B,F) \longleftrightarrow F = A \rightarrow B$
apply (*simp add: is-funspace-def*)
apply (*rule iffI*)
prefer 2 apply blast
apply (*rule M-equalityI*)
apply simp-all
done

lemma (in *M-basic*) *succ-fun-eq2*:
 $[[M(B); M(n \rightarrow B)]] ==>$
 $succ(n) \rightarrow B =$
 $\bigcup \{z. p \in (n \rightarrow B) * B, \exists f[M]. \exists b[M]. p = \langle f, b \rangle \ \& \ z = \{cons(\langle n, b \rangle, f)\}\}$
apply (*simp add: succ-fun-eq*)
apply (*blast dest: transM*)
done

lemma (in *M-basic*) *funspace-succ*:
 $[[M(n); M(B); M(n \rightarrow B)]] ==> M(succ(n) \rightarrow B)$

apply (*insert funspace-succ-replacement [of n], simp*)
apply (*force simp add: succ-fun-eq2 univalent-def*)
done

M contains all finite function spaces. Needed to prove the absoluteness of transitive closure. See the definition of *rtrancl-alt* in *WF-absolute.thy*.

lemma (*in M-basic*) *finite-funspace-closed [intro,simp]*:
 $[[n \in \text{nat}; M(B)]] \implies M(n \rightarrow B)$
apply (*induct-tac n, simp*)
apply (*simp add: funspace-succ nat-into-M*)
done

1.8 Relativization and Absoluteness for Boolean Operators

definition

is-bool-of-o :: $[i \Rightarrow o, o, i] \Rightarrow o$ **where**
 $\text{is-bool-of-o}(M, P, z) == (P \ \& \ \text{number1}(M, z)) \mid (\sim P \ \& \ \text{empty}(M, z))$

definition

is-not :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $\text{is-not}(M, a, z) == (\text{number1}(M, a) \ \& \ \text{empty}(M, z)) \mid$
 $(\sim \text{number1}(M, a) \ \& \ \text{number1}(M, z))$

definition

is-and :: $[i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $\text{is-and}(M, a, b, z) == (\text{number1}(M, a) \ \& \ z = b) \mid$
 $(\sim \text{number1}(M, a) \ \& \ \text{empty}(M, z))$

definition

is-or :: $[i \Rightarrow o, i, i, i] \Rightarrow o$ **where**
 $\text{is-or}(M, a, b, z) == (\text{number1}(M, a) \ \& \ \text{number1}(M, z)) \mid$
 $(\sim \text{number1}(M, a) \ \& \ z = b)$

lemma (*in M-trivial*) *bool-of-o-abs [simp]*:

$M(z) \implies \text{is-bool-of-o}(M, P, z) \longleftrightarrow z = \text{bool-of-o}(P)$

by (*simp add: is-bool-of-o-def bool-of-o-def*)

lemma (*in M-trivial*) *not-abs [simp]*:

$[[M(a); M(z)]] \implies \text{is-not}(M, a, z) \longleftrightarrow z = \text{not}(a)$

by (*simp add: Bool.not-def cond-def is-not-def*)

lemma (*in M-trivial*) *and-abs [simp]*:

$[[M(a); M(b); M(z)]] \implies \text{is-and}(M, a, b, z) \longleftrightarrow z = a \ \& \ b$

by (*simp add: Bool.and-def cond-def is-and-def*)

lemma (*in M-trivial*) *or-abs [simp]*:

$[[M(a); M(b); M(z)]] \implies \text{is-or}(M, a, b, z) \longleftrightarrow z = a \ \text{or} \ b$

by (*simp add: Bool.or-def cond-def is-or-def*)

lemma (in *M-trivial*) *bool-of-o-closed* [*intro,simp*]:
 $M(\text{bool-of-o}(P))$
by (*simp add: bool-of-o-def*)

lemma (in *M-trivial*) *and-closed* [*intro,simp*]:
 $[| M(p); M(q) |] \implies M(p \text{ and } q)$
by (*simp add: and-def cond-def*)

lemma (in *M-trivial*) *or-closed* [*intro,simp*]:
 $[| M(p); M(q) |] \implies M(p \text{ or } q)$
by (*simp add: or-def cond-def*)

lemma (in *M-trivial*) *not-closed* [*intro,simp*]:
 $M(p) \implies M(\text{not}(p))$
by (*simp add: Bool.not-def cond-def*)

1.9 Relativization and Absoluteness for List Operators

definition

is-Nil :: $[i \implies o, i] \implies o$ **where**
— because $[] \equiv \text{Inl}(\theta)$
 $\text{is-Nil}(M, xs) \equiv \exists \text{zero}[M]. \text{empty}(M, \text{zero}) \ \& \ \text{is-Inl}(M, \text{zero}, xs)$

definition

is-Cons :: $[i \implies o, i, i, i] \implies o$ **where**
— because $\text{Cons}(a, l) \equiv \text{Inr}(\langle a, l \rangle)$
 $\text{is-Cons}(M, a, l, Z) \equiv \exists p[M]. \text{pair}(M, a, l, p) \ \& \ \text{is-Inr}(M, p, Z)$

lemma (in *M-trivial*) *Nil-in-M* [*intro,simp*]: $M(\text{Nil})$
by (*simp add: Nil-def*)

lemma (in *M-trivial*) *Nil-abs* [*simp*]: $M(Z) \implies \text{is-Nil}(M, Z) \longleftrightarrow (Z = \text{Nil})$
by (*simp add: is-Nil-def Nil-def*)

lemma (in *M-trivial*) *Cons-in-M-iff* [*iff*]: $M(\text{Cons}(a, l)) \longleftrightarrow M(a) \ \& \ M(l)$
by (*simp add: Cons-def*)

lemma (in *M-trivial*) *Cons-abs* [*simp*]:
 $[| M(a); M(l); M(Z) |] \implies \text{is-Cons}(M, a, l, Z) \longleftrightarrow (Z = \text{Cons}(a, l))$
by (*simp add: is-Cons-def Cons-def*)

definition

quasilist :: $i \implies o$ **where**
 $\text{quasilist}(xs) \equiv xs = \text{Nil} \mid (\exists x \ l. xs = \text{Cons}(x, l))$

definition

$is-quaselist :: [i=>o, i] => o$ **where**
 $is-quaselist(M, z) == is-Nil(M, z) \mid (\exists x[M]. \exists l[M]. is-Cons(M, x, l, z))$

definition

$list-case' :: [i, [i, i]=>i, i] => i$ **where**
 — A version of $list-case$ that's always defined.
 $list-case'(a, b, xs) ==$
 if $quaselist(xs)$ then $list-case(a, b, xs)$ else 0

definition

$is-list-case :: [i=>o, i, [i, i]=>o, i, i] => o$ **where**
 — Returns 0 for non-lists
 $is-list-case(M, a, is-b, xs, z) ==$
 $(is-Nil(M, xs) \longrightarrow z=a) \ \&$
 $(\forall x[M]. \forall l[M]. is-Cons(M, x, l, xs) \longrightarrow is-b(x, l, z)) \ \&$
 $(is-quaselist(M, xs) \mid empty(M, z))$

definition

$hd' :: i => i$ **where**
 — A version of hd that's always defined.
 $hd'(xs) == if\ quaselist(xs)\ then\ hd(xs)\ else\ 0$

definition

$tl' :: i => i$ **where**
 — A version of tl that's always defined.
 $tl'(xs) == if\ quaselist(xs)\ then\ tl(xs)\ else\ 0$

definition

$is-hd :: [i=>o, i, i] => o$ **where**
 — $hd([]) = 0$ no constraints if not a list. Avoiding implication prevents the simplifier's looping.

$is-hd(M, xs, H) ==$
 $(is-Nil(M, xs) \longrightarrow empty(M, H)) \ \&$
 $(\forall x[M]. \forall l[M]. \sim is-Cons(M, x, l, xs) \mid H=x) \ \&$
 $(is-quaselist(M, xs) \mid empty(M, H))$

definition

$is-tl :: [i=>o, i, i] => o$ **where**
 — $tl([]) = []$; see comments about $is-hd$
 $is-tl(M, xs, T) ==$
 $(is-Nil(M, xs) \longrightarrow T=xs) \ \&$
 $(\forall x[M]. \forall l[M]. \sim is-Cons(M, x, l, xs) \mid T=l) \ \&$
 $(is-quaselist(M, xs) \mid empty(M, T))$

1.9.1 quaselist: For Case-Splitting with list-case'

lemma [iff]: $quaselist(Nil)$
by (simp add: quaselist-def)

lemma [iff]: *quaselist*(*Cons*(*x*,*l*))
by (*simp add: quaselist-def*)

lemma *list-imp-quaselist*: $l \in \text{list}(A) \implies \text{quaselist}(l)$
by (*erule list.cases, simp-all*)

1.9.2 *list-case'*, the Modified Version of *list-case*

lemma *list-case'-Nil* [*simp*]: $\text{list-case}'(a,b,\text{Nil}) = a$
by (*simp add: list-case'-def quaselist-def*)

lemma *list-case'-Cons* [*simp*]: $\text{list-case}'(a,b,\text{Cons}(x,l)) = b(x,l)$
by (*simp add: list-case'-def quaselist-def*)

lemma *non-list-case*: $\sim \text{quaselist}(x) \implies \text{list-case}'(a,b,x) = 0$
by (*simp add: quaselist-def list-case'-def*)

lemma *list-case'-eq-list-case* [*simp*]:
 $xs \in \text{list}(A) \implies \text{list-case}'(a,b,xs) = \text{list-case}(a,b,xs)$
by (*erule list.cases, simp-all*)

lemma (**in** *M-basic*) *list-case'-closed* [*intro,simp*]:
 $[[M(k); M(a); \forall x[M]. \forall y[M]. M(b(x,y))]] \implies M(\text{list-case}'(a,b,k))$
apply (*case-tac quaselist(k)*)
apply (*simp add: quaselist-def, force*)
apply (*simp add: non-list-case*)
done

lemma (**in** *M-trivial*) *quaselist-abs* [*simp*]:
 $M(z) \implies \text{is-quaselist}(M,z) \longleftrightarrow \text{quaselist}(z)$
by (*auto simp add: is-quaselist-def quaselist-def*)

lemma (**in** *M-trivial*) *list-case-abs* [*simp*]:
 $[[\text{relation2}(M, \text{is-b}, b); M(k); M(z)]]$
 $\implies \text{is-list-case}(M, a, \text{is-b}, k, z) \longleftrightarrow z = \text{list-case}'(a, b, k)$
apply (*case-tac quaselist(k)*)
prefer 2
apply (*simp add: is-list-case-def non-list-case*)
apply (*force simp add: quaselist-def*)
apply (*simp add: quaselist-def is-list-case-def*)
apply (*elim disjE exE*)
apply (*simp-all add: relation2-def*)
done

1.9.3 The Modified Operators *hd'* and *tl'*

lemma (**in** *M-trivial*) *is-hd-Nil*: $\text{is-hd}(M, [], Z) \longleftrightarrow \text{empty}(M, Z)$
by (*simp add: is-hd-def*)

lemma (in *M-trivial*) *is-hd-Cons*:
 $[[M(a); M(l)]] ==> is-hd(M, Cons(a,l), Z) \longleftrightarrow Z = a$
by (*force simp add: is-hd-def*)

lemma (in *M-trivial*) *hd-abs [simp]*:
 $[[M(x); M(y)]] ==> is-hd(M, x, y) \longleftrightarrow y = hd'(x)$
apply (*simp add: hd'-def*)
apply (*intro impI conjI*)
prefer 2 **apply** (*force simp add: is-hd-def*)
apply (*simp add: quaselist-def is-hd-def*)
apply (*elim disjE exE, auto*)
done

lemma (in *M-trivial*) *is-tl-Nil*: $is-tl(M, [], Z) \longleftrightarrow Z = []$
by (*simp add: is-tl-def*)

lemma (in *M-trivial*) *is-tl-Cons*:
 $[[M(a); M(l)]] ==> is-tl(M, Cons(a,l), Z) \longleftrightarrow Z = l$
by (*force simp add: is-tl-def*)

lemma (in *M-trivial*) *tl-abs [simp]*:
 $[[M(x); M(y)]] ==> is-tl(M, x, y) \longleftrightarrow y = tl'(x)$
apply (*simp add: tl'-def*)
apply (*intro impI conjI*)
prefer 2 **apply** (*force simp add: is-tl-def*)
apply (*simp add: quaselist-def is-tl-def*)
apply (*elim disjE exE, auto*)
done

lemma (in *M-trivial*) *relation1-tl*: $relation1(M, is-tl(M), tl')$
by (*simp add: relation1-def*)

lemma *hd'-Nil*: $hd'([]) = 0$
by (*simp add: hd'-def*)

lemma *hd'-Cons*: $hd'(Cons(a,l)) = a$
by (*simp add: hd'-def*)

lemma *tl'-Nil*: $tl'([]) = []$
by (*simp add: tl'-def*)

lemma *tl'-Cons*: $tl'(Cons(a,l)) = l$
by (*simp add: tl'-def*)

lemma *iterates-tl-Nil*: $n \in nat ==> tl'^n ([]) = []$
apply (*induct-tac n*)
apply (*simp-all add: tl'-Nil*)
done

```

lemma (in M-basic) tl'-closed:  $M(x) \implies M(tl'(x))$ 
apply (simp add: tl'-def)
apply (force simp add: quasulist-def)
done

```

```
end
```

2 First-Order Formulas and the Definition of the Class L

```
theory Formula imports ZF begin
```

2.1 Internalized formulas of FOL

De Bruijn representation. Unbound variables get their denotations from an environment.

```

consts formula :: i
datatype
  formula = Member (x ∈ nat, y ∈ nat)
           | Equal (x ∈ nat, y ∈ nat)
           | Nand (p ∈ formula, q ∈ formula)
           | Forall (p ∈ formula)

```

```
declare formula.intros [TC]
```

definition

```

Neg :: i => i where
Neg(p) == Nand(p,p)

```

definition

```

And :: [i,i] => i where
And(p,q) == Neg(Nand(p,q))

```

definition

```

Or :: [i,i] => i where
Or(p,q) == Nand(Neg(p),Neg(q))

```

definition

```

Implies :: [i,i] => i where
Implies(p,q) == Nand(p,Neg(q))

```

definition

```

Iff :: [i,i] => i where
Iff(p,q) == And(Implies(p,q), Implies(q,p))

```

definition

```

Exists :: i => i where

```

$Exists(p) == Neg(Forall(Neg(p)))$

lemma *Neg-type* [TC]: $p \in formula ==> Neg(p) \in formula$
by (*simp add: Neg-def*)

lemma *And-type* [TC]: $[p \in formula; q \in formula] ==> And(p,q) \in formula$
by (*simp add: And-def*)

lemma *Or-type* [TC]: $[p \in formula; q \in formula] ==> Or(p,q) \in formula$
by (*simp add: Or-def*)

lemma *Implies-type* [TC]:
 $[p \in formula; q \in formula] ==> Implies(p,q) \in formula$
by (*simp add: Implies-def*)

lemma *Iff-type* [TC]:
 $[p \in formula; q \in formula] ==> Iff(p,q) \in formula$
by (*simp add: Iff-def*)

lemma *Exists-type* [TC]: $p \in formula ==> Exists(p) \in formula$
by (*simp add: Exists-def*)

consts *satisfies* :: $[i,i]==>i$
primrec

$satisfies(A,Member(x,y)) =$
 $(\lambda env \in list(A). bool-of-o (nth(x,env) \in nth(y,env)))$

$satisfies(A,Equal(x,y)) =$
 $(\lambda env \in list(A). bool-of-o (nth(x,env) = nth(y,env)))$

$satisfies(A,Nand(p,q)) =$
 $(\lambda env \in list(A). not ((satisfies(A,p) 'env) and (satisfies(A,q) 'env)))$

$satisfies(A,Forall(p)) =$
 $(\lambda env \in list(A). bool-of-o (\forall x \in A. satisfies(A,p) ' (Cons(x,env)) = 1))$

lemma $p \in formula ==> satisfies(A,p) \in list(A) \rightarrow bool$
by (*induct set: formula*) *simp-all*

abbreviation

$sats :: [i,i,i] ==> o$ **where**
 $sats(A,p,env) == satisfies(A,p) 'env = 1$

lemma [*simp*]:
 $env \in list(A)$
 $==> sats(A, Member(x,y), env) \longleftrightarrow nth(x,env) \in nth(y,env)$
by *simp*

lemma [*simp*]:
 $env \in list(A)$
 $==> sats(A, Equal(x,y), env) \longleftrightarrow nth(x,env) = nth(y,env)$
by *simp*

lemma *sats-Nand-iff* [*simp*]:
 $env \in list(A)$
 $==> (sats(A, Nand(p,q), env)) \longleftrightarrow \sim (sats(A,p,env) \& sats(A,q,env))$
by (*simp add: Bool.and-def Bool.not-def cond-def*)

lemma *sats-Forall-iff* [*simp*]:
 $env \in list(A)$
 $==> sats(A, Forall(p), env) \longleftrightarrow (\forall x \in A. sats(A, p, Cons(x,env)))$
by *simp*

declare *satisfies.simps* [*simp del*]

2.2 Dividing line between primitive and derived connectives

lemma *sats-Neg-iff* [*simp*]:
 $env \in list(A)$
 $==> sats(A, Neg(p), env) \longleftrightarrow \sim sats(A,p,env)$
by (*simp add: Neg-def*)

lemma *sats-And-iff* [*simp*]:
 $env \in list(A)$
 $==> (sats(A, And(p,q), env)) \longleftrightarrow sats(A,p,env) \& sats(A,q,env)$
by (*simp add: And-def*)

lemma *sats-Or-iff* [*simp*]:
 $env \in list(A)$
 $==> (sats(A, Or(p,q), env)) \longleftrightarrow sats(A,p,env) | sats(A,q,env)$
by (*simp add: Or-def*)

lemma *sats-Implies-iff* [*simp*]:
 $env \in list(A)$
 $==> (sats(A, Implies(p,q), env)) \longleftrightarrow (sats(A,p,env) \longrightarrow sats(A,q,env))$
by (*simp add: Implies-def, blast*)

lemma *sats-Iff-iff* [*simp*]:
 $env \in list(A)$
 $==> (sats(A, Iff(p,q), env)) \longleftrightarrow (sats(A,p,env) \longleftrightarrow sats(A,q,env))$
by (*simp add: Iff-def, blast*)

lemma *sats-Exists-iff* [*simp*]:
 $env \in list(A)$
 $==> sats(A, Exists(p), env) \longleftrightarrow (\exists x \in A. sats(A, p, Cons(x,env)))$
by (*simp add: Exists-def*)

2.2.1 Derived rules to help build up formulas

lemma *mem-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{env} \in \text{list}(A) |] \\ & \implies (x \in y) \longleftrightarrow \text{sats}(A, \text{Member}(i, j), \text{env}) \end{aligned}$$

by (*simp add: satisfies.simps*)

lemma *equal-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{env} \in \text{list}(A) |] \\ & \implies (x = y) \longleftrightarrow \text{sats}(A, \text{Equal}(i, j), \text{env}) \end{aligned}$$

by (*simp add: satisfies.simps*)

lemma *not-iff-sats*:

$$\begin{aligned} & [| P \longleftrightarrow \text{sats}(A, p, \text{env}); \text{env} \in \text{list}(A) |] \\ & \implies (\sim P) \longleftrightarrow \text{sats}(A, \text{Neg}(p), \text{env}) \end{aligned}$$

by *simp*

lemma *conj-iff-sats*:

$$\begin{aligned} & [| P \longleftrightarrow \text{sats}(A, p, \text{env}); Q \longleftrightarrow \text{sats}(A, q, \text{env}); \text{env} \in \text{list}(A) |] \\ & \implies (P \ \& \ Q) \longleftrightarrow \text{sats}(A, \text{And}(p, q), \text{env}) \end{aligned}$$

by (*simp add: sats-And-iff*)

lemma *disj-iff-sats*:

$$\begin{aligned} & [| P \longleftrightarrow \text{sats}(A, p, \text{env}); Q \longleftrightarrow \text{sats}(A, q, \text{env}); \text{env} \in \text{list}(A) |] \\ & \implies (P \ | \ Q) \longleftrightarrow \text{sats}(A, \text{Or}(p, q), \text{env}) \end{aligned}$$

by (*simp add: sats-Or-iff*)

lemma *iff-iff-sats*:

$$\begin{aligned} & [| P \longleftrightarrow \text{sats}(A, p, \text{env}); Q \longleftrightarrow \text{sats}(A, q, \text{env}); \text{env} \in \text{list}(A) |] \\ & \implies (P \longleftrightarrow Q) \longleftrightarrow \text{sats}(A, \text{Iff}(p, q), \text{env}) \end{aligned}$$

by (*simp add: sats-Forall-iff*)

lemma *imp-iff-sats*:

$$\begin{aligned} & [| P \longleftrightarrow \text{sats}(A, p, \text{env}); Q \longleftrightarrow \text{sats}(A, q, \text{env}); \text{env} \in \text{list}(A) |] \\ & \implies (P \longrightarrow Q) \longleftrightarrow \text{sats}(A, \text{Implies}(p, q), \text{env}) \end{aligned}$$

by (*simp add: sats-Forall-iff*)

lemma *ball-iff-sats*:

$$\begin{aligned} & [| !!x. x \in A \implies P(x) \longleftrightarrow \text{sats}(A, p, \text{Cons}(x, \text{env})); \text{env} \in \text{list}(A) |] \\ & \implies (\forall x \in A. P(x)) \longleftrightarrow \text{sats}(A, \text{Forall}(p), \text{env}) \end{aligned}$$

by (*simp add: sats-Forall-iff*)

lemma *bex-iff-sats*:

$$\begin{aligned} & [| !!x. x \in A \implies P(x) \longleftrightarrow \text{sats}(A, p, \text{Cons}(x, \text{env})); \text{env} \in \text{list}(A) |] \\ & \implies (\exists x \in A. P(x)) \longleftrightarrow \text{sats}(A, \text{Exists}(p), \text{env}) \end{aligned}$$

by (*simp add: sats-Exists-iff*)

lemmas *FOL-iff-sats* =

*mem-iff-sats equal-iff-sats not-iff-sats conj-iff-sats
disj-iff-sats imp-iff-sats iff-iff-sats imp-iff-sats ball-iff-sats*

bex-iff-sats

2.3 Arity of a Formula: Maximum Free de Bruijn Index

consts *arity* :: $i \Rightarrow i$

primrec

$arity(Member(x,y)) = succ(x) \cup succ(y)$

$arity(Equal(x,y)) = succ(x) \cup succ(y)$

$arity(Nand(p,q)) = arity(p) \cup arity(q)$

$arity(Forall(p)) = Arith.pred(arity(p))$

lemma *arity-type* [TC]: $p \in formula \Rightarrow arity(p) \in nat$
by (*induct-tac p, simp-all*)

lemma *arity-Neg* [*simp*]: $arity(Neg(p)) = arity(p)$
by (*simp add: Neg-def*)

lemma *arity-And* [*simp*]: $arity(And(p,q)) = arity(p) \cup arity(q)$
by (*simp add: And-def*)

lemma *arity-Or* [*simp*]: $arity(Or(p,q)) = arity(p) \cup arity(q)$
by (*simp add: Or-def*)

lemma *arity-Implies* [*simp*]: $arity(Implies(p,q)) = arity(p) \cup arity(q)$
by (*simp add: Implies-def*)

lemma *arity-Iff* [*simp*]: $arity(Iff(p,q)) = arity(p) \cup arity(q)$
by (*simp add: Iff-def, blast*)

lemma *arity-Exists* [*simp*]: $arity(Exists(p)) = Arith.pred(arity(p))$
by (*simp add: Exists-def*)

lemma *arity-sats-iff* [*rule-format*]:

$[| p \in formula; extra \in list(A) |]$

$\Rightarrow \forall env \in list(A).$

$arity(p) \leq length(env) \longrightarrow$

$sats(A, p, env @ extra) \longleftrightarrow sats(A, p, env)$

apply (*induct-tac p*)

apply (*simp-all add: Arith.pred-def nth-append Un-least-lt-iff nat-imp-quasinat
split: split-nat-case, auto*)

done

lemma *arity-sats1-iff*:

$[| arity(p) \leq succ(length(env)); p \in formula; x \in A; env \in list(A);$

```

      extra ∈ list(A) ]]
    ==> sats(A, p, Cons(x, env @ extra)) ↔ sats(A, p, Cons(x, env))
apply (insert arity-sats-iff [of p extra A Cons(x,env)])
apply simp
done

```

2.4 Renaming Some de Bruijn Variables

definition

```

incr-var :: [i,i]=>i where
incr-var(x,nq) == if x<nq then x else succ(x)

```

lemma *incr-var-lt*: $x < nq \implies \text{incr-var}(x, nq) = x$
by (*simp add: incr-var-def*)

lemma *incr-var-le*: $nq \leq x \implies \text{incr-var}(x, nq) = \text{succ}(x)$
apply (*simp add: incr-var-def*)
apply (*blast dest: lt-trans1*)
done

consts *incr-bv* :: $i \implies i$

primrec

```

incr-bv(Member(x,y)) =
  (λnq ∈ nat. Member (incr-var(x,nq), incr-var(y,nq)))

```

```

incr-bv(Equal(x,y)) =
  (λnq ∈ nat. Equal (incr-var(x,nq), incr-var(y,nq)))

```

```

incr-bv(Nand(p,q)) =
  (λnq ∈ nat. Nand (incr-bv(p) 'nq, incr-bv(q) 'nq))

```

```

incr-bv(Forall(p)) =
  (λnq ∈ nat. Forall (incr-bv(p) ' succ(nq)))

```

lemma [*TC*]: $x \in \text{nat} \implies \text{incr-var}(x, nq) \in \text{nat}$
by (*simp add: incr-var-def*)

lemma *incr-bv-type* [*TC*]: $p \in \text{formula} \implies \text{incr-bv}(p) \in \text{nat} \rightarrow \text{formula}$
by (*induct-tac p, simp-all*)

Obviously, *DPow* is closed under complements and finite intersections and unions. Needs an inductive lemma to allow two lists of parameters to be combined.

lemma *sats-incr-bv-iff* [*rule-format*]:

```

[[ p ∈ formula; env ∈ list(A); x ∈ A ]]
==> ∀ bus ∈ list(A).
  sats(A, incr-bv(p) ' length(bus), bus @ Cons(x,env)) ↔
  sats(A, p, bus@env)

```

```

apply (induct-tac p)
apply (simp-all add: incr-var-def nth-append succ-lt-iff length-type)
apply (auto simp add: diff-succ not-lt-iff-le)
done

```

```

lemma incr-var-lemma:
  [|  $x \in \text{nat}; y \in \text{nat}; nq \leq x$  |]
  ==>  $\text{succ}(x) \cup \text{incr-var}(y, nq) = \text{succ}(x \cup y)$ 
apply (simp add: incr-var-def Ord-Un-if, auto)
  apply (blast intro: leI)
  apply (simp add: not-lt-iff-le)
  apply (blast intro: le-anti-sym)
apply (blast dest: lt-trans2)
done

```

```

lemma incr-And-lemma:
   $y < x ==> y \cup \text{succ}(x) = \text{succ}(x \cup y)$ 
apply (simp add: Ord-Un-if lt-Ord lt-Ord2 succ-lt-iff)
apply (blast dest: lt-asymp)
done

```

```

lemma arity-incr-bv-lemma [rule-format]:
   $p \in \text{formula}$ 
  ==>  $\forall n \in \text{nat}. \text{arity}(\text{incr-bv}(p) \text{ ` } n) =$ 
    (if  $n < \text{arity}(p)$  then  $\text{succ}(\text{arity}(p))$  else  $\text{arity}(p)$ )
apply (induct-tac p)
apply (simp-all add: imp-disj not-lt-iff-le Un-least-lt-iff lt-Un-iff le-Un-iff
  succ-Un-distrib [symmetric] incr-var-lt incr-var-le
  Un-commute incr-var-lemma Arith.pred-def nat-imp-quasinat
  split: split-nat-case)

```

the Forall case reduces to linear arithmetic

```

prefer 2
apply clarify
apply (blast dest: lt-trans1)

```

left with the And case

```

apply safe
  apply (blast intro: incr-And-lemma lt-trans1)
apply (subst incr-And-lemma)
  apply (blast intro: lt-trans1)
apply (simp add: Un-commute)
done

```

2.5 Renaming all but the First de Bruijn Variable definition

```

incr-bv1 :: i => i where
incr-bv1(p) == incr-bv(p)‘1

```

```

lemma incr-bv1-type [TC]: p ∈ formula ==> incr-bv1(p) ∈ formula
by (simp add: incr-bv1-def)

```

```

lemma sats-incr-bv1-iff:
  [| p ∈ formula; env ∈ list(A); x ∈ A; y ∈ A |]
  ==> sats(A, incr-bv1(p), Cons(x, Cons(y, env))) ↔
      sats(A, p, Cons(x,env))
apply (insert sats-incr-bv-iff [of p env A y Cons(x,Nil)])
apply (simp add: incr-bv1-def)
done

```

```

lemma formula-add-params1 [rule-format]:
  [| p ∈ formula; n ∈ nat; x ∈ A |]
  ==> ∀ bvs ∈ list(A). ∀ env ∈ list(A).
      length(bvs) = n →
      sats(A, iterates(incr-bv1, n, p), Cons(x, bvs@env)) ↔
      sats(A, p, Cons(x,env))
apply (induct-tac n, simp, clarify)
apply (erule list.cases)
apply (simp-all add: sats-incr-bv1-iff)
done

```

```

lemma arity-incr-bv1-eq:
  p ∈ formula
  ==> arity(incr-bv1(p)) =
      (if 1 < arity(p) then succ(arity(p)) else arity(p))
apply (insert arity-incr-bv-lemma [of p 1])
apply (simp add: incr-bv1-def)
done

```

```

lemma arity-iterates-incr-bv1-eq:
  [| p ∈ formula; n ∈ nat |]
  ==> arity(incr-bv1^n(p)) =
      (if 1 < arity(p) then n #+ arity(p) else arity(p))
apply (induct-tac n)
apply (simp-all add: arity-incr-bv1-eq)
apply (simp add: not-lt-iff-le)
apply (blast intro: le-trans add-le-self2 arity-type)
done

```

2.6 Definable Powerset

The definable powerset operation: Kunen’s definition VI 1.1, page 165.

definition

$DPow :: i \Rightarrow i$ **where**
 $DPow(A) == \{X \in Pow(A).$
 $\quad \exists env \in list(A). \exists p \in formula.$
 $\quad \quad arity(p) \leq succ(length(env)) \ \&$
 $\quad \quad X = \{x \in A. sats(A, p, Cons(x, env))\}$

lemma DPowI:

$[[env \in list(A); p \in formula; arity(p) \leq succ(length(env))]]$
 $==> \{x \in A. sats(A, p, Cons(x, env))\} \in DPow(A)$
by (*simp add: DPow-def, blast*)

With this rule we can specify p later.

lemma DPowI2 [rule-format]:

$[[\forall x \in A. P(x) \longleftrightarrow sats(A, p, Cons(x, env));$
 $\quad env \in list(A); p \in formula; arity(p) \leq succ(length(env))]]$
 $==> \{x \in A. P(x)\} \in DPow(A)$
by (*simp add: DPow-def, blast*)

lemma DPowD:

$X \in DPow(A)$
 $==> X \subseteq A \ \&$
 $\quad (\exists env \in list(A).$
 $\quad \quad \exists p \in formula. arity(p) \leq succ(length(env)) \ \&$
 $\quad \quad X = \{x \in A. sats(A, p, Cons(x, env))\})$
by (*simp add: DPow-def*)

lemmas DPow-imp-subset = DPowD [THEN conjunct1]

lemma $[[p \in formula; env \in list(A); arity(p) \leq succ(length(env))]]$
 $==> \{x \in A. sats(A, p, Cons(x, env))\} \in DPow(A)$
by (*blast intro: DPowI*)

lemma DPow-subset-Pow: DPow(A) \subseteq Pow(A)

by (*simp add: DPow-def, blast*)

lemma empty-in-DPow: 0 \in DPow(A)

apply (*simp add: DPow-def*)

apply (*rule-tac x=Nil in bexI*)

apply (*rule-tac x=Neg(Equal(0,0)) in bexI*)

apply (*auto simp add: Un-least-lt-iff*)

done

lemma Compl-in-DPow: X \in DPow(A) $==>$ (A-X) \in DPow(A)

apply (*simp add: DPow-def, clarify, auto*)

apply (*rule bexI*)

apply (*rule-tac x=Neg(p) in bexI*)

apply *auto*

done

lemma *Int-in-DPow*: $[[X \in DPow(A); Y \in DPow(A)]] \implies X \cap Y \in DPow(A)$
apply (*simp add: DPow-def, auto*)
apply (*rename-tac envp p envq q*)
apply (*rule-tac x=envp@envq in bezI*)
 apply (*rule-tac x=And(p, iterates(incr-bv1,length(envp),q)) in bezI*)
 apply *typecheck*
apply (*rule conjI*)

apply (*simp add: arity-iterates-incr-bv1-eq length-app Un-least-lt-iff*)
 apply (*force intro: add-le-self le-trans*)
apply (*simp add: arity-sats1-iff formula-add-params1, blast*)
done

lemma *Un-in-DPow*: $[[X \in DPow(A); Y \in DPow(A)]] \implies X \cup Y \in DPow(A)$
apply (*subgoal-tac $X \cup Y = A - ((A-X) \cap (A-Y))$*)
apply (*simp add: Int-in-DPow Compl-in-DPow*)
apply (*simp add: DPow-def, blast*)
done

lemma *singleton-in-DPow*: $a \in A \implies \{a\} \in DPow(A)$
apply (*simp add: DPow-def*)
apply (*rule-tac x=Cons(a,Nil) in bezI*)
 apply (*rule-tac x=Equal(0,1) in bezI*)
 apply *typecheck*
apply (*force simp add: succ-Un-distrib [symmetric]*)
done

lemma *cons-in-DPow*: $[[a \in A; X \in DPow(A)]] \implies cons(a,X) \in DPow(A)$
apply (*rule cons-eq [THEN subst]*)
apply (*blast intro: singleton-in-DPow Un-in-DPow*)
done

lemma *Fin-into-DPow*: $X \in Fin(A) \implies X \in DPow(A)$
apply (*erule Fin.induct*)
 apply (*rule empty-in-DPow*)
apply (*blast intro: cons-in-DPow*)
done

DPow is not monotonic. For example, let A be some non-constructible set of natural numbers, and let B be *nat*. Then $A \subseteq B$ and obviously $A \in DPow(A)$ but $A \notin DPow(B)$.

lemma *Finite-Pow-subset-Pow*: $Finite(A) \implies Pow(A) \subseteq DPow(A)$
by (*blast intro: Fin-into-DPow Finite-into-Fin Fin-subset*)

lemma *Finite-DPow-eq-Pow*: $Finite(A) \implies DPow(A) = Pow(A)$
apply (*rule equalityI*)

```

apply (rule DPow-subset-Pow)
apply (erule Finite-Pow-subset-Pow)
done

```

2.7 Internalized Formulas for the Ordinals

The *sats* theorems below differ from the usual form in that they include an element of absoluteness. That is, they relate internalized formulas to real concepts such as the subset relation, rather than to the relativized concepts defined in theory *Relative*. This lets us prove the theorem as *Ords-in-DPow* without first having to instantiate the locale *M-trivial*. Note that the present theory does not even take *Relative* as a parent.

2.7.1 The subset relation

definition

```

subset-fm :: [i,i]=>i where
subset-fm(x,y) == Forall(Implies(Member(0,succ(x)), Member(0,succ(y))))

```

lemma *subset-type* [TC]: $[[x \in \text{nat}; y \in \text{nat}] \implies \text{subset-fm}(x,y) \in \text{formula}]$
by (*simp add: subset-fm-def*)

lemma *arity-subset-fm* [*simp*]:

```

[[x ∈ nat; y ∈ nat]] ==> arity(subset-fm(x,y)) = succ(x) ∪ succ(y)
by (simp add: subset-fm-def succ-Un-distrib [symmetric])

```

lemma *sats-subset-fm* [*simp*]:

```

[[x < length(env); y ∈ nat; env ∈ list(A); Transset(A)]]
==> sats(A, subset-fm(x,y), env) ⟷ nth(x,env) ⊆ nth(y,env)

```

apply (*frule lt-length-in-nat, assumption*)

apply (*simp add: subset-fm-def Transset-def*)

apply (*blast intro: nth-type*)

done

2.7.2 Transitive sets

definition

```

transset-fm :: i=>i where
transset-fm(x) == Forall(Implies(Member(0,succ(x)), subset-fm(0,succ(x))))

```

lemma *transset-type* [TC]: $x \in \text{nat} \implies \text{transset-fm}(x) \in \text{formula}$
by (*simp add: transset-fm-def*)

lemma *arity-transset-fm* [*simp*]:

```

x ∈ nat ==> arity(transset-fm(x)) = succ(x)
by (simp add: transset-fm-def succ-Un-distrib [symmetric])

```

lemma *sats-transset-fm* [*simp*]:

```

[[x < length(env); env ∈ list(A); Transset(A)]]
==> sats(A, transset-fm(x), env) ↔ Transset(nth(x,env))
apply (frule lt-nat-in-nat, erule length-type)
apply (simp add: transset-fm-def Transset-def)
apply (blast intro: nth-type)
done

```

2.7.3 Ordinals

definition

```

ordinal-fm :: i=>i where
ordinal-fm(x) ==
And(transset-fm(x), Forall(Implies(Member(0,succ(x)), transset-fm(0))))

```

lemma *ordinal-type* [TC]: $x \in \text{nat} \implies \text{ordinal-fm}(x) \in \text{formula}$
by (simp add: ordinal-fm-def)

lemma *arity-ordinal-fm* [simp]:

```

x ∈ nat ==> arity(ordinal-fm(x)) = succ(x)
by (simp add: ordinal-fm-def succ-Un-distrib [symmetric])

```

lemma *sats-ordinal-fm*:

```

[[x < length(env); env ∈ list(A); Transset(A)]]
==> sats(A, ordinal-fm(x), env) ↔ Ord(nth(x,env))
apply (frule lt-nat-in-nat, erule length-type)
apply (simp add: ordinal-fm-def Ord-def Transset-def)
apply (blast intro: nth-type)
done

```

The subset consisting of the ordinals is definable. Essential lemma for *Ord-in-Lset*. This result is the objective of the present subsection.

theorem *Ords-in-DPow*: $\text{Transset}(A) \implies \{x \in A. \text{Ord}(x)\} \in \text{DPow}(A)$
apply (simp add: DPow-def Collect-subset)
apply (rule-tac x=Nil in bexI)
apply (rule-tac x=ordinal-fm(0) in bexI)
apply (simp-all add: sats-ordinal-fm)
done

2.8 Constant Lset: Levels of the Constructible Universe

definition

```

Lset :: i=>i where
Lset(i) == transrec(i, %x f. ⋃ y∈x. DPow(f'y))

```

definition

```

L :: i=>o where — Kunen's definition VI 1.5, page 167
L(x) == ∃ i. Ord(i) & x ∈ Lset(i)

```

NOT SUITABLE FOR REWRITING – RECURSIVE!

lemma *Lset*: $Lset(i) = (\bigcup_{j \in i} DPow(Lset(j)))$
by (*subst Lset-def [THEN def-transrec], simp*)

lemma *LsetI*: $[[y \in x; A \in DPow(Lset(y))]] \implies A \in Lset(x)$
by (*subst Lset, blast*)

lemma *LsetD*: $A \in Lset(x) \implies \exists y \in x. A \in DPow(Lset(y))$
apply (*insert Lset [of x]*)
apply (*blast intro: elim: equalityE*)
done

2.8.1 Transitivity

lemma *elem-subset-in-DPow*: $[[X \in A; X \subseteq A]] \implies X \in DPow(A)$
apply (*simp add: Transset-def DPow-def*)
apply (*rule-tac x=[X] in bexI*)
apply (*rule-tac x=Member(0,1) in bexI*)
apply (*auto simp add: Un-least-lt-iff*)
done

lemma *Transset-subset-DPow*: $Transset(A) \implies A \subseteq DPow(A)$
apply *clarify*
apply (*simp add: Transset-def*)
apply (*blast intro: elem-subset-in-DPow*)
done

lemma *Transset-DPow*: $Transset(A) \implies Transset(DPow(A))$
apply (*simp add: Transset-def*)
apply (*blast intro: elem-subset-in-DPow dest: DPowD*)
done

Kunen's VI 1.6 (a)

lemma *Transset-Lset*: $Transset(Lset(i))$
apply (*rule-tac a=i in eps-induct*)
apply (*subst Lset*)
apply (*blast intro!: Transset-Union-family Transset-Un Transset-DPow*)
done

lemma *mem-Lset-imp-subset-Lset*: $a \in Lset(i) \implies a \subseteq Lset(i)$
apply (*insert Transset-Lset*)
apply (*simp add: Transset-def*)
done

2.8.2 Monotonicity

Kunen's VI 1.6 (b)

lemma *Lset-mono* [*rule-format*]:
 $\forall j. i \leq j \longrightarrow Lset(i) \subseteq Lset(j)$
proof (*induct i rule: eps-induct, intro allI impI*)

```

fix x j
assume  $\forall y \in x. \forall j. y \subseteq j \longrightarrow Lset(y) \subseteq Lset(j)$ 
and  $x \subseteq j$ 
thus  $Lset(x) \subseteq Lset(j)$ 
by (force simp add: Lset [of x] Lset [of j])
qed

```

This version lets us remove the premise $Ord(i)$ sometimes.

```

lemma Lset-mono-mem [rule-format]:
 $\forall j. i \in j \longrightarrow Lset(i) \subseteq Lset(j)$ 
proof (induct i rule: eps-induct, intro allI impI)
fix x j
assume  $\forall y \in x. \forall j. y \in j \longrightarrow Lset(y) \subseteq Lset(j)$ 
and  $x \in j$ 
thus  $Lset(x) \subseteq Lset(j)$ 
by (force simp add: Lset [of j]
      intro!: bexI intro: elem-subset-in-DPow dest: LsetD DPowD)
qed

```

Useful with Reflection to bump up the ordinal

```

lemma subset-Lset-ltD:  $[A \subseteq Lset(i); i < j] ==> A \subseteq Lset(j)$ 
by (blast dest: ltD [THEN Lset-mono-mem])

```

2.8.3 0, successor and limit equations for Lset

```

lemma Lset-0 [simp]:  $Lset(0) = 0$ 
by (subst Lset, blast)

```

```

lemma Lset-succ-subset1:  $DPow(Lset(i)) \subseteq Lset(succ(i))$ 
by (subst Lset, rule succI1 [THEN RepFunI, THEN Union-upper])

```

```

lemma Lset-succ-subset2:  $Lset(succ(i)) \subseteq DPow(Lset(i))$ 
apply (subst Lset, rule UN-least)
apply (erule succE)
apply blast
apply clarify
apply (rule elem-subset-in-DPow)
apply (subst Lset)
apply blast
apply (blast intro: dest: DPowD Lset-mono-mem)
done

```

```

lemma Lset-succ:  $Lset(succ(i)) = DPow(Lset(i))$ 
by (intro equalityI Lset-succ-subset1 Lset-succ-subset2)

```

```

lemma Lset-Union [simp]:  $Lset(\bigcup(X)) = (\bigcup y \in X. Lset(y))$ 
apply (subst Lset)
apply (rule equalityI)

```

first inclusion

```

apply (rule UN-least)
apply (erule UnionE)
apply (rule subset-trans)
apply (erule-tac [2] UN-upper, subst Lset, erule UN-upper)

```

opposite inclusion

```

apply (rule UN-least)
apply (subst Lset, blast)
done

```

2.8.4 Lset applied to Limit ordinals

lemma *Limit-Lset-eq*:

```

  Limit(i) ==> Lset(i) = (⋃ y∈i. Lset(y))
by (simp add: Lset-Union [symmetric] Limit-Union-eq)

```

lemma *lt-LsetI*: $[[a \in Lset(j); j < i]] ==> a \in Lset(i)$

```

by (blast dest: Lset-mono [OF le-imp-subset [OF leI]])

```

lemma *Limit-LsetE*:

```

  [[ a ∈ Lset(i); ~R ==> Limit(i);
    !!x. [[ x < i; a ∈ Lset(x) ]] ==> R
  ]] ==> R
apply (rule classical)
apply (rule Limit-Lset-eq [THEN equalityD1, THEN subsetD, THEN UN-E])
  prefer 2 apply assumption
  apply blast
apply (blast intro: ltI Limit-is-Ord)
done

```

2.8.5 Basic closure properties

lemma *zero-in-Lset*: $y \in x ==> 0 \in Lset(x)$

```

by (subst Lset, blast intro: empty-in-DPow)

```

lemma *notin-Lset*: $x \notin Lset(x)$

```

apply (rule-tac a=x in eps-induct)
apply (subst Lset)
apply (blast dest: DPowD)
done

```

2.9 Constructible Ordinals: Kunen's VI 1.9 (b)

lemma *Ords-of-Lset-eq*: $Ord(i) ==> \{x \in Lset(i). Ord(x)\} = i$

```

apply (erule trans-induct3)
  apply (simp-all add: Lset-succ Limit-Lset-eq Limit-Union-eq)

```

The successor case remains.

```

apply (rule equalityI)

```

First inclusion

```
apply clarify
apply (erule Ord-linear-lt, assumption)
  apply (blast dest: DPow-imp-subset ltD notE [OF notin-Lset])
  apply blast
apply (blast dest: ltD)
```

Opposite inclusion, $\text{succ}(x) \subseteq \text{DPow}(\text{Lset}(x)) \cap \text{ON}$

```
apply auto
```

Key case:

```
  apply (erule subst, rule Ords-in-DPow [OF Transset-Lset])
  apply (blast intro: elem-subset-in-DPow dest: OrdmemD elim: equalityE)
apply (blast intro: Ord-in-Ord)
done
```

```
lemma Ord-subset-Lset: Ord(i) ==> i ⊆ Lset(i)
by (subst Ords-of-Lset-eq [symmetric], assumption, fast)
```

```
lemma Ord-in-Lset: Ord(i) ==> i ∈ Lset(succ(i))
apply (simp add: Lset-succ)
apply (subst Ords-of-Lset-eq [symmetric], assumption,
  rule Ords-in-DPow [OF Transset-Lset])
done
```

```
lemma Ord-in-L: Ord(i) ==> L(i)
by (simp add: L-def, blast intro: Ord-in-Lset)
```

2.9.1 Unions

```
lemma Union-in-Lset:
   $X \in \text{Lset}(i) \implies \bigcup(X) \in \text{Lset}(\text{succ}(i))$ 
apply (insert Transset-Lset)
apply (rule LsetI [OF succI1])
apply (simp add: Transset-def DPow-def)
apply (intro conjI, blast)
```

Now to create the formula $\exists y. y \in X \wedge x \in y$

```
apply (rule-tac x=Cons(X,Nil) in bexI)
  apply (rule-tac x=Exists(And(Member(0,2), Member(1,0))) in bexI)
  apply typecheck
apply (simp add: succ-Un-distrib [symmetric], blast)
done
```

```
theorem Union-in-L: L(X) ==> L(⋃(X))
by (simp add: L-def, blast dest: Union-in-Lset)
```

2.9.2 Finite sets and ordered pairs

lemma *singleton-in-Lset*: $a \in Lset(i) \implies \{a\} \in Lset(succ(i))$
by (*simp add: Lset-succ singleton-in-DPow*)

lemma *doubleton-in-Lset*:
 $\llbracket a \in Lset(i); b \in Lset(i) \rrbracket \implies \{a,b\} \in Lset(succ(i))$
by (*simp add: Lset-succ empty-in-DPow cons-in-DPow*)

lemma *Pair-in-Lset*:
 $\llbracket a \in Lset(i); b \in Lset(i); Ord(i) \rrbracket \implies \langle a,b \rangle \in Lset(succ(succ(i)))$
apply (*unfold Pair-def*)
apply (*blast intro: doubleton-in-Lset*)
done

lemmas *Lset-UnI1* = *Un-upper1* [*THEN Lset-mono* [*THEN subsetD*]]
lemmas *Lset-UnI2* = *Un-upper2* [*THEN Lset-mono* [*THEN subsetD*]]

Hard work is finding a single $j \in i$ such that $\{a, b\} \subseteq Lset(j)$

lemma *doubleton-in-LLimit*:
 $\llbracket a \in Lset(i); b \in Lset(i); Limit(i) \rrbracket \implies \{a,b\} \in Lset(i)$
apply (*erule Limit-LsetE, assumption*)
apply (*erule Limit-LsetE, assumption*)
apply (*blast intro: lt-LsetI [OF doubleton-in-Lset]*
Lset-UnI1 Lset-UnI2 Limit-has-succ Un-least-lt)
done

theorem *doubleton-in-L*: $\llbracket L(a); L(b) \rrbracket \implies L(\{a, b\})$
apply (*simp add: L-def, clarify*)
apply (*drule Ord2-imp-greater-Limit, assumption*)
apply (*blast intro: lt-LsetI doubleton-in-LLimit Limit-is-Ord*)
done

lemma *Pair-in-LLimit*:
 $\llbracket a \in Lset(i); b \in Lset(i); Limit(i) \rrbracket \implies \langle a,b \rangle \in Lset(i)$

Infer that a, b occur at ordinals $x, xa \upharpoonright i$.

apply (*erule Limit-LsetE, assumption*)
apply (*erule Limit-LsetE, assumption*)

Infer that $succ(succ(x \cup xa)) < i$

apply (*blast intro: lt-Ord lt-LsetI [OF Pair-in-Lset]*
Lset-UnI1 Lset-UnI2 Limit-has-succ Un-least-lt)
done

The rank function for the constructible universe

definition
 $lrnk :: i \implies i$ **where** — Kunen's definition VI 1.7
 $lrnk(x) == \mu i. x \in Lset(succ(i))$

lemma *L-I*: $[|x \in Lset(i); Ord(i)|] ==> L(x)$
by (*simp add: L-def, blast*)

lemma *L-D*: $L(x) ==> \exists i. Ord(i) \ \& \ x \in Lset(i)$
by (*simp add: L-def*)

lemma *Ord-lrank* [*simp*]: $Ord(lrank(a))$
by (*simp add: lrank-def*)

lemma *Lset-lrank-lt* [*rule-format*]: $Ord(i) ==> x \in Lset(i) \longrightarrow lrank(x) < i$
apply (*erule trans-induct3*)
apply *simp*
apply (*simp only: lrank-def*)
apply (*blast intro: Least-le*)
apply (*simp-all add: Limit-Lset-eq*)
apply (*blast intro: ltI Limit-is-Ord lt-trans*)
done

Kunen's VI 1.8. The proof is much harder than the text would suggest. For a start, it needs the previous lemma, which is proved by induction.

lemma *Lset-iff-lrank-lt*: $Ord(i) ==> x \in Lset(i) \longleftrightarrow L(x) \ \& \ lrank(x) < i$
apply (*simp add: L-def, auto*)
apply (*blast intro: Lset-lrank-lt*)
apply (*unfold lrank-def*)
apply (*drule succI1 [THEN Lset-mono-mem, THEN subsetD]*)
apply (*drule-tac P= $\lambda i. x \in Lset(succ(i))$ in LeastI, assumption*)
apply (*blast intro!: le-imp-subset Lset-mono [THEN subsetD]*)
done

lemma *Lset-succ-lrank-iff* [*simp*]: $x \in Lset(succ(lrank(x))) \longleftrightarrow L(x)$
by (*simp add: Lset-iff-lrank-lt*)

Kunen's VI 1.9 (a)

lemma *lrank-of-Ord*: $Ord(i) ==> lrank(i) = i$
apply (*unfold lrank-def*)
apply (*rule Least-equality*)
apply (*erule Ord-in-Lset*)
apply *assumption*
apply (*insert notin-Lset [of i]*)
apply (*blast intro!: le-imp-subset Lset-mono [THEN subsetD]*)
done

This is $lrank(lrank(a)) = lrank(a)$

declare *Ord-lrank* [*THEN lrank-of-Ord, simp*]

Kunen's VI 1.10

lemma *Lset-in-Lset-succ*: $Lset(i) \in Lset(succ(i))$

```

apply (simp add: Lset-succ DPow-def)
apply (rule-tac x=Nil in beXI)
  apply (rule-tac x=Equal(0,0) in beXI)
apply auto
done

```

```

lemma lrank-Lset: Ord(i) ==> lrank(Lset(i)) = i
apply (unfold lrank-def)
apply (rule Least-equality)
  apply (rule Lset-in-Lset-succ)
  apply assumption
apply clarify
apply (subgoal-tac Lset(succ(ia)) ⊆ Lset(i))
  apply (blast dest: mem-irrefl)
apply (blast intro!: le-imp-subset Lset-mono)
done

```

Kunen's VI 1.11

```

lemma Lset-subset-Vset: Ord(i) ==> Lset(i) ⊆ Vset(i)
apply (erule trans-induct)
apply (subst Lset)
apply (subst Vset)
apply (rule UN-mono [OF subset-refl])
apply (rule subset-trans [OF DPow-subset-Pow])
apply (rule Pow-mono, blast)
done

```

Kunen's VI 1.12

```

lemma Lset-subset-Vset': i ∈ nat ==> Lset(i) = Vset(i)
apply (erule nat-induct)
  apply (simp add: Vfrom-0)
apply (simp add: Lset-succ Vset-succ Finite-Vset Finite-DPow-eq-Pow)
done

```

Every set of constructible sets is included in some $Lset$

```

lemma subset-Lset:
  ( $\forall x \in A. L(x)$ ) ==>  $\exists i. Ord(i) \ \& \ A \subseteq Lset(i)$ 
by (rule-tac x =  $\bigcup_{x \in A. succ(lrank(x))$  in exI, force)

```

```

lemma subset-LsetE:
  [ $\forall x \in A. L(x)$ ;
  !!i. [ $Ord(i); A \subseteq Lset(i)$ ] ==>  $P$ ]
  ==>  $P$ 
by (blast dest: subset-Lset)

```

2.9.3 For L to satisfy the Powerset axiom

```

lemma LPow-env-typing:
  [ $y \in Lset(i); Ord(i); y \subseteq X$ ]

```

$==> \exists z \in Pow(X). y \in Lset(succ(lrank(z)))$
by (*auto intro: L-I iff: Lset-succ-lrank-iff*)

lemma *LPow-in-Lset*:

$[|X \in Lset(i); Ord(i)|] ==> \exists j. Ord(j) \ \& \ \{y \in Pow(X). L(y)\} \in Lset(j)$
apply (*rule-tac x=succ($\bigcup y \in Pow(X). succ(lrank(y))$) in exI*)
apply *simp*
apply (*rule LsetI [OF succI1]*)
apply (*simp add: DPow-def*)
apply (*intro conjI, clarify*)
apply (*rule-tac a=x in UN-I, simp+*)

Now to create the formula $y \subseteq X$

apply (*rule-tac x=Cons(X,Nil) in bexI*)
apply (*rule-tac x=subset-fm(0,1) in bexI*)
apply *typecheck*
apply (*rule conjI*)
apply (*simp add: succ-Un-distrib [symmetric]*)
apply (*rule equality-iffI*)
apply (*simp add: Transset-UN [OF Transset-Lset] LPow-env-typing*)
apply (*auto intro: L-I iff: Lset-succ-lrank-iff*)
done

theorem *LPow-in-L*: $L(X) ==> L(\{y \in Pow(X). L(y)\})$
by (*blast intro: L-I dest: L-D LPow-in-Lset*)

2.10 Eliminating *arity* from the Definition of *Lset*

lemma *nth-zero-eq-0*: $n \in nat ==> nth(n,[0]) = 0$
by (*induct-tac n, auto*)

lemma *sats-app-0-iff* [*rule-format*]:

$[| p \in formula; 0 \in A |]$
 $==> \forall env \in list(A). sats(A,p, env@[0]) \longleftrightarrow sats(A,p,env)$
apply (*induct-tac p*)
apply (*simp-all del: app-Cons add: app-Cons [symmetric]*)
add: nth-zero-eq-0 nth-append not-lt-iff-le nth-eq-0

done

lemma *sats-app-zeroes-iff*:

$[| p \in formula; 0 \in A; env \in list(A); n \in nat |]$
 $==> sats(A,p,env @ repeat(0,n)) \longleftrightarrow sats(A,p,env)$
apply (*induct-tac n, simp*)
apply (*simp del: repeat.simps*)
add: repeat-succ-app sats-app-0-iff app-assoc [symmetric]

done

lemma *exists-bigger-env*:

$[| p \in formula; 0 \in A; env \in list(A) |]$
 $==> \exists env' \in list(A). arity(p) \leq succ(length(env')) \ \&$


```

      (∀ a∈A. sats(A,p,Cons(a,env')) ↔ sats(A,p,Cons(a,env)))
apply (rule-tac x=env @ repeat(0,arity(p)) in bezI)
apply (simp del: app-Cons add: app-Cons [symmetric]
      add: length-repeat sats-app-zeroes-iff, typecheck)
done

```

A simpler version of *DPow*: no arity check!

definition

```

DPow' :: i => i where
DPow'(A) == {X ∈ Pow(A).
  ∃ env ∈ list(A). ∃ p ∈ formula.
  X = {x∈A. sats(A, p, Cons(x,env))}}

```

lemma *DPow-subset-DPow'*: $DPow(A) \subseteq DPow'(A)$
by (simp add: DPow-def DPow'-def, blast)

lemma *DPow'-0*: $DPow'(0) = \{0\}$
by (auto simp add: DPow'-def)

lemma *DPow'-subset-DPow*: $0 \in A \implies DPow'(A) \subseteq DPow(A)$
apply (auto simp add: DPow'-def DPow-def)
apply (frule exists-bigger-env, assumption+, force)
done

lemma *DPow-eq-DPow'*: $Transset(A) \implies DPow(A) = DPow'(A)$
apply (drule Transset-0-disj)
apply (erule disjE)
apply (simp add: DPow'-0 Finite-DPow-eq-Pow)
apply (rule equalityI)
apply (rule DPow-subset-DPow')
apply (erule DPow'-subset-DPow)
done

And thus we can relativize *Lset* without bothering with *arity* and *length*

lemma *Lset-eq-transrec-DPow'*: $Lset(i) = transrec(i, \%x f. \bigcup_{y \in x. DPow'(f'y))$
apply (rule-tac a=i **in** eps-induct)
apply (subst Lset)
apply (subst transrec)
apply (simp only: DPow-eq-DPow' [OF Transset-Lset], simp)
done

With this rule we can specify *p* later and don't worry about arities at all!

lemma *DPow-LsetI* [rule-format]:
 $[[\forall x \in Lset(i). P(x) \longleftrightarrow sats(Lset(i), p, Cons(x,env));$
 $env \in list(Lset(i)); p \in formula]]$
 $\implies \{x \in Lset(i). P(x)\} \in DPow(Lset(i))$
by (simp add: DPow-eq-DPow' [OF Transset-Lset] DPow'-def, blast)
end

theory *Forcing-Data*

imports

Forcing-Notions

Relative

~/src/ZF/Constructible/Formula

begin

lemma *lam-codomain*: $\forall n \in N. (\lambda x \in N. b(x)) 'n \in B \implies (\lambda x \in N. b(x)) : N \rightarrow B$

apply (*rule fun-weaken-type*)

apply (*subgoal-tac* $(\lambda x \in N. b(x)) : N \rightarrow \{b(x).x \in N\}$, *assumption*)

apply (*auto simp add: lam-funtype*)

done

lemma *Transset-M* :

$Transset(M) \implies y \in x \implies x \in M \implies y \in M$

by (*simp add: Transset-def, auto*)

definition

infinity-ax :: $(i \Rightarrow o) \Rightarrow o$ **where**

infinity-ax (*M*) ==

$(\exists I[M]. (\exists z[M]. empty(M, z) \wedge z \in I) \wedge (\forall y[M]. y \in I \longrightarrow (\exists sy[M]. successor(M, y, sy) \wedge sy \in I)))$

locale *M-ZF* =

fixes *M*

assumes

upair-ax: $upair-ax(\#\#M)$

and *Union-ax*: $Union-ax(\#\#M)$

and *power-ax*: $power-ax(\#\#M)$

and *extensionality*: $extensionality(\#\#M)$

and *foundation-ax*: $foundation-ax(\#\#M)$

and *infinity-ax*: $infinity-ax(\#\#M)$

and *separation-ax*: $\llbracket \varphi \in formula ; arity(\varphi)=1 \vee arity(\varphi)=2 \rrbracket \implies$
 $(\forall a \in M. separation(\#\#M, \lambda x. sats(M, \varphi, [x, a])))$

and *replacement-ax*: $\llbracket \varphi \in formula ; arity(\varphi)=2 \vee arity(\varphi)=succ(2) \rrbracket$

\implies

$(\forall a \in M. strong-replacement(\#\#M, \lambda x y. sats(M, \varphi, [x, y, a])))$

locale *forcing-data* = *forcing-notion* + *M-ZF* +

fixes *enum*

assumes *M-countable*: $enum \in bij(nat, M)$

and *P-in-M*: $P \in M$

and *leq-in-M*: $leq \in M$

and *trans-M*: $Transset(M)$

begin

definition

M-generic :: $i \Rightarrow o$ **where**

$M\text{-generic}(G) == \text{filter}(G) \wedge (\forall D \in M. D \subseteq P \wedge \text{dense}(D) \longrightarrow D \cap G \neq 0)$

lemma *G-nonempty*: $M\text{-generic}(G) \implies G \neq 0$

proof –

have $P \subseteq P$..

assume

$M\text{-generic}(G)$

with *P-in-M P-dense* $\langle P \subseteq P \rangle$ **show**

$G \neq 0$

unfolding *M-generic-def* **by** *auto*

qed

lemma *one-in-G* :

assumes $M\text{-generic}(G)$

shows $one \in G$

proof –

from *assms* **have** $G \subseteq P$

unfolding *M-generic-def* **and** *filter-def* **by** *simp*

from $\langle M\text{-generic}(G) \rangle$ **have** *increasing*(G)

unfolding *M-generic-def* **and** *filter-def* **by** *simp*

with $\langle G \subseteq P \rangle$ **and** $\langle M\text{-generic}(G) \rangle$

show *?thesis*

using *G-nonempty* **and** *one-in-P* **and** *one-max*

unfolding *increasing-def* **by** *blast*

qed

declare *iff-trans* [*trans*]

lemma *generic-filter-existence*:

$p \in P \implies \exists G. p \in G \wedge M\text{-generic}(G)$

proof –

assume

$Eq1: p \in P$

let

$?D = \lambda n \in \text{nat}. (\text{if } (\text{enum}'n \subseteq P \wedge \text{dense}(\text{enum}'n)) \text{ then } \text{enum}'n \text{ else } P)$

have

$Eq2: \forall n \in \text{nat}. ?D'n \in \text{Pow}(P)$

by *auto*

then have

$Eq3: ?D: \text{nat} \rightarrow \text{Pow}(P)$

by (*rule lam-codomain*)

have

$Eq4: \forall n \in \text{nat}. \text{dense}(?D'n)$

proof

show

$\text{dense}(?D'n)$

if $Eq5: n \in \text{nat}$ **for** n

proof –

have

$dense(?D'n)$
 $\longleftrightarrow dense(\text{if } enum' n \subseteq P \wedge dense(enum' n) \text{ then } enum' n \text{ else } P)$
using *Eq5* **by** *simp*
also have
 $\dots \longleftrightarrow (\neg(enum' n \subseteq P \wedge dense(enum' n)) \longrightarrow dense(P))$
using *split-if* **by** *simp*
finally show *?thesis*
using *P-dense* **and** *Eq5* **by** *auto*
qed
qed
from *Eq3* **and** *Eq4* **interpret**
 $cg: \text{countable-generic } P \text{ leq one } ?D$
by (*unfold-locales*, *auto*)
from *cg.rasiowa-sikorski* **and** *Eq1* **obtain** G **where**
 $Eq6: p \in G \wedge filter(G) \wedge (\forall n \in nat. (?D'n) \cap G \neq 0)$
unfolding *cg.D-generic-def* **by** *blast*
then have
 $Eq7: (\forall D \in M. D \subseteq P \wedge dense(D) \longrightarrow D \cap G \neq 0)$
proof (*intro ballI impI*)
show
 $D \cap G \neq 0$
if *Eq8: D ∈ M* **and**
 $Eq9: D \subseteq P \wedge dense(D)$ **for** D
proof –
from *M-countable* **and** *bij-is-surj* **have**
 $\forall y \in M. \exists x \in nat. enum'x = y$
unfolding *surj-def* **by** (*simp*)
with *Eq8* **obtain** n **where**
 $Eq10: n \in nat \wedge enum'n = D$
by *auto*
with *Eq9* **and** *if-P* **have**
 $Eq11: ?D'n = D$
by (*simp*)
with *Eq6* **and** *Eq10* **show**
 $D \cap G \neq 0$
by *auto*
qed
with *Eq6* **have**
 $Eq12: \exists G. filter(G) \wedge (\forall D \in M. D \subseteq P \wedge dense(D) \longrightarrow D \cap G \neq 0)$
by *auto*
qed
with *Eq6* **show** *?thesis*
unfolding *M-generic-def* **by** *auto*
qed

end

end

3 Relativized Wellorderings

theory *Wellorderings* **imports** *Relative* **begin**

We define functions analogous to *ordermap ordertype* but without using recursion. Instead, there is a direct appeal to Replacement. This will be the basis for a version relativized to some class M . The main result is Theorem I 7.6 in Kunen, page 17.

3.1 Wellorderings

definition

irreflexive :: $[i=>o, i, i]=>o$ **where**
irreflexive(M, A, r) == $\forall x[M]. x \in A \longrightarrow \langle x, x \rangle \notin r$

definition

transitive-rel :: $[i=>o, i, i]=>o$ **where**
transitive-rel(M, A, r) ==
 $\forall x[M]. x \in A \longrightarrow (\forall y[M]. y \in A \longrightarrow (\forall z[M]. z \in A \longrightarrow \langle x, y \rangle \in r \longrightarrow \langle y, z \rangle \in r \longrightarrow \langle x, z \rangle \in r))$

definition

linear-rel :: $[i=>o, i, i]=>o$ **where**
linear-rel(M, A, r) ==
 $\forall x[M]. x \in A \longrightarrow (\forall y[M]. y \in A \longrightarrow \langle x, y \rangle \in r \mid x=y \mid \langle y, x \rangle \in r)$

definition

wellfounded :: $[i=>o, i]=>o$ **where**
 — EVERY non-empty set has an r -minimal element
wellfounded(M, r) ==
 $\forall x[M]. x \neq 0 \longrightarrow (\exists y[M]. y \in x \ \& \ \sim(\exists z[M]. z \in x \ \& \ \langle z, y \rangle \in r))$

definition

wellfounded-on :: $[i=>o, i, i]=>o$ **where**
 — every non-empty SUBSET OF A has an r -minimal element
wellfounded-on(M, A, r) ==
 $\forall x[M]. x \neq 0 \longrightarrow x \subseteq A \longrightarrow (\exists y[M]. y \in x \ \& \ \sim(\exists z[M]. z \in x \ \& \ \langle z, y \rangle \in r))$

definition

wellordered :: $[i=>o, i, i]=>o$ **where**
 — linear and wellfounded on A
wellordered(M, A, r) ==
transitive-rel(M, A, r) & *linear-rel*(M, A, r) & *wellfounded-on*(M, A, r)

3.1.1 Trivial absoluteness proofs

lemma (in M -basic) *irreflexive-abs* [*simp*]:

$M(A) \implies \text{irreflexive}(M, A, r) \longleftrightarrow \text{irrefl}(A, r)$

by (*simp add: irreflexive-def irrefl-def*)

lemma (in *M-basic*) *transitive-rel-abs* [simp]:
 $M(A) \implies \text{transitive-rel}(M, A, r) \longleftrightarrow \text{trans}[A](r)$
by (simp add: *transitive-rel-def trans-on-def*)

lemma (in *M-basic*) *linear-rel-abs* [simp]:
 $M(A) \implies \text{linear-rel}(M, A, r) \longleftrightarrow \text{linear}(A, r)$
by (simp add: *linear-rel-def linear-def*)

lemma (in *M-basic*) *wellordered-is-trans-on*:
 $[\text{wellordered}(M, A, r); M(A)] \implies \text{trans}[A](r)$
by (auto simp add: *wellordered-def*)

lemma (in *M-basic*) *wellordered-is-linear*:
 $[\text{wellordered}(M, A, r); M(A)] \implies \text{linear}(A, r)$
by (auto simp add: *wellordered-def*)

lemma (in *M-basic*) *wellordered-is-wellfounded-on*:
 $[\text{wellordered}(M, A, r); M(A)] \implies \text{wellfounded-on}(M, A, r)$
by (auto simp add: *wellordered-def*)

lemma (in *M-basic*) *wellfounded-imp-wellfounded-on*:
 $[\text{wellfounded}(M, r); M(A)] \implies \text{wellfounded-on}(M, A, r)$
by (auto simp add: *wellfounded-def wellfounded-on-def*)

lemma (in *M-basic*) *wellfounded-on-subset-A*:
 $[\text{wellfounded-on}(M, A, r); B \leq A] \implies \text{wellfounded-on}(M, B, r)$
by (simp add: *wellfounded-on-def, blast*)

3.1.2 Well-founded relations

lemma (in *M-basic*) *wellfounded-on-iff-wellfounded*:
 $\text{wellfounded-on}(M, A, r) \longleftrightarrow \text{wellfounded}(M, r \cap A * A)$
apply (simp add: *wellfounded-on-def wellfounded-def, safe*)
apply force
apply (drule-tac $x=x$ in *rspec, assumption, blast*)
done

lemma (in *M-basic*) *wellfounded-on-imp-wellfounded*:
 $[\text{wellfounded-on}(M, A, r); r \subseteq A * A] \implies \text{wellfounded}(M, r)$
by (simp add: *wellfounded-on-iff-wellfounded subset-Int-iff*)

lemma (in *M-basic*) *wellfounded-on-field-imp-wellfounded*:
 $\text{wellfounded-on}(M, \text{field}(r), r) \implies \text{wellfounded}(M, r)$
by (simp add: *wellfounded-def wellfounded-on-iff-wellfounded, fast*)

lemma (in *M-basic*) *wellfounded-iff-wellfounded-on-field*:
 $M(r) \implies \text{wellfounded}(M, r) \longleftrightarrow \text{wellfounded-on}(M, \text{field}(r), r)$
by (blast intro: *wellfounded-imp-wellfounded-on*
wellfounded-on-field-imp-wellfounded)

lemma (in *M-basic*) *wellfounded-induct*:
 [| *wellfounded*(M,r); $M(a)$; $M(r)$; *separation*($M, \lambda x. \sim P(x)$);
 $\forall x. M(x) \ \& \ (\forall y. \langle y,x \rangle \in r \longrightarrow P(y)) \longrightarrow P(x)$ |]
 $\implies P(a)$
apply (*simp* (*no-asm-use*) *add: wellfounded-def*)
apply (*drule-tac* $x=\{z \in \text{domain}(r). \sim P(z)\}$ **in** *rspec*)
apply (*blast* *dest: transM*)
done

lemma (in *M-basic*) *wellfounded-on-induct*:
 [| $a \in A$; *wellfounded-on*(M,A,r); $M(A)$;
separation($M, \lambda x. x \in A \longrightarrow \sim P(x)$);
 $\forall x \in A. M(x) \ \& \ (\forall y \in A. \langle y,x \rangle \in r \longrightarrow P(y)) \longrightarrow P(x)$ |]
 $\implies P(a)$
apply (*simp* (*no-asm-use*) *add: wellfounded-on-def*)
apply (*drule-tac* $x=\{z \in A. z \in A \longrightarrow \sim P(z)\}$ **in** *rspec*)
apply (*blast* *intro: transM*)
done

3.1.3 Kunen's lemma IV 3.14, page 123

lemma (in *M-basic*) *linear-imp-relativized*:
 $\text{linear}(A,r) \implies \text{linear-rel}(M,A,r)$
by (*simp* *add: linear-def linear-rel-def*)

lemma (in *M-basic*) *trans-on-imp-relativized*:
 $\text{trans}[A](r) \implies \text{transitive-rel}(M,A,r)$
by (*unfold* *transitive-rel-def trans-on-def, blast*)

lemma (in *M-basic*) *wf-on-imp-relativized*:
 $\text{wf}[A](r) \implies \text{wellfounded-on}(M,A,r)$
apply (*simp* *add: wellfounded-on-def wf-def wf-on-def, clarify*)
apply (*drule-tac* $x=x$ **in** *spec, blast*)
done

lemma (in *M-basic*) *wf-imp-relativized*:
 $\text{wf}(r) \implies \text{wellfounded}(M,r)$
apply (*simp* *add: wellfounded-def wf-def, clarify*)
apply (*drule-tac* $x=x$ **in** *spec, blast*)
done

lemma (in *M-basic*) *well-ord-imp-relativized*:
 $\text{well-ord}(A,r) \implies \text{wellordered}(M,A,r)$
by (*simp* *add: wellordered-def well-ord-def tot-ord-def part-ord-def*
linear-imp-relativized trans-on-imp-relativized wf-on-imp-relativized)

The property being well founded (and hence of being well ordered) is not absolute: the set that doesn't contain a minimal element may not exist in

the class M . However, every set that is well founded in a transitive model M is well founded (page 124).

3.2 Relativized versions of order-isomorphisms and order types

lemma (in M -basic) *order-isomorphism-abs* [*simp*]:
 $\llbracket M(A); M(B); M(f) \rrbracket$
 $\implies \text{order-isomorphism}(M, A, r, B, s, f) \longleftrightarrow f \in \text{ord-iso}(A, r, B, s)$
by (*simp add: order-isomorphism-def ord-iso-def*)

lemma (in M -basic) *pred-set-abs* [*simp*]:
 $\llbracket M(r); M(B) \rrbracket \implies \text{pred-set}(M, A, x, r, B) \longleftrightarrow B = \text{Order.pred}(A, x, r)$
apply (*simp add: pred-set-def Order.pred-def*)
apply (*blast dest: transM*)
done

lemma (in M -basic) *pred-closed* [*intro, simp*]:
 $\llbracket M(A); M(r); M(x) \rrbracket \implies M(\text{Order.pred}(A, x, r))$
apply (*simp add: Order.pred-def*)
apply (*insert pred-separation [of r x], simp*)
done

lemma (in M -basic) *membership-abs* [*simp*]:
 $\llbracket M(r); M(A) \rrbracket \implies \text{membership}(M, A, r) \longleftrightarrow r = \text{Memrel}(A)$
apply (*simp add: membership-def Memrel-def, safe*)
apply (*rule equalityI*)
apply *clarify*
apply (*frule transM, assumption*)
apply *blast*
apply *clarify*
apply (*subgoal-tac M(<xb, ya>), blast*)
apply (*blast dest: transM*)
apply *auto*
done

lemma (in M -basic) *M-Memrel-iff*:
 $M(A) \implies$
 $\text{Memrel}(A) = \{z \in A * A. \exists x[M]. \exists y[M]. z = \langle x, y \rangle \ \& \ x \in y\}$
apply (*simp add: Memrel-def*)
apply (*blast dest: transM*)
done

lemma (in M -basic) *Memrel-closed* [*intro, simp*]:
 $M(A) \implies M(\text{Memrel}(A))$
apply (*simp add: M-Memrel-iff*)
apply (*insert Memrel-separation, simp*)
done

3.3 Main results of Kunen, Chapter 1 section 6

Subset properties– proved outside the locale

lemma *linear-rel-subset*:

$[[\text{linear-rel}(M,A,r); B \leq A]] \implies \text{linear-rel}(M,B,r)$

by (*unfold linear-rel-def, blast*)

lemma *transitive-rel-subset*:

$[[\text{transitive-rel}(M,A,r); B \leq A]] \implies \text{transitive-rel}(M,B,r)$

by (*unfold transitive-rel-def, blast*)

lemma *wellfounded-on-subset*:

$[[\text{wellfounded-on}(M,A,r); B \leq A]] \implies \text{wellfounded-on}(M,B,r)$

by (*unfold wellfounded-on-def subset-def, blast*)

lemma *wellordered-subset*:

$[[\text{wellordered}(M,A,r); B \leq A]] \implies \text{wellordered}(M,B,r)$

apply (*unfold wellordered-def*)

apply (*blast intro: linear-rel-subset transitive-rel-subset
wellfounded-on-subset*)

done

lemma (*in M-basic*) *wellfounded-on-asm*:

$[[\text{wellfounded-on}(M,A,r); \langle a,x \rangle \in r; a \in A; x \in A; M(A)]] \implies \langle x,a \rangle \notin r$

apply (*simp add: wellfounded-on-def*)

apply (*drule-tac x={x,a} in rspec*)

apply (*blast dest: transM*)⁺

done

lemma (*in M-basic*) *wellordered-asm*:

$[[\text{wellordered}(M,A,r); \langle a,x \rangle \in r; a \in A; x \in A; M(A)]] \implies \langle x,a \rangle \notin r$

by (*simp add: wellordered-def, blast dest: wellfounded-on-asm*)

end

4 Relativized Well-Founded Recursion

theory *WFrec* **imports** *Wellorderings* **begin**

4.1 General Lemmas

lemma *apply-recfun2*:

$[[\text{is-recfun}(r,a,H,f); \langle x,i \rangle : f]] \implies i = H(x, \text{restrict}(f,r - \{\{x\}\}))$

apply (*frule apply-recfun*)

apply (*blast dest: is-recfun-type fun-is-rel*)

apply (*simp add: function-apply-equality [OF - is-recfun-imp-function]*)

done

Expresses *is-recfun* as a recursion equation

lemma *is-recfun-iff-equation*:
 $is-recfun(r, a, H, f) \longleftrightarrow$
 $f \in r - \{a\} \rightarrow range(f) \ \&$
 $(\forall x \in r - \{a\}. f'x = H(x, restrict(f, r - \{x\})))$
apply (*rule iffI*)
apply (*simp add: is-recfun-type apply-recfun Ball-def vimage-singleton-iff, clarify*)
apply (*simp add: is-recfun-def*)
apply (*rule fun-extension*)
apply (*assumption*)
apply (*fast intro: lam-type, simp*)
done

lemma *is-recfun-imp-in-r*: $[is-recfun(r, a, H, f); \langle x, i \rangle \in f] \implies \langle x, a \rangle \in r$
by (*blast dest: is-recfun-type fun-is-rel*)

lemma *trans-Int-eg*:
 $[trans(r); \langle y, x \rangle \in r] \implies r - \{x\} \cap r - \{y\} = r - \{y\}$
by (*blast intro: transD*)

lemma *is-recfun-restrict-idem*:
 $is-recfun(r, a, H, f) \implies restrict(f, r - \{a\}) = f$
apply (*drule is-recfun-type*)
apply (*auto simp add: Pi-iff subset-Sigma-imp-relation restrict-idem*)
done

lemma *is-recfun-cong-lemma*:
 $[is-recfun(r, a, H, f); r = r'; a = a'; f = f';$
 $!!x g. [\langle x, a' \rangle \in r'; relation(g); domain(g) \subseteq r' - \{x\}]$
 $\implies H(x, g) = H'(x, g)]$
 $\implies is-recfun(r', a', H', f')$
apply (*simp add: is-recfun-def*)
apply (*erule trans*)
apply (*rule lam-cong*)
apply (*simp-all add: vimage-singleton-iff Int-lower2*)
done

For *is-recfun* we need only pay attention to functions whose domains are initial segments of r .

lemma *is-recfun-cong*:
 $[r = r'; a = a'; f = f';$
 $!!x g. [\langle x, a' \rangle \in r'; relation(g); domain(g) \subseteq r' - \{x\}]$
 $\implies H(x, g) = H'(x, g)]$
 $\implies is-recfun(r, a, H, f) \longleftrightarrow is-recfun(r', a', H', f')$
apply (*rule iffI*)

Messy: fast and blast don't work for some reason

apply (*erule is-recfun-cong-lemma, auto*)
apply (*erule is-recfun-cong-lemma*)

apply (*blast intro: sym*)
done

4.2 Reworking of the Recursion Theory Within M

lemma (*in M-basic is-recfun-separation'*):

$[[f \in r - \{a\} \rightarrow \text{range}(f); g \in r - \{b\} \rightarrow \text{range}(g);$
 $M(r); M(f); M(g); M(a); M(b)]]$
 $\implies \text{separation}(M, \lambda x. \neg (\langle x, a \rangle \in r \longrightarrow \langle x, b \rangle \in r \longrightarrow f \text{ ' } x = g \text{ ' } x))$

apply (*insert is-recfun-separation [of r f g a b]*)

apply (*simp add: vimage-singleton-iff*)

done

Stated using $\text{trans}(r)$ rather than $\text{transitive-rel}(M, A, r)$ because the latter rewrites to the former anyway, by $\text{transitive-rel-abs}$. As always, theorems should be expressed in simplified form. The last three M -premises are redundant because of $M(r)$, but without them we'd have to undertake more work to set up the induction formula.

lemma (*in M-basic is-recfun-equal [rule-format]*):

$[[\text{is-recfun}(r, a, H, f); \text{is-recfun}(r, b, H, g);$
 $\text{wellfounded}(M, r); \text{trans}(r);$
 $M(f); M(g); M(r); M(x); M(a); M(b)]]$
 $\implies \langle x, a \rangle \in r \longrightarrow \langle x, b \rangle \in r \longrightarrow f \text{ ' } x = g \text{ ' } x$

apply (*frule-tac f=f in is-recfun-type*)

apply (*frule-tac f=g in is-recfun-type*)

apply (*simp add: is-recfun-def*)

apply (*erule-tac a=x in wellfounded-induct, assumption+*)

Separation to justify the induction

apply (*blast intro: is-recfun-separation'*)

Now the inductive argument itself

apply *clarify*

apply (*erule ssubst*)
+

apply (*simp (no-asm-simp) add: vimage-singleton-iff restrict-def*)

apply (*rename-tac x1*)

apply (*rule-tac t=%z. H(x1,z) in subst-context*)

apply (*subgoal-tac $\forall y \in r - \{x1\}. \forall z. \langle y, z \rangle \in f \iff \langle y, z \rangle \in g$*)

apply (*blast intro: transD*)

apply (*simp add: apply-iff*)

apply (*blast intro: transD sym*)

done

lemma (*in M-basic is-recfun-cut*):

$[[\text{is-recfun}(r, a, H, f); \text{is-recfun}(r, b, H, g);$
 $\text{wellfounded}(M, r); \text{trans}(r);$
 $M(f); M(g); M(r); \langle b, a \rangle \in r]]$
 $\implies \text{restrict}(f, r - \{b\}) = g$

apply (*frule-tac f=f in is-recfun-type*)

```

apply (rule fun-extension)
apply (blast intro: transD restrict-type2)
apply (erule is-recfun-type, simp)
apply (blast intro: is-recfun-equal transD dest: transM)
done

```

```

lemma (in M-basic) is-recfun-functional:
  [| is-recfun(r,a,H,f); is-recfun(r,a,H,g);
    wellfounded(M,r); trans(r); M(f); M(g); M(r) |] ==> f=g
apply (rule fun-extension)
apply (erule is-recfun-type)+
apply (blast intro!: is-recfun-equal dest: transM)
done

```

Tells us that *is-recfun* can (in principle) be relativized.

```

lemma (in M-basic) is-recfun-relativize:
  [| M(r); M(f);  $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x,g))$  |]
  ==> is-recfun(r,a,H,f)  $\longleftrightarrow$ 
    ( $\forall z[M]. z \in f \longleftrightarrow$ 
      ( $\exists x[M]. \langle x,a \rangle \in r \ \& \ z = \langle x, H(x, \text{restrict}(f, r - \{\{x\}\}) \rangle$ ))
apply (simp add: is-recfun-def lam-def)
apply (safe intro!: equalityI)
  apply (drule equalityD1 [THEN subsetD], assumption)
  apply (blast dest: pair-components-in-M)
  apply (blast elim!: equalityE dest: pair-components-in-M)
apply (frule transM, assumption)
apply simp
apply blast
apply (subgoal-tac is-function(M,f))

```

We use *is-function* rather than *function* because the subgoal's easier to prove with relativized quantifiers!

```

prefer 2 apply (simp add: is-function-def)
apply (frule pair-components-in-M, assumption)
apply (simp add: is-recfun-imp-function function-restrictI)
done

```

```

lemma (in M-basic) is-recfun-restrict:
  [| wellfounded(M,r); trans(r); is-recfun(r,x,H,f);  $\langle y,x \rangle \in r$ ;
    M(r); M(f);
     $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x,g))$  |]
  ==> is-recfun(r, y, H, restrict(f, r -  $\{\{y\}\}$ ))
apply (frule pair-components-in-M, assumption, clarify)
apply (simp (no-asm-simp) add: is-recfun-relativize restrict-iff
  trans-Int-eq)
apply safe
  apply (simp-all add: vimage-singleton-iff is-recfun-type [THEN apply-iff])
  apply (frule-tac x=xa in pair-components-in-M, assumption)
  apply (frule-tac x=xa in apply-recfun, blast intro: transD)

```

apply (*simp add: is-recfun-type [THEN apply-iff]*
is-recfun-imp-function function-restrictI)
apply (*blast intro: apply-recfun dest: transD*)
done

lemma (*in M-basic*) *restrict-Y-lemma:*

$[[$ *wellfounded*(M, r); *trans*(r); $M(r)$;
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g)); M(Y)$;
 $\forall b[M].$
 $b \in Y \longleftrightarrow$
 $(\exists x[M]. \langle x, a1 \rangle \in r \ \&$
 $(\exists y[M]. b = \langle x, y \rangle \ \& \ (\exists g[M]. \text{is-recfun}(r, x, H, g) \wedge y = H(x, g))))$;
 $\langle x, a1 \rangle \in r; \text{is-recfun}(r, x, H, f); M(f)]]$
 $\implies \text{restrict}(Y, r - \{x\}) = f$
apply (*subgoal-tac* $\forall y \in r - \{x\}. \forall z. \langle y, z \rangle : Y \longleftrightarrow \langle y, z \rangle : f$)
apply (*simp (no-asm-simp) add: restrict-def*)
apply (*thin-tac* $\text{rall}(M, P)$ **for** P)₊ — essential for efficiency
apply (*frule is-recfun-type [THEN fun-is-rel], blast*)
apply (*frule pair-components-in-M, assumption, clarify*)
apply (*rule iffI*)
apply (*frule-tac* $y = \langle y, z \rangle$ **in** $\text{trans}M$, *assumption*)
apply (*clarsimp simp add: vimage-singleton-iff is-recfun-type [THEN apply-iff]*
apply-recfun is-recfun-cut)

Opposite inclusion: something in f, show in Y

apply (*frule-tac* $y = \langle y, z \rangle$ **in** $\text{trans}M$, *assumption*)
apply (*simp add: vimage-singleton-iff*)
apply (*rule conjI*)
apply (*blast dest: transD*)
apply (*rule-tac* $x = \text{restrict}(f, r - \{y\})$ **in** $\text{rex}I$)
apply (*simp-all add: is-recfun-restrict*
apply-recfun is-recfun-type [THEN apply-iff])
done

For typical applications of Replacement for recursive definitions

lemma (*in M-basic*) *univalent-is-recfun:*

$[[$ *wellfounded*(M, r); *trans*(r); $M(r)$]
 $\implies \text{univalent}(M, A, \lambda x p.$
 $\exists y[M]. p = \langle x, y \rangle \ \& \ (\exists f[M]. \text{is-recfun}(r, x, H, f) \ \& \ y = H(x, f)))$
apply (*simp add: univalent-def*)
apply (*blast dest: is-recfun-functional*)
done

Proof of the inductive step for *exists-is-recfun*, since we must prove two versions.

lemma (*in M-basic*) *exists-is-recfun-indstep:*

$[[$ $\forall y. \langle y, a1 \rangle \in r \longrightarrow (\exists f[M]. \text{is-recfun}(r, y, H, f));$
 $\text{wellfounded}(M, r); \text{trans}(r); M(r); M(a1);$
 $\text{strong-replacement}(M, \lambda x z.$

$\exists y[M]. \exists g[M]. \text{pair}(M, x, y, z) \ \& \ \text{is-recfun}(r, x, H, g) \ \& \ y = H(x, g));$
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g)) \]$
 $\implies \exists f[M]. \text{is-recfun}(r, a1, H, f)$
apply (*drule-tac* $A=r-\{a1\}$ **in** *strong-replacementD*)
apply *blast*

Discharge the "univalent" obligation of Replacement

apply (*simp add: univalent-is-recfun*)

Show that the constructed object satisfies *is-recfun*

apply *clarify*

apply (*rule-tac* $x=Y$ **in** *rexI*)

Unfold only the top-level occurrence of *is-recfun*

apply (*simp (no-asm-simp) add: is-recfun-relativize [of concl: - a1]*)

The big iff-formula defining Y is now redundant

apply *safe*

apply (*simp add: vimage-singleton-iff restrict-Y-lemma [of r H - a1]*)

one more case

apply (*simp (no-asm-simp) add: Bex-def vimage-singleton-iff*)

apply (*drule-tac* $x1=x$ **in** *spec [THEN mp], assumption, clarify*)

apply (*rename-tac* f)

apply (*rule-tac* $x=f$ **in** *rexI*)

apply (*simp-all add: restrict-Y-lemma [of r H]*)

FIXME: should not be needed!

apply (*subst restrict-Y-lemma [of r H]*)

apply (*simp add: vimage-singleton-iff*)+

apply *blast+*

done

Relativized version, when we have the (currently weaker) premise *well-founded*(M, r)

lemma (**in** *M-basic*) *wellfounded-exists-is-recfun*:

$\llbracket \text{wellfounded}(M, r); \text{trans}(r);$

$\text{separation}(M, \lambda x. \sim (\exists f[M]. \text{is-recfun}(r, x, H, f)));$

$\text{strong-replacement}(M, \lambda x z.$

$\exists y[M]. \exists g[M]. \text{pair}(M, x, y, z) \ \& \ \text{is-recfun}(r, x, H, g) \ \& \ y = H(x, g));$

$M(r); M(a);$

$\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g)) \ \rrbracket$

$\implies \exists f[M]. \text{is-recfun}(r, a, H, f)$

apply (*rule wellfounded-induct, assumption+, clarify*)

apply (*rule exists-is-recfun-indstep, assumption+*)

done

lemma (**in** *M-basic*) *wf-exists-is-recfun* [*rule-format*]:

$$\begin{aligned} & [[wf(r); trans(r); M(r); \\ & \quad strong-replacement(M, \lambda x z. \\ & \quad \exists y[M]. \exists g[M]. pair(M, x, y, z) \ \& \ is-recfun(r, x, H, g) \ \& \ y = H(x, g)); \\ & \quad \forall x[M]. \forall g[M]. function(g) \longrightarrow M(H(x, g)) \] \\ & \implies M(a) \longrightarrow (\exists f[M]. is-recfun(r, a, H, f)) \end{aligned}$$
apply (rule wf-induct, assumption+)
apply (frule wf-imp-relativized)
apply (intro impI)
apply (rule exists-is-recfun-indstep)
apply (blast dest: transM del: rev-rallE, assumption+)
done

4.3 Relativization of the ZF Predicate *is-recfun*

definition

$$\begin{aligned} M\text{-is-recfun} &:: [i=>o, [i,i,i]=>o, i, i, i] => o \text{ where} \\ M\text{-is-recfun}(M, MH, r, a, f) &== \\ &\forall z[M]. z \in f \longleftrightarrow \\ &(\exists x[M]. \exists y[M]. \exists xa[M]. \exists sx[M]. \exists r\text{-sx}[M]. \exists f\text{-r-sx}[M]. \\ &\quad pair(M, x, y, z) \ \& \ pair(M, x, a, xa) \ \& \ upair(M, x, x, sx) \ \& \\ &\quad pre-image(M, r, sx, r\text{-sx}) \ \& \ restriction(M, f, r\text{-sx}, f\text{-r-sx}) \ \& \\ &\quad xa \in r \ \& \ MH(x, f\text{-r-sx}, y)) \end{aligned}$$

definition

$$\begin{aligned} is\text{-wfrec} &:: [i=>o, [i,i,i]=>o, i, i, i] => o \text{ where} \\ is\text{-wfrec}(M, MH, r, a, z) &== \\ &\exists f[M]. M\text{-is-recfun}(M, MH, r, a, f) \ \& \ MH(a, f, z) \end{aligned}$$

definition

$$\begin{aligned} wfrec\text{-replacement} &:: [i=>o, [i,i,i]=>o, i] => o \text{ where} \\ wfrec\text{-replacement}(M, MH, r) &== \\ &strong-replacement(M, \\ &\quad \lambda x z. \exists y[M]. pair(M, x, y, z) \ \& \ is\text{-wfrec}(M, MH, r, x, y)) \end{aligned}$$

lemma (in *M*-basic) *is-recfun-abs*:

$$\begin{aligned} & [[\forall x[M]. \forall g[M]. function(g) \longrightarrow M(H(x, g)); \ M(r); \ M(a); \ M(f); \\ & \quad relation2(M, MH, H) \] \\ & \implies M\text{-is-recfun}(M, MH, r, a, f) \longleftrightarrow is\text{-recfun}(r, a, H, f) \end{aligned}$$

apply (simp add: M-is-recfun-def relation2-def is-recfun-relativize)

apply (rule rall-cong)

apply (blast dest: transM)

done

lemma *M-is-recfun-cong* [cong]:

$$\begin{aligned} & [[r = r'; \ a = a'; \ f = f'; \\ & \quad !!x \ g \ y. \ [[M(x); \ M(g); \ M(y) \] \implies MH(x, g, y) \longleftrightarrow MH'(x, g, y) \] \\ & \implies M\text{-is-recfun}(M, MH, r, a, f) \longleftrightarrow M\text{-is-recfun}(M, MH', r', a', f') \end{aligned}$$

by (simp add: M-is-recfun-def)

lemma (in *M-basic*) *is-wfrec-abs*:

$$\begin{aligned} & [[\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x,g)); \\ & \quad \text{relation2}(M, MH, H); M(r); M(a); M(z)]] \\ & \implies \text{is-wfrec}(M, MH, r, a, z) \longleftrightarrow \\ & \quad (\exists g[M]. \text{is-recfun}(r, a, H, g) \ \& \ z = H(a, g)) \end{aligned}$$

by (*simp add: is-wfrec-def relation2-def is-recfun-abs*)

Relating *wfrec-replacement* to native constructs

lemma (in *M-basic*) *wfrec-replacement'*:

$$\begin{aligned} & [[\text{wfrec-replacement}(M, MH, r); \\ & \quad \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x,g)); \\ & \quad \text{relation2}(M, MH, H); M(r)]] \\ & \implies \text{strong-replacement}(M, \lambda x z. \exists y[M]. \\ & \quad \text{pair}(M, x, y, z) \ \& \ (\exists g[M]. \text{is-recfun}(r, x, H, g) \ \& \ y = H(x, g))) \end{aligned}$$

by (*simp add: wfrec-replacement-def is-wfrec-abs*)

lemma *wfrec-replacement-cong* [*cong*]:

$$\begin{aligned} & [[!!x y z. [[M(x); M(y); M(z)]] \implies MH(x, y, z) \longleftrightarrow MH'(x, y, z); \\ & \quad r=r']] \\ & \implies \text{wfrec-replacement}(M, \%x y. MH(x, y), r) \longleftrightarrow \\ & \quad \text{wfrec-replacement}(M, \%x y. MH'(x, y), r') \end{aligned}$$

by (*simp add: is-wfrec-def wfrec-replacement-def*)

end

5 Absoluteness of Well-Founded Recursion

theory *WF-absolute* **imports** *WFrec* **begin**

5.1 Transitive closure without fixedpoints

definition

rtrancl-alt :: $[i, i] \Rightarrow i$ **where**

$$\begin{aligned} \text{rtrancl-alt}(A, r) \implies \\ \{ p \in A * A. \exists n \in \text{nat}. \exists f \in \text{succ}(n) \rightarrow A. \\ \quad (\exists x y. p = \langle x, y \rangle \ \& \ f^0 = x \ \& \ f^n = y) \ \& \\ \quad (\forall i \in n. \langle f^i, f^{\text{succ}(i)} \rangle \in r) \} \end{aligned}$$

lemma *alt-rtrancl-lemma1* [*rule-format*]:

$$\begin{aligned} n \in \text{nat} \\ \implies \forall f \in \text{succ}(n) \rightarrow \text{field}(r). \\ \quad (\forall i \in n. \langle f^i, f^{\text{succ}(i)} \rangle \in r) \longrightarrow \langle f^0, f^n \rangle \in r^{\wedge *}$$

apply (*induct-tac n*)

apply (*simp-all add: apply-funtype rtrancl-refl, clarify*)

apply (*rename-tac n f*)

apply (*rule rtrancl-into-rtrancl*)

prefer 2 **apply** *assumption*

apply (*drule-tac x=restrict(f, succ(n)) in bspec*)


```

apply (blast intro: restrict-type2)
apply (simp add: Ord-succ-mem-iff nat-0-le [THEN ltD] leI [THEN ltD] ltI)
done

```

```

lemma rtrancl-alt-subset-rtrancl: rtrancl-alt(field(r),r)  $\subseteq$  r*
apply (simp add: rtrancl-alt-def)
apply (blast intro: alt-rtrancl-lemma1)
done

```

```

lemma rtrancl-subset-rtrancl-alt: r*  $\subseteq$  rtrancl-alt(field(r),r)
apply (simp add: rtrancl-alt-def, clarify)
apply (frule rtrancl-type [THEN subsetD], clarify, simp)
apply (erule rtrancl-induct)

```

Base case, trivial

```

apply (rule-tac x=0 in bexI)
apply (rule-tac x= $\lambda x \in I. xa$  in bexI)
apply simp-all

```

Inductive step

```

apply clarify
apply (rename-tac n f)
apply (rule-tac x=succ(n) in bexI)
apply (rule-tac x= $\lambda i \in \text{succ}(\text{succ}(n)). \text{if } i = \text{succ}(n) \text{ then } z \text{ else } f^i$  in bexI)
apply (simp add: Ord-succ-mem-iff nat-0-le [THEN ltD] leI [THEN ltD] ltI)
apply (blast intro: mem-asm)
apply typecheck
apply auto
done

```

```

lemma rtrancl-alt-eq-rtrancl: rtrancl-alt(field(r),r) = r*
by (blast del: subsetI
      intro: rtrancl-alt-subset-rtrancl rtrancl-subset-rtrancl-alt)

```

definition

```

rtran-closure-mem :: [i=>o,i,i,i] => o where
  — The property of belonging to rtran-closure(r)
  rtran-closure-mem(M,A,r,p) ==
     $\exists \text{nnat}[M]. \exists n[M]. \exists n'[M].$ 
     $\text{omega}(M,\text{nnat}) \ \& \ n \in \text{nnat} \ \& \ \text{successor}(M,n,n') \ \&$ 
     $(\exists f[M]. \text{typed-function}(M,n',A,f) \ \&$ 
     $(\exists x[M]. \exists y[M]. \exists \text{zero}[M]. \text{pair}(M,x,y,p) \ \& \ \text{empty}(M,\text{zero}) \ \&$ 
     $\text{fun-apply}(M,f,\text{zero},x) \ \& \ \text{fun-apply}(M,f,n,y)) \ \&$ 
     $(\forall j[M]. j \in n \longrightarrow$ 
     $(\exists fj[M]. \exists sj[M]. \exists fsj[M]. \exists ffp[M].$ 
     $\text{fun-apply}(M,f,j,fj) \ \& \ \text{successor}(M,j,sj) \ \&$ 
     $\text{fun-apply}(M,f,sj,fsj) \ \& \ \text{pair}(M,fj,fsj,ffp) \ \& \ \text{ffp} \in r))$ 

```

definition

$rtran\text{-closure} :: [i=>o,i,i] => o$ **where**
 $rtran\text{-closure}(M,r,s) ==$
 $\forall A[M]. is\text{-field}(M,r,A) \longrightarrow$
 $(\forall p[M]. p \in s \longleftrightarrow rtran\text{-closure}\text{-mem}(M,A,r,p))$

definition

$tran\text{-closure} :: [i=>o,i,i] => o$ **where**
 $tran\text{-closure}(M,r,t) ==$
 $\exists s[M]. rtran\text{-closure}(M,r,s) \ \& \ composition(M,r,s,t)$

locale $M\text{-trancl} = M\text{-basic} +$

assumes $rtrancl\text{-separation}$:

$[| M(r); M(A) |] ==> separation(M, rtran\text{-closure}\text{-mem}(M,A,r))$

and $wellfounded\text{-trancl}\text{-separation}$:

$[| M(r); M(Z) |] ==>$

$separation(M, \lambda x.$

$\exists w[M]. \exists wx[M]. \exists rp[M].$

$w \in Z \ \& \ pair(M,w,x,wx) \ \& \ tran\text{-closure}(M,r,rp) \ \& \ wx \in rp)$

and $M\text{-nat} [iff] : M(nat)$

lemma (**in** $M\text{-trancl}$) $rtran\text{-closure}\text{-mem}\text{-iff}$:

$[| M(A); M(r); M(p) |]$

$==> rtran\text{-closure}\text{-mem}(M,A,r,p) \longleftrightarrow$

$(\exists n[M]. n \in nat \ \&$

$(\exists f[M]. f \in succ(n) \rightarrow A \ \&$

$(\exists x[M]. \exists y[M]. p = \langle x,y \rangle \ \& \ f^0 = x \ \& \ f^n = y) \ \&$

$(\forall i \in n. \langle f^i, f^i\text{succ}(i) \rangle \in r))$)

apply ($simp$ add : $rtran\text{-closure}\text{-mem}\text{-def}$ $Ord\text{-succ}\text{-mem}\text{-iff}$ $nat\text{-0}\text{-le}$ $[THEN ltD]$ $M\text{-nat}$)

done

lemma (**in** $M\text{-trancl}$) $rtran\text{-closure}\text{-rtrancl}$:

$M(r) ==> rtran\text{-closure}(M,r,rtrancl(r))$

apply ($simp$ add : $rtran\text{-closure}\text{-def}$ $rtran\text{-closure}\text{-mem}\text{-iff}$

$rtrancl\text{-alt}\text{-eq}\text{-rtrancl}$ $[symmetric]$ $rtrancl\text{-alt}\text{-def}$)

apply ($auto$ $simp$ add : $nat\text{-0}\text{-le}$ $[THEN ltD]$ $apply\text{-funtype}$)

done

lemma (**in** $M\text{-trancl}$) $rtrancl\text{-closed}$ $[intro, simp]$:

$M(r) ==> M(rtrancl(r))$

apply ($insert$ $rtrancl\text{-separation}$ $[of$ r $field(r)]$)

apply ($simp$ add : $rtrancl\text{-alt}\text{-eq}\text{-rtrancl}$ $[symmetric]$

$rtrancl\text{-alt}\text{-def}$ $rtran\text{-closure}\text{-mem}\text{-iff}$ $M\text{-nat}$)

done

lemma (**in** $M\text{-trancl}$) $rtrancl\text{-abs}$ $[simp]$:

$[| M(r); M(z) |] ==> rtran\text{-closure}(M,r,z) \longleftrightarrow z = rtrancl(r)$

apply ($rule$ $iffI$)

Proving the right-to-left implication

```

prefer 2 apply (blast intro: rtran-closure-rtrancl)
apply (rule M-equalityI)
apply (simp add: rtran-closure-def rtrancl-alt-eq-rtrancl [symmetric]
        rtrancl-alt-def rtran-closure-mem-iff)
apply (auto simp add: nat-0-le [THEN ltD] apply-funtype)
done

```

```

lemma (in M-trancl) trancl-closed [intro,simp]:
   $M(r) \implies M(\text{trancl}(r))$ 
by (simp add: trancl-def)

```

```

lemma (in M-trancl) trancl-abs [simp]:
   $[[ M(r); M(z) ]] \implies \text{tran-closure}(M,r,z) \longleftrightarrow z = \text{trancl}(r)$ 
by (simp add: tran-closure-def trancl-def)

```

```

lemma (in M-trancl) wellfounded-trancl-separation':
   $[[ M(r); M(Z) ]] \implies \text{separation}(M, \lambda x. \exists w[M]. w \in Z \ \& \ \langle w,x \rangle \in r^{\wedge+})$ 
by (insert wellfounded-trancl-separation [of r Z], simp)

```

Alternative proof of *wf-on-trancl*; inspiration for the relativized version.
Original version is on theory WF.

```

lemma  $[[ \text{wf}[A](r); r - \text{"}A \subseteq A \text{"} ]] \implies \text{wf}[A](r^{\wedge+})$ 
apply (simp add: wf-on-def wf-def)
apply (safe)
apply (drule-tac  $x = \{x \in A. \exists w. \langle w,x \rangle \in r^{\wedge+} \ \& \ w \in Z\}$  in spec)
apply (blast elim: tranclE)
done

```

```

lemma (in M-trancl) wellfounded-on-trancl:
   $[[ \text{wellfounded-on}(M,A,r); r - \text{"}A \subseteq A; M(r); M(A) \text{"} ]] \implies \text{wellfounded-on}(M,A,r^{\wedge+})$ 
apply (simp add: wellfounded-on-def)
apply (safe intro!: equalityI)
apply (rename-tac Z x)
apply (subgoal-tac  $M(\{x \in A. \exists w[M]. w \in Z \ \& \ \langle w,x \rangle \in r^{\wedge+}\})$ )
  prefer 2
  apply (blast intro: wellfounded-trancl-separation')
apply (drule-tac  $x = \{x \in A. \exists w[M]. w \in Z \ \& \ \langle w,x \rangle \in r^{\wedge+}\}$  in rspec, safe)
apply (blast dest: transM, simp)
apply (rename-tac y w)
apply (drule-tac  $x=w$  in bspec, assumption, clarify)
apply (erule tranclE)
  apply (blast dest: transM)
apply blast
done

```

```

lemma (in M-trancl) wellfounded-trancl:
   $[[ \text{wellfounded}(M,r); M(r) \text{"} ]] \implies \text{wellfounded}(M,r^{\wedge+})$ 

```

apply (*simp add: wellfounded-iff-wellfounded-on-field*)
apply (*rule wellfounded-on-subset-A, erule wellfounded-on-trancl*)
apply *blast*
apply (*simp-all add: trancl-type [THEN field-rel-subset]*)
done

Absoluteness for wfrec-defined functions.

lemma (*in M-trancl*) *wfrec-relativize*:

$$\begin{aligned} & [[wf(r); M(a); M(r); \\ & \quad \text{strong-replacement}(M, \lambda x z. \exists y[M]. \exists g[M]. \\ & \quad \quad \text{pair}(M, x, y, z) \ \& \\ & \quad \quad \text{is-recfun}(r^+, x, \lambda x f. H(x, \text{restrict}(f, r - \{x\})), g) \ \& \\ & \quad \quad y = H(x, \text{restrict}(g, r - \{x\}))); \\ & \quad \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g))] \\ \implies & \text{wfrec}(r, a, H) = z \longleftrightarrow \\ & \quad (\exists f[M]. \text{is-recfun}(r^+, a, \lambda x f. H(x, \text{restrict}(f, r - \{x\})), f) \ \& \\ & \quad \quad z = H(a, \text{restrict}(f, r - \{a\}))) \end{aligned}$$
apply (*frule wf-trancl*)
apply (*simp add: wftrec-def wfrec-def, safe*)
apply (*frule wf-exists-is-recfun*

$$[\text{of concl: } r^+ a \lambda x f. H(x, \text{restrict}(f, r - \{x\})])])$$
apply (*simp-all add: trans-trancl function-restrictI trancl-subset-times*)
apply (*clarify, rule-tac x=x in rexI*)
apply (*simp-all add: the-recfun-eq trans-trancl trancl-subset-times*)
done

Assuming r is transitive simplifies the occurrences of H . The premise *relation*(r) is necessary before we can replace r^+ by r .

theorem (*in M-trancl*) *trans-wfrec-relativize*:

$$\begin{aligned} & [[wf(r); \text{trans}(r); \text{relation}(r); M(r); M(a); \\ & \quad \text{wfrec-replacement}(M, MH, r); \text{relation2}(M, MH, H); \\ & \quad \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g))] \\ \implies & \text{wfrec}(r, a, H) = z \longleftrightarrow (\exists f[M]. \text{is-recfun}(r, a, H, f) \ \& \ z = H(a, f)) \end{aligned}$$
apply (*frule wfrec-replacement', assumption+*)
apply (*simp cong: is-recfun-cong*

$$\text{add: wfrec-relativize trancl-eq-r}$$

$$\text{is-recfun-restrict-idem domain-restrict-idem})$$
done

theorem (*in M-trancl*) *trans-wfrec-abs*:

$$\begin{aligned} & [[wf(r); \text{trans}(r); \text{relation}(r); M(r); M(a); M(z); \\ & \quad \text{wfrec-replacement}(M, MH, r); \text{relation2}(M, MH, H); \\ & \quad \forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g))] \\ \implies & \text{is-wfrec}(M, MH, r, a, z) \longleftrightarrow z = \text{wfrec}(r, a, H) \end{aligned}$$
by (*simp add: trans-wfrec-relativize [THEN iff-sym] is-wfrec-abs, blast*)

lemma (*in M-trancl*) *trans-eq-pair-wfrec-iff*:

$$[[wf(r); \text{trans}(r); \text{relation}(r); M(r); M(y);$$

$wfrec\text{-replacement}(M, MH, r); \text{relation2}(M, MH, H);$
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g))$]]
 $\implies y = \langle x, wfrec(r, x, H) \rangle \longleftrightarrow$
 $(\exists f[M]. \text{is-recfun}(r, x, H, f) \ \& \ y = \langle x, H(x, f) \rangle)$
apply safe
apply (*simp add: trans-wfrec-relativize [THEN iff-sym, of concl: - x]*)
 converse direction
apply (*rule sym*)
apply (*simp add: trans-wfrec-relativize, blast*)
done

5.2 M is closed under well-founded recursion

Lemma with the awkward premise mentioning *wfrec*.

lemma (**in** *M-trancl*) *wfrec-closed-lemma* [*rule-format*]:
 $[[wf(r); M(r);$
 $\text{strong-replacement}(M, \lambda x y. y = \langle x, wfrec(r, x, H) \rangle);$
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g)) \]]$
 $\implies M(a) \longrightarrow M(wfrec(r, a, H))$
apply (*rule-tac a=a in wf-induct, assumption+*)
apply (*subst wfrec, assumption, clarify*)
apply (*drule-tac x1=x and x= $\lambda x \in r$ - “ $\{x\}$. wfrec(r, x, H)*
 $\text{in rspec [THEN rspec]$)
apply (*simp-all add: function-lam*)
apply (*blast intro: lam-closed dest: pair-components-in-M*)
done

Eliminates one instance of replacement.

lemma (**in** *M-trancl*) *wfrec-replacement-iff*:
 $\text{strong-replacement}(M, \lambda x z.$
 $\exists y[M]. \text{pair}(M, x, y, z) \ \& \ (\exists g[M]. \text{is-recfun}(r, x, H, g) \ \& \ y = H(x, g))) \longleftrightarrow$
 $\text{strong-replacement}(M,$
 $\lambda x y. \exists f[M]. \text{is-recfun}(r, x, H, f) \ \& \ y = \langle x, H(x, f) \rangle)$
apply simp
apply (*rule strong-replacement-cong, blast*)
done

Useful version for transitive relations

theorem (**in** *M-trancl*) *trans-wfrec-closed*:
 $[[wf(r); \text{trans}(r); \text{relation}(r); M(r); M(a);$
 $wfrec\text{-replacement}(M, MH, r); \text{relation2}(M, MH, H);$
 $\forall x[M]. \forall g[M]. \text{function}(g) \longrightarrow M(H(x, g)) \]]$
 $\implies M(wfrec(r, a, H))$
apply (*frule wfrec-replacement', assumption+*)
apply (*frule wfrec-replacement-iff [THEN iffD1]*)
apply (*rule wfrec-closed-lemma, assumption+*)
apply (*simp-all add: wfrec-replacement-iff trans-eq-pair-wfrec-iff*)
done

5.3 Absoluteness without assuming transitivity

lemma (in *M-trancl*) *eq-pair-wfrec-iff*:

```

[[wf(r); M(r); M(y);
  strong-replacement(M, λx z. ∃ y[M]. ∃ g[M].
    pair(M,x,y,z) &
    is-recfun(r^+, x, λx f. H(x, restrict(f, r -“ {x})), g) &
    y = H(x, restrict(g, r -“ {x})));
  ∀ x[M]. ∀ g[M]. function(g) → M(H(x,g))]
==> y = <x, wfrec(r, x, H)> ↔
  (∃ f[M]. is-recfun(r^+, x, λx f. H(x, restrict(f, r -“ {x})), f) &
    y = <x, H(x, restrict(f, r -“ {x}))>)

```

apply *safe*

apply (*simp add: wfrec-relativize [THEN iff-sym, of concl: - x]*)

converse direction

apply (*rule sym*)

apply (*simp add: wfrec-relativize, blast*)

done

Full version not assuming transitivity, but maybe not very useful.

theorem (in *M-trancl*) *wfrec-closed*:

```

[[wf(r); M(r); M(a);
  wfrec-replacement(M, MH, r^+);
  relation2(M, MH, λx f. H(x, restrict(f, r -“ {x})));
  ∀ x[M]. ∀ g[M]. function(g) → M(H(x,g)) ]]
==> M(wfrec(r, a, H))

```

apply (*frule wfrec-replacement'*

[of MH r^+ λx f. H(x, restrict(f, r -“ {x}))])

prefer 4

apply (*frule wfrec-replacement-iff [THEN iffD1]*)

apply (*rule wfrec-closed-lemma, assumption+*)

apply (*simp-all add: eq-pair-wfrec-iff func.function-restrictI*)

done

end

6 Absoluteness Properties for Recursive Datatypes

theory *Datatype-absolute*

imports

~/src/ZF/Constructible/Formula

WF-absolute

begin

6.1 The lfp of a continuous function can be expressed as a union

definition

directed :: $i \Rightarrow o$ **where**
directed(A) == $A \neq 0 \ \& \ (\forall x \in A. \forall y \in A. x \cup y \in A)$

definition

contin :: $(i \Rightarrow i) \Rightarrow o$ **where**
contin(h) == $(\forall A. \text{directed}(A) \longrightarrow h(\bigcup A) = (\bigcup X \in A. h(X)))$

lemma *bnd-mono-iterates-subset*: $[[\text{bnd-mono}(D, h); n \in \text{nat}]] \Longrightarrow h^{\wedge n}(0) \subseteq D$

apply (*induct-tac* n)
apply (*simp-all* *add*: *bnd-mono-def*, *blast*)
done

lemma *bnd-mono-increasing* [*rule-format*]:

$[[i \in \text{nat}; j \in \text{nat}; \text{bnd-mono}(D, h)]] \Longrightarrow i \leq j \longrightarrow h^{\wedge i}(0) \subseteq h^{\wedge j}(0)$

apply (*rule-tac* $m=i$ **and** $n=j$ **in** *diff-induct*, *simp-all*)
apply (*blast del*: *subsetI*
intro: *bnd-mono-iterates-subset bnd-monoD2* [*of concl*: h])

done

lemma *directed-iterates*: $\text{bnd-mono}(D, h) \Longrightarrow \text{directed}(\{h^{\wedge n}(0). n \in \text{nat}\})$

apply (*simp add*: *directed-def*, *clarify*)
apply (*rename-tac* $i\ j$)
apply (*rule-tac* $x=i \cup j$ **in** *beX*)
apply (*rule-tac* $i = i$ **and** $j = j$ **in** *Ord-linear-le*)
apply (*simp-all add*: *subset-Un-iff* [*THEN iffD1*] *le-imp-subset*
subset-Un-iff2 [*THEN iffD1*])
apply (*simp-all add*: *subset-Un-iff* [*THEN iff-sym*] *bnd-mono-increasing*
subset-Un-iff2 [*THEN iff-sym*])

done

lemma *contin-iterates-eq*:

$[[\text{bnd-mono}(D, h); \text{contin}(h)]]$
 $\Longrightarrow h(\bigcup n \in \text{nat}. h^{\wedge n}(0)) = (\bigcup n \in \text{nat}. h^{\wedge n}(0))$

apply (*simp add*: *contin-def* *directed-iterates*)
apply (*rule trans*)
apply (*rule equalityI*)
apply (*simp-all add*: *UN-subset-iff*)
apply *safe*
apply (*erule-tac* [2] *natE*)
apply (*rule-tac* $a=\text{succ}(x)$ **in** *UN-I*)
apply *simp-all*
apply *blast*
done

lemma *lfp-subset-Union*:

$[[\text{bnd-mono}(D, h); \text{contin}(h)]] \Longrightarrow \text{lfp}(D, h) \subseteq (\bigcup n \in \text{nat}. h^{\wedge n}(0))$

apply (*rule lfp-lowerbound*)
apply (*simp add*: *contin-iterates-eq*)

apply (*simp add: contin-def bnd-mono-iterates-subset UN-subset-iff*)
done

lemma *Union-subset-lfp*:

$bnd\text{-}mono(D, h) \implies (\bigcup_{n \in nat.} h \hat{\ }^n(0)) \subseteq lfp(D, h)$
apply (*simp add: UN-subset-iff*)
apply (*rule ballI*)
apply (*induct-tac n, simp-all*)
apply (*rule subset-trans [of - h(lfp(D, h))]*)
apply (*blast dest: bnd-monoD2 [OF - - lfp-subset]*)
apply (*erule lfp-lemma2*)
done

lemma *lfp-eq-Union*:

$[[bnd\text{-}mono(D, h); contin(h)]] \implies lfp(D, h) = (\bigcup_{n \in nat.} h \hat{\ }^n(0))$
by (*blast del: subsetI*
intro: lfp-subset-Union Union-subset-lfp)

6.1.1 Some Standard Datatype Constructions Preserve Continuity

lemma *contin-imp-mono*: $[[X \subseteq Y; contin(F)]] \implies F(X) \subseteq F(Y)$

apply (*simp add: contin-def*)
apply (*drule-tac x={X, Y} in spec*)
apply (*simp add: directed-def subset-Un-iff2 Un-commute*)
done

lemma *sum-contin*: $[[contin(F); contin(G)]] \implies contin(\lambda X. F(X) + G(X))$
by (*simp add: contin-def, blast*)

lemma *prod-contin*: $[[contin(F); contin(G)]] \implies contin(\lambda X. F(X) * G(X))$

apply (*subgoal-tac $\forall B C. F(B) \subseteq F(B \cup C)$*)
prefer 2 **apply** (*simp add: Un-upper1 contin-imp-mono*)
apply (*subgoal-tac $\forall B C. G(C) \subseteq G(B \cup C)$*)
prefer 2 **apply** (*simp add: Un-upper2 contin-imp-mono*)
apply (*simp add: contin-def, clarify*)
apply (*rule equalityI*)
prefer 2 **apply** *blast*
apply *clarify*
apply (*rename-tac B C*)
apply (*rule-tac $a=B \cup C$ in UN-I*)
apply (*simp add: directed-def, blast*)
done

lemma *const-contin*: $contin(\lambda X. A)$

by (*simp add: contin-def directed-def*)

lemma *id-contin*: $contin(\lambda X. X)$

by (*simp add: contin-def*)

6.2 Absoluteness for "Iterates"

definition

iterates-MH :: $[i=>o, [i,i]=>o, i, i, i, i] => o$ **where**
iterates-MH(M, isF, v, n, g, z) ==
is-nat-case($M, v, \lambda m u. \exists gm[M]. fun-apply(M, g, m, gm) \ \& \ isF(gm, u),$
 n, z)

definition

is-iterates :: $[i=>o, [i,i]=>o, i, i, i] => o$ **where**
is-iterates(M, isF, v, n, Z) ==
 $\exists sn[M]. \exists msn[M]. successor(M, n, sn) \ \& \ membership(M, sn, msn) \ \& \$
is-wfrec($M, iterates-MH(M, isF, v), msn, n, Z$)

definition

iterates-replacement :: $[i=>o, [i,i]=>o, i] => o$ **where**
iterates-replacement(M, isF, v) ==
 $\forall n[M]. n \in nat \longrightarrow$
wfrec-replacement($M, iterates-MH(M, isF, v), Memrel(succ(n))$)

lemma (in *M-basic*) *iterates-MH-abs*:

$[[relation1(M, isF, F); M(n); M(g); M(z)]]$
 $==> iterates-MH(M, isF, v, n, g, z) \longleftrightarrow z = nat-case(v, \lambda m. F(g'm), n)$

by (*simp add: nat-case-abs [of - $\lambda m. F(g' m)$]*
relation1-def iterates-MH-def)

lemma (in *M-trancl*) *iterates-imp-wfrec-replacement*:

$[[relation1(M, isF, F); n \in nat; iterates-replacement(M, isF, v)]]$
 $==> wfrec-replacement(M, \lambda n f z. z = nat-case(v, \lambda m. F(f'm), n),$
 $Memrel(succ(n)))$

by (*simp add: iterates-replacement-def iterates-MH-abs*)

theorem (in *M-trancl*) *iterates-abs*:

$[[iterates-replacement(M, isF, v); relation1(M, isF, F);$
 $n \in nat; M(v); M(z); \forall x[M]. M(F(x))]]$
 $==> is-iterates(M, isF, v, n, z) \longleftrightarrow z = iterates(F, n, v)$

apply (*frule iterates-imp-wfrec-replacement, assumption+*)

apply (*simp add: wf-Memrel trans-Memrel relation-Memrel*

is-iterates-def relation2-def iterates-MH-abs

iterates-nat-def recursor-def transrec-def

eclose-sing-Ord-eq nat-into-M

trans-wfrec-abs [of - - - $\lambda n g. nat-case(v, \lambda m. F(g'm), n)$])

done

lemma (in *M-trancl*) *iterates-closed [intro, simp]*:

$[[iterates-replacement(M, isF, v); relation1(M, isF, F);$
 $n \in nat; M(v); \forall x[M]. M(F(x))]]$
 $==> M(iterates(F, n, v))$

apply (*frule iterates-imp-wfrec-replacement, assumption+*)

```

apply (simp add: wf-Memrel trans-Memrel relation-Memrel
          relation2-def iterates-MH-abs
          iterates-nat-def recursor-def transrec-def
          eclose-sing-Ord-eq nat-into-M
          trans-wfrec-closed [of - - - λn g. nat-case(v, λm. F(g‘m), n)])
done

```

6.3 lists without univ

```

lemmas datatype-univs = Inl-in-univ Inr-in-univ
          Pair-in-univ nat-into-univ A-into-univ

```

```

lemma list-fun-bnd-mono: bnd-mono(univ(A), λX. {0} + A*X)
apply (rule bnd-monoI)
apply (intro subset-refl zero-subset-univ A-subset-univ
          sum-subset-univ Sigma-subset-univ)
apply (rule subset-refl sum-mono Sigma-mono | assumption)+
done

```

```

lemma list-fun-contin: contin(λX. {0} + A*X)
by (intro sum-contin prod-contin id-contin const-contin)

```

Re-expresses lists using sum and product

```

lemma list-eq-lfp2: list(A) = lfp(univ(A), λX. {0} + A*X)
apply (simp add: list-def)
apply (rule equalityI)
apply (rule lfp-lowerbound)
prefer 2 apply (rule lfp-subset)
apply (clarify, subst lfp-unfold [OF list-fun-bnd-mono])
apply (simp add: Nil-def Cons-def)
apply blast

```

Opposite inclusion

```

apply (rule lfp-lowerbound)
prefer 2 apply (rule lfp-subset)
apply (clarify, subst lfp-unfold [OF list.bnd-mono])
apply (simp add: Nil-def Cons-def)
apply (blast intro: datatype-univs
          dest: lfp-subset [THEN subsetD])
done

```

Re-expresses lists using "iterates", no univ.

```

lemma list-eq-Union:
  list(A) = (⋃ n ∈ nat. (λX. {0} + A*X) ^ n (0))
by (simp add: list-eq-lfp2 lfp-eq-Union list-fun-bnd-mono list-fun-contin)

```

definition

```

is-list-functor :: [i=>o,i,i,i] => o where

```

$is\text{-list}\text{-functor}(M, A, X, Z) ==$
 $\exists n1[M]. \exists AX[M].$
 $number1(M, n1) \ \& \ cartprod(M, A, X, AX) \ \& \ is\text{-sum}(M, n1, AX, Z)$

lemma (in *M-basic*) *list-functor-abs* [*simp*]:
 $[| M(A); M(X); M(Z) |] ==> is\text{-list}\text{-functor}(M, A, X, Z) \longleftrightarrow (Z = \{0\} + A * X)$
by (*simp add: is-list-functor-def singleton-0 nat-into-M*)

6.4 formulas without univ

lemma *formula-fun-bnd-mono*:
 $bnd\text{-mono}(univ(0), \lambda X. ((nat * nat) + (nat * nat)) + (X * X + X))$
apply (*rule bnd-monoI*)
apply (*intro subset-refl zero-subset-univ A-subset-univ sum-subset-univ Sigma-subset-univ nat-subset-univ*)
apply (*rule subset-refl sum-mono Sigma-mono | assumption*) +
done

lemma *formula-fun-contin*:
 $contin(\lambda X. ((nat * nat) + (nat * nat)) + (X * X + X))$
by (*intro sum-contin prod-contin id-contin const-contin*)

Re-expresses formulas using sum and product

lemma *formula-eq-lfp2*:
 $formula = lfp(univ(0), \lambda X. ((nat * nat) + (nat * nat)) + (X * X + X))$
apply (*simp add: formula-def*)
apply (*rule equalityI*)
apply (*rule lfp-lowerbound*)
prefer 2 apply (*rule lfp-subset*)
apply (*clarify, subst lfp-unfold [OF formula-fun-bnd-mono]*)
apply (*simp add: Member-def Equal-def Nand-def Forall-def*)
apply *blast*

Opposite inclusion

apply (*rule lfp-lowerbound*)
prefer 2 apply (*rule lfp-subset, clarify*)
apply (*subst lfp-unfold [OF formula.bnd-mono, simplified]*)
apply (*simp add: Member-def Equal-def Nand-def Forall-def*)
apply (*elim sumE SigmaE, simp-all*)
apply (*blast intro: datatype-univ dest: lfp-subset [THEN subsetD]*) +
done

Re-expresses formulas using "iterates", no univ.

lemma *formula-eq-Union*:
 $formula =$
 $(\bigcup n \in nat. (\lambda X. ((nat * nat) + (nat * nat)) + (X * X + X)) \wedge n (0))$
by (*simp add: formula-eq-lfp2 lfp-eq-Union formula-fun-bnd-mono formula-fun-contin*)

definition

is-formula-functor :: $[i=>o,i,i] => o$ **where**
is-formula-functor(M,X,Z) ==
 $\exists \text{nat}'[M]. \exists \text{natnat}[M]. \exists \text{natnatsum}[M]. \exists \text{XX}[M]. \exists \text{X3}[M].$
 $\text{omega}(M,\text{nat}') \ \& \ \text{cartprod}(M,\text{nat}',\text{nat}',\text{natnat}) \ \&$
 $\text{is-sum}(M,\text{natnat},\text{natnat},\text{natnatsum}) \ \&$
 $\text{cartprod}(M,X,X,\text{XX}) \ \& \ \text{is-sum}(M,\text{XX},X,\text{X3}) \ \&$
 $\text{is-sum}(M,\text{natnatsum},\text{X3},Z)$

lemma (*in M-trancl*) *formula-functor-abs* [*simp*]:

$[[M(X); M(Z)]]$
 $==> \text{is-formula-functor}(M,X,Z) \longleftrightarrow$
 $Z = ((\text{nat}*\text{nat}) + (\text{nat}*\text{nat})) + (X*X + X)$

by (*simp add: is-formula-functor-def*)

6.5 M Contains the List and Formula Datatypes

definition

list-N :: $[i,i] => i$ **where**
list-N(A,n) == $(\lambda X. \{0\} + A * X) \hat{\ } n \ (0)$

lemma *Nil-in-list-N* [*simp*]: $[] \in \text{list-N}(A,\text{succ}(n))$

by (*simp add: list-N-def Nil-def*)

lemma *Cons-in-list-N* [*simp*]:

$\text{Cons}(a,l) \in \text{list-N}(A,\text{succ}(n)) \longleftrightarrow a \in A \ \& \ l \in \text{list-N}(A,n)$

by (*simp add: list-N-def Cons-def*)

These two aren't simprules because they reveal the underlying list representation.

lemma *list-N-0*: $\text{list-N}(A,0) = 0$

by (*simp add: list-N-def*)

lemma *list-N-succ*: $\text{list-N}(A,\text{succ}(n)) = \{0\} + A * (\text{list-N}(A,n))$

by (*simp add: list-N-def*)

lemma *list-N-imp-list*:

$[[l \in \text{list-N}(A,n); n \in \text{nat}]]$ ==> $l \in \text{list}(A)$

by (*force simp add: list-eq-Union list-N-def*)

lemma *list-N-imp-length-lt* [*rule-format*]:

$n \in \text{nat} ==> \forall l \in \text{list-N}(A,n). \text{length}(l) < n$

apply (*induct-tac n*)

apply (*auto simp add: list-N-0 list-N-succ*)

Nil-def [*symmetric*] *Cons-def* [*symmetric*])

done

```

lemma list-imp-list-N [rule-format]:
   $l \in \text{list}(A) \implies \forall n \in \text{nat}. \text{length}(l) < n \longrightarrow l \in \text{list-N}(A, n)$ 
apply (induct-tac l)
apply (force elim: natE)
done

```

```

lemma list-N-imp-eq-length:
   $[[n \in \text{nat}; l \notin \text{list-N}(A, n); l \in \text{list-N}(A, \text{succ}(n))]]$ 
   $\implies n = \text{length}(l)$ 
apply (rule le-anti-sym)
prefer 2 apply (simp add: list-N-imp-length-lt)
apply (frule list-N-imp-list, simp)
apply (simp add: not-lt-iff-le [symmetric])
apply (blast intro: list-imp-list-N)
done

```

Express *list-rec* without using *rank* or *Vset*, neither of which is absolute.

```

lemma (in M-trivial) list-rec-eq:
   $l \in \text{list}(A) \implies$ 
   $\text{list-rec}(a, g, l) =$ 
   $\text{transrec}(\text{succ}(\text{length}(l)),$ 
   $\lambda x h. \text{Lambda}(\text{list}(A),$ 
   $\text{list-case}'(a,$ 
   $\lambda a l. g(a, l, h \text{ ' succ}(\text{length}(l)) \text{ ' l}))) \text{ ' l}$ 
apply (induct-tac l)
apply (subst transrec, simp)
apply (subst transrec)
apply (simp add: list-imp-list-N)
done

```

```

definition
  is-list-N ::  $[i=>o, i, i] \implies o$  where
  is-list-N(M, A, n, Z) ==
   $\exists \text{zero}[M]. \text{empty}(M, \text{zero}) \ \&$ 
   $\text{is-iterates}(M, \text{is-list-functor}(M, A), \text{zero}, n, Z)$ 

```

```

definition
  mem-list ::  $[i=>o, i, i] \implies o$  where
  mem-list(M, A, l) ==
   $\exists n[M]. \exists \text{listn}[M].$ 
   $\text{finite-ordinal}(M, n) \ \& \ \text{is-list-N}(M, A, n, \text{listn}) \ \& \ l \in \text{listn}$ 

```

```

definition
  is-list ::  $[i=>o, i, i] \implies o$  where
  is-list(M, A, Z) ==  $\forall l[M]. l \in Z \longleftrightarrow \text{mem-list}(M, A, l)$ 

```

6.5.1 Towards Absoluteness of *formula-rec*

```

consts depth ::  $i=>i$ 

```

primrec

$$\begin{aligned} \text{depth}(\text{Member}(x,y)) &= 0 \\ \text{depth}(\text{Equal}(x,y)) &= 0 \\ \text{depth}(\text{Nand}(p,q)) &= \text{succ}(\text{depth}(p) \cup \text{depth}(q)) \\ \text{depth}(\text{Forall}(p)) &= \text{succ}(\text{depth}(p)) \end{aligned}$$

lemma *depth-type* [TC]: $p \in \text{formula} \implies \text{depth}(p) \in \text{nat}$
by (*induct-tac p, simp-all*)

definition

formula-N :: $i \implies i$ **where**
formula-N(n) == $(\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X)) \wedge n$ (0)

lemma *Member-in-formula-N* [simp]:

$$\text{Member}(x,y) \in \text{formula-N}(\text{succ}(n)) \longleftrightarrow x \in \text{nat} \ \& \ y \in \text{nat}$$

by (*simp add: formula-N-def Member-def*)

lemma *Equal-in-formula-N* [simp]:

$$\text{Equal}(x,y) \in \text{formula-N}(\text{succ}(n)) \longleftrightarrow x \in \text{nat} \ \& \ y \in \text{nat}$$

by (*simp add: formula-N-def Equal-def*)

lemma *Nand-in-formula-N* [simp]:

$$\text{Nand}(x,y) \in \text{formula-N}(\text{succ}(n)) \longleftrightarrow x \in \text{formula-N}(n) \ \& \ y \in \text{formula-N}(n)$$

by (*simp add: formula-N-def Nand-def*)

lemma *Forall-in-formula-N* [simp]:

$$\text{Forall}(x) \in \text{formula-N}(\text{succ}(n)) \longleftrightarrow x \in \text{formula-N}(n)$$

by (*simp add: formula-N-def Forall-def*)

These two aren't simprules because they reveal the underlying formula representation.

lemma *formula-N-0*: $\text{formula-N}(0) = 0$

by (*simp add: formula-N-def*)

lemma *formula-N-succ*:

$$\begin{aligned} \text{formula-N}(\text{succ}(n)) &= \\ &((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (\text{formula-N}(n) * \text{formula-N}(n) + \text{formula-N}(n)) \end{aligned}$$

by (*simp add: formula-N-def*)

lemma *formula-N-imp-formula*:

$$[[p \in \text{formula-N}(n); n \in \text{nat}]] \implies p \in \text{formula}$$

by (*force simp add: formula-eq-Union formula-N-def*)

lemma *formula-N-imp-depth-lt* [rule-format]:

$$n \in \text{nat} \implies \forall p \in \text{formula-N}(n). \text{depth}(p) < n$$

apply (*induct-tac n*)

apply (*auto simp add: formula-N-0 formula-N-succ*)

depth-type formula-N-imp-formula Un-least-lt-iff

Member-def [symmetric] Equal-def [symmetric]
Nand-def [symmetric] Forall-def [symmetric]

done

lemma *formula-imp-formula-N [rule-format]:*

$p \in \text{formula} \implies \forall n \in \text{nat}. \text{depth}(p) < n \longrightarrow p \in \text{formula-N}(n)$

apply (*induct-tac p*)

apply (*simp-all add: succ-Un-distrib Un-least-lt-iff*)

apply (*force elim: natE*)**+**

done

lemma *formula-N-imp-eq-depth:*

$[[n \in \text{nat}; p \notin \text{formula-N}(n); p \in \text{formula-N}(\text{succ}(n))]]$
 $\implies n = \text{depth}(p)$

apply (*rule le-anti-sym*)

prefer 2 **apply** (*simp add: formula-N-imp-depth-lt*)

apply (*frule formula-N-imp-formula, simp*)

apply (*simp add: not-lt-iff-le [symmetric]*)

apply (*blast intro: formula-imp-formula-N*)

done

This result and the next are unused.

lemma *formula-N-mono [rule-format]:*

$[[m \in \text{nat}; n \in \text{nat}]] \implies m \leq n \longrightarrow \text{formula-N}(m) \subseteq \text{formula-N}(n)$

apply (*rule-tac m = m and n = n in diff-induct*)

apply (*simp-all add: formula-N-0 formula-N-succ, blast*)

done

lemma *formula-N-distrib:*

$[[m \in \text{nat}; n \in \text{nat}]] \implies \text{formula-N}(m \cup n) = \text{formula-N}(m) \cup \text{formula-N}(n)$

apply (*rule-tac i = m and j = n in Ord-linear-le, auto*)

apply (*simp-all add: subset-Un-iff [THEN iffD1] subset-Un-iff2 [THEN iffD1]*

le-imp-subset formula-N-mono)

done

definition

is-formula-N :: $[i=>o, i, i] \implies o$ **where**

is-formula-N(*M, n, Z*) ==

$\exists \text{zero}[M]. \text{empty}(M, \text{zero}) \ \&$

is-iterates(*M, is-formula-functor*(*M*), *zero, n, Z*)

definition

mem-formula :: $[i=>o, i] \implies o$ **where**

mem-formula(*M, p*) ==

$\exists n[M]. \exists \text{formn}[M].$

finite-ordinal(*M, n*) & *is-formula-N*(*M, n, formn*) & $p \in \text{formn}$

definition

is-formula :: [*i* => *o*, *i*] => *o* **where**
is-formula(*M*, *Z*) == $\forall p[M]. p \in Z \longleftrightarrow \text{mem-formula}(M, p)$

locale *M-datatypes* = *M-trancl* +
assumes *list-replacement1*:
 $M(A) \implies \text{iterates-replacement}(M, \text{is-list-functor}(M, A), 0)$
and *list-replacement2*:
 $M(A) \implies \text{strong-replacement}(M,$
 $\lambda n y. n \in \text{nat} \ \& \ \text{is-iterates}(M, \text{is-list-functor}(M, A), 0, n, y))$
and *formula-replacement1*:
 $\text{iterates-replacement}(M, \text{is-formula-functor}(M), 0)$
and *formula-replacement2*:
 $\text{strong-replacement}(M,$
 $\lambda n y. n \in \text{nat} \ \& \ \text{is-iterates}(M, \text{is-formula-functor}(M), 0, n, y))$
and *nth-replacement*:
 $M(l) \implies \text{iterates-replacement}(M, \%l t. \text{is-tl}(M, l, t), l)$

6.5.2 Absoluteness of the List Construction

lemma (**in** *M-datatypes*) *list-replacement2'*:
 $M(A) \implies \text{strong-replacement}(M, \lambda n y. n \in \text{nat} \ \& \ y = (\lambda X. \{0\} + A * X) \hat{\ } n$
 $(0))$
apply (*insert list-replacement2* [*of A*])
apply (*rule strong-replacement-cong* [*THEN iffD1*])
apply (*rule conj-cong* [*OF iff-refl iterates-abs* [*of is-list-functor*(*M*, *A*)]])
apply (*simp-all add: list-replacement1 relation1-def*)
done

lemma (**in** *M-datatypes*) *list-closed* [*intro, simp*]:
 $M(A) \implies M(\text{list}(A))$
apply (*insert list-replacement1*)
by (*simp add: RepFun-closed2 list-eq-Union*
 $\text{list-replacement2' relation1-def}$
 $\text{iterates-closed$ [*of is-list-functor*(*M*, *A*)]])

WARNING: use only with *dest:* or with variables fixed!

lemmas (**in** *M-datatypes*) *list-into-M* = *transM* [*OF - list-closed*]

lemma (**in** *M-datatypes*) *list-N-abs* [*simp*]:
 $[[M(A); n \in \text{nat}; M(Z)]]$
 $\implies \text{is-list-N}(M, A, n, Z) \longleftrightarrow Z = \text{list-N}(A, n)$
apply (*insert list-replacement1*)
apply (*simp add: is-list-N-def list-N-def relation1-def nat-into-M*
 $\text{iterates-abs$ [*of is-list-functor*(*M*, *A*) - $\lambda X. \{0\} + A * X$])
done

lemma (**in** *M-datatypes*) *list-N-closed* [*intro, simp*]:
 $[[M(A); n \in \text{nat}]] \implies M(\text{list-N}(A, n))$
apply (*insert list-replacement1*)

apply (*simp add: is-list-N-def list-N-def relation1-def nat-into-M iterates-closed [of is-list-functor(M,A)]*)

done

lemma (**in** *M-datatypes*) *mem-list-abs [simp]*:

$M(A) \implies \text{mem-list}(M,A,l) \longleftrightarrow l \in \text{list}(A)$

apply (*insert list-replacement1*)

apply (*simp add: mem-list-def list-N-def relation1-def list-eq-Union iterates-closed [of is-list-functor(M,A)]*)

done

lemma (**in** *M-datatypes*) *list-abs [simp]*:

$[\![M(A); M(Z)]\!] \implies \text{is-list}(M,A,Z) \longleftrightarrow Z = \text{list}(A)$

apply (*simp add: is-list-def, safe*)

apply (*rule M-equalityI, simp-all*)

done

6.5.3 Absoluteness of Formulas

lemma (**in** *M-datatypes*) *formula-replacement2'*:

$\text{strong-replacement}(M, \lambda n y. n \in \text{nat} \ \& \ y = (\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X)) \wedge n (0))$

apply (*insert formula-replacement2*)

apply (*rule strong-replacement-cong [THEN iffD1]*)

apply (*rule conj-cong [OF iff-refl iterates-abs [of is-formula-functor(M)]]*)

apply (*simp-all add: formula-replacement1 relation1-def*)

done

lemma (**in** *M-datatypes*) *formula-closed [intro,simp]*:

$M(\text{formula})$

apply (*insert formula-replacement1*)

apply (*simp add: RepFun-closed2 formula-eq-Union*

formula-replacement2' relation1-def

iterates-closed [of is-formula-functor(M)])

done

lemmas (**in** *M-datatypes*) *formula-into-M = transM [OF - formula-closed]*

lemma (**in** *M-datatypes*) *formula-N-abs [simp]*:

$[\![n \in \text{nat}; M(Z)]\!]$

$\implies \text{is-formula-N}(M,n,Z) \longleftrightarrow Z = \text{formula-N}(n)$

apply (*insert formula-replacement1*)

apply (*simp add: is-formula-N-def formula-N-def relation1-def nat-into-M iterates-abs [of is-formula-functor(M) -*

$\lambda X. ((\text{nat} * \text{nat}) + (\text{nat} * \text{nat})) + (X * X + X)])$

done

lemma (**in** *M-datatypes*) *formula-N-closed [intro,simp]*:

$n \in \text{nat} \implies M(\text{formula-N}(n))$

apply (*insert formula-replacement1*)
apply (*simp add: is-formula-N-def formula-N-def relation1-def nat-into-M*
iterates-closed [of is-formula-functor(M)])
done

lemma (**in** *M-datatypes*) *mem-formula-abs [simp]*:
mem-formula(M,l) \longleftrightarrow l \in formula
apply (*insert formula-replacement1*)
apply (*simp add: mem-formula-def relation1-def formula-eq-Union formula-N-def*
iterates-closed [of is-formula-functor(M)])
done

lemma (**in** *M-datatypes*) *formula-abs [simp]*:
 $[[M(Z)]] \implies is-formula(M,Z) \longleftrightarrow Z = formula$
apply (*simp add: is-formula-def, safe*)
apply (*rule M-equalityI, simp-all*)
done

6.6 Absoluteness for ε -Closure: the *eclose* Operator

Re-expresses *eclose* using "iterates"

lemma *eclose-eq-Union*:
 $eclose(A) = (\bigcup_{n \in nat.} Union \hat{n} (A))$
apply (*simp add: eclose-def*)
apply (*rule UN-cong*)
apply (*rule refl*)
apply (*induct-tac n*)
apply (*simp add: nat-rec-0*)
apply (*simp add: nat-rec-succ*)
done

definition

is-eclose-n :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
is-eclose-n(M,A,n,Z) == is-iterates(M, big-union(M), A, n, Z)

definition

mem-eclose :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $mem-eclose(M,A,l) ==$
 $\exists n[M]. \exists eclosen[M].$
 $finite-ordinal(M,n) \ \& \ is-eclose-n(M,A,n,eclosen) \ \& \ l \in eclosen$

definition

is-eclose :: $[i \Rightarrow o, i, i] \Rightarrow o$ **where**
 $is-eclose(M,A,Z) == \forall u[M]. u \in Z \longleftrightarrow mem-eclose(M,A,u)$

locale *M-eclose* = *M-datatypes* +
assumes *eclose-replacement1*:
 $M(A) \implies iterates-replacement(M, big-union(M), A)$

and *eclose-replacement2*:
 $M(A) \implies \text{strong-replacement}(M,$
 $\lambda n y. n \in \text{nat} \ \& \ \text{is-iterates}(M, \text{big-union}(M), A, n, y))$

lemma (**in** *M-eclose*) *eclose-replacement2'*:
 $M(A) \implies \text{strong-replacement}(M, \lambda n y. n \in \text{nat} \ \& \ y = \text{Union} \hat{n} (A))$
apply (*insert eclose-replacement2 [of A]*)
apply (*rule strong-replacement-cong [THEN iffD1]*)
apply (*rule conj-cong [OF iff-refl iterates-abs [of big-union(M)]]*)
apply (*simp-all add: eclose-replacement1 relation1-def*)
done

lemma (**in** *M-eclose*) *eclose-closed [intro,simp]*:
 $M(A) \implies M(\text{eclose}(A))$
apply (*insert eclose-replacement1*)
by (*simp add: RepFun-closed2 eclose-eq-Union*
eclose-replacement2' relation1-def
iterates-closed [of big-union(M)])

lemma (**in** *M-eclose*) *is-eclose-n-abs [simp]*:
 $[|M(A); n \in \text{nat}; M(Z)|] \implies \text{is-eclose-n}(M, A, n, Z) \longleftrightarrow Z = \text{Union} \hat{n} (A)$
apply (*insert eclose-replacement1*)
apply (*simp add: is-eclose-n-def relation1-def nat-into-M*
iterates-abs [of big-union(M) - Union])
done

lemma (**in** *M-eclose*) *mem-eclose-abs [simp]*:
 $M(A) \implies \text{mem-eclose}(M, A, l) \longleftrightarrow l \in \text{eclose}(A)$
apply (*insert eclose-replacement1*)
apply (*simp add: mem-eclose-def relation1-def eclose-eq-Union*
iterates-closed [of big-union(M)])
done

lemma (**in** *M-eclose*) *eclose-abs [simp]*:
 $[|M(A); M(Z)|] \implies \text{is-eclose}(M, A, Z) \longleftrightarrow Z = \text{eclose}(A)$
apply (*simp add: is-eclose-def, safe*)
apply (*rule M-equalityI, simp-all*)
done

6.7 Absoluteness for *transrec*

$\text{transrec}(a, H) \equiv \text{wfrec}(\text{Memrel}(\text{eclose}(\{a\})), a, H)$

definition

is-transrec :: $[i \Rightarrow o, [i, i, i] \Rightarrow o, i, i] \Rightarrow o$ **where**
 $\text{is-transrec}(M, MH, a, z) \equiv$
 $\exists sa[M]. \exists esa[M]. \exists mesa[M].$
 $\text{upair}(M, a, a, sa) \ \& \ \text{is-eclose}(M, sa, esa) \ \& \ \text{membership}(M, esa, mesa) \ \&$
 $\text{is-wfrec}(M, MH, mesa, a, z)$

definition

$transrec\text{-}replacement :: [i=>o, [i,i,i]=>o, i] => o$ **where**
 $transrec\text{-}replacement(M,MH,a) ==$
 $\exists sa[M]. \exists esa[M]. \exists mesa[M].$
 $upair(M,a,a,sa) \ \& \ is\text{-}eclose(M,sa,esa) \ \& \ membership(M,esa,mesa) \ \& \ wfrec\text{-}replacement(M,MH,mesa)$

The condition $Ord(i)$ lets us use the simpler $trans\text{-}wfrec\text{-}abs$ rather than $trans\text{-}wfrec\text{-}abs$, which I haven't even proved yet.

theorem (in $M\text{-}eclose$) $transrec\text{-}abs$:

$[[transrec\text{-}replacement(M,MH,i); \ relation2(M,MH,H);$
 $Ord(i); \ M(i); \ M(z);$
 $\forall x[M]. \forall g[M]. \ function(g) \longrightarrow M(H(x,g))]]$
 $==> is\text{-}transrec(M,MH,i,z) \longleftrightarrow z = transrec(i,H)$

by (*simp add: trans-wfrec-abs transrec-replacement-def is-transrec-def transrec-def eclose-sing-Ord-eq wf-Memrel trans-Memrel relation-Memrel*)

theorem (in $M\text{-}eclose$) $transrec\text{-}closed$:

$[[transrec\text{-}replacement(M,MH,i); \ relation2(M,MH,H);$
 $Ord(i); \ M(i);$
 $\forall x[M]. \forall g[M]. \ function(g) \longrightarrow M(H(x,g))]]$
 $==> M(transrec(i,H))$

by (*simp add: trans-wfrec-closed transrec-replacement-def is-transrec-def transrec-def eclose-sing-Ord-eq wf-Memrel trans-Memrel relation-Memrel*)

Helps to prove instances of $transrec\text{-}replacement$

lemma (in $M\text{-}eclose$) $transrec\text{-}replacementI$:

$[[M(a);$
 $strong\text{-}replacement(M,$
 $\lambda x z. \exists y[M]. \ pair(M, x, y, z) \ \& \$
 $is\text{-}wfrec(M,MH,Memrel(eclose(\{a\})),x,y))]]$
 $==> transrec\text{-}replacement(M,MH,a)$

by (*simp add: transrec-replacement-def wfrec-replacement-def*)

6.8 Absoluteness for the List Operator $length$

But it is never used.

definition

$is\text{-}length :: [i=>o,i,i,i] => o$ **where**
 $is\text{-}length(M,A,l,n) ==$
 $\exists sn[M]. \exists list\text{-}n[M]. \exists list\text{-}sn[M].$
 $is\text{-}list\text{-}N(M,A,n,list\text{-}n) \ \& \ l \notin list\text{-}n \ \& \$
 $successor(M,n,sn) \ \& \ is\text{-}list\text{-}N(M,A,sn,list\text{-}sn) \ \& \ l \in list\text{-}sn$

lemma (in $M\text{-}datatypes$) $length\text{-}abs$ [*simp*]:

$[[M(A); \ l \in list(A); \ n \in nat]] ==> is\text{-}length(M,A,l,n) \longleftrightarrow n = length(l)$

```

apply (subgoal-tac  $M(l) \ \& \ M(n)$ )
prefer 2 apply (blast dest: transM)
apply (simp add: is-length-def)
apply (blast intro: list-imp-list-N nat-into-Ord list-N-imp-eq-length
        dest: list-N-imp-length-lt)
done

```

Proof is trivial since *length* returns natural numbers.

```

lemma (in M-trivial) length-closed [intro,simp]:
   $l \in \text{list}(A) \implies M(\text{length}(l))$ 
by (simp add: nat-into-M)

```

6.9 Absoluteness for the List Operator *nth*

```

lemma nth-eq-hd-iterates-tl [rule-format]:
   $xs \in \text{list}(A) \implies \forall n \in \text{nat}. \text{nth}(n, xs) = \text{hd}'(tl'^n(xs))$ 
apply (induct-tac xs)
apply (simp add: iterates-tl-Nil hd'-Nil, clarify)
apply (erule natE)
apply (simp add: hd'-Cons)
apply (simp add: tl'-Cons iterates-commute)
done

```

```

lemma (in M-basic) iterates-tl'-closed:
   $[[n \in \text{nat}; M(x)]] \implies M(tl'^n(x))$ 
apply (induct-tac n, simp)
apply (simp add: tl'-Cons tl'-closed)
done

```

Immediate by type-checking

```

lemma (in M-datatypes) nth-closed [intro,simp]:
   $[[xs \in \text{list}(A); n \in \text{nat}; M(A)]] \implies M(\text{nth}(n, xs))$ 
apply (case-tac  $n < \text{length}(xs)$ )
apply (blast intro: nth-type transM)
apply (simp add: not-lt-iff-le nth-eq-0)
done

```

definition

```

is-nth :: [i=>o,i,i,i] => o where
  is-nth(M,n,l,Z) ==
     $\exists X[M]. \text{is-iterates}(M, \text{is-tl}(M), l, n, X) \ \& \ \text{is-hd}(M, X, Z)$ 

```

```

lemma (in M-datatypes) nth-abs [simp]:
   $[[M(A); n \in \text{nat}; l \in \text{list}(A); M(Z)]]$ 
   $\implies \text{is-nth}(M, n, l, Z) \longleftrightarrow Z = \text{nth}(n, l)$ 
apply (subgoal-tac  $M(l)$ )
prefer 2 apply (blast intro: transM)
apply (simp add: is-nth-def nth-eq-hd-iterates-tl nat-into-M
        tl'-closed iterates-tl'-closed)

```

iterates-abs [OF - relation1-tl] nth-replacement)

done

6.10 Relativization and Absoluteness for the *formula Constructors*

definition

is-Member :: $[i=>o, i, i, i] => o$ **where**
— because $Member(x, y) \equiv Inl(Inl(\langle x, y \rangle))$
is-Member(M, x, y, Z) ==
 $\exists p[M]. \exists u[M]. pair(M, x, y, p) \ \& \ is-Inl(M, p, u) \ \& \ is-Inl(M, u, Z)$

lemma (**in** *M-trivial*) *Member-abs [simp]*:

$[M(x); M(y); M(Z)] ==> is-Member(M, x, y, Z) \longleftrightarrow (Z = Member(x, y))$

by (*simp add: is-Member-def Member-def*)

lemma (**in** *M-trivial*) *Member-in-M-iff [iff]*:

$M(Member(x, y)) \longleftrightarrow M(x) \ \& \ M(y)$

by (*simp add: Member-def*)

definition

is-Equal :: $[i=>o, i, i, i] => o$ **where**
— because $Equal(x, y) \equiv Inl(Inr(\langle x, y \rangle))$
is-Equal(M, x, y, Z) ==
 $\exists p[M]. \exists u[M]. pair(M, x, y, p) \ \& \ is-Inr(M, p, u) \ \& \ is-Inl(M, u, Z)$

lemma (**in** *M-trivial*) *Equal-abs [simp]*:

$[M(x); M(y); M(Z)] ==> is-Equal(M, x, y, Z) \longleftrightarrow (Z = Equal(x, y))$

by (*simp add: is-Equal-def Equal-def*)

lemma (**in** *M-trivial*) *Equal-in-M-iff [iff]*: $M(Equal(x, y)) \longleftrightarrow M(x) \ \& \ M(y)$

by (*simp add: Equal-def*)

definition

is-Nand :: $[i=>o, i, i, i] => o$ **where**
— because $Nand(x, y) \equiv Inr(Inl(\langle x, y \rangle))$
is-Nand(M, x, y, Z) ==
 $\exists p[M]. \exists u[M]. pair(M, x, y, p) \ \& \ is-Inl(M, p, u) \ \& \ is-Inr(M, u, Z)$

lemma (**in** *M-trivial*) *Nand-abs [simp]*:

$[M(x); M(y); M(Z)] ==> is-Nand(M, x, y, Z) \longleftrightarrow (Z = Nand(x, y))$

by (*simp add: is-Nand-def Nand-def*)

lemma (**in** *M-trivial*) *Nand-in-M-iff [iff]*: $M(Nand(x, y)) \longleftrightarrow M(x) \ \& \ M(y)$

by (*simp add: Nand-def*)

definition

is-Forall :: $[i=>o, i, i] => o$ **where**
— because $Forall(x) \equiv Inr(Inr(p))$

$is\text{-Forall}(M,p,Z) == \exists u[M]. is\text{-Inr}(M,p,u) \ \& \ is\text{-Inr}(M,u,Z)$

lemma (in *M-trivial*) *Forall-abs* [simp]:

$[[M(x); M(Z)]] ==> is\text{-Forall}(M,x,Z) \longleftrightarrow (Z = Forall(x))$

by (simp add: *is-Forall-def Forall-def*)

lemma (in *M-trivial*) *Forall-in-M-iff* [iff]: $M(Forall(x)) \longleftrightarrow M(x)$

by (simp add: *Forall-def*)

6.11 Absoluteness for *formula-rec*

definition

formula-rec-case :: $[[i,i]=>i, [i,i]=>i, [i,i,i]=>i, [i,i]=>i, i, i] => i$ **where**

— the instance of *formula-case* in *formula-rec*

formula-rec-case(*a,b,c,d,h*) ==

formula-case (*a, b,*
 $\lambda u v. c(u, v, h \text{ ' succ}(\text{depth}(u)) \text{ ' } u,$
 $h \text{ ' succ}(\text{depth}(v)) \text{ ' } v),$
 $\lambda u. d(u, h \text{ ' succ}(\text{depth}(u)) \text{ ' } u)$)

Unfold *formula-rec* to *formula-rec-case*. Express *formula-rec* without using *rank* or *Vset*, neither of which is absolute.

lemma (in *M-trivial*) *formula-rec-eq*:

$p \in \text{formula} ==>$

$\text{formula-rec}(a,b,c,d,p) =$

$\text{transrec}(\text{succ}(\text{depth}(p)),$

$\lambda x h. \text{Lambda}(\text{formula}, \text{formula-rec-case}(a,b,c,d,h))) \text{ ' } p$

apply (simp add: *formula-rec-case-def*)

apply (*induct-tac p*)

Base case for *Member*

apply (*subst transrec, simp add: formula.intros*)

Base case for *Equal*

apply (*subst transrec, simp add: formula.intros*)

Inductive step for *Nand*

apply (*subst transrec*)

apply (*simp add: succ-Un-distrib formula.intros*)

Inductive step for *Forall*

apply (*subst transrec*)

apply (*simp add: formula-imp-formula-N formula.intros*)

done

6.11.1 Absoluteness for the Formula Operator *depth*

definition

is-depth :: $[i=>o, i, i] => o$ **where**

$is\text{-depth}(M,p,n) ==$
 $\exists sn[M]. \exists formula\text{-}n[M]. \exists formula\text{-}sn[M].$
 $is\text{-formula}\text{-}N(M,n,formula\text{-}n) \ \& \ p \notin formula\text{-}n \ \&$
 $successor(M,n,sn) \ \& \ is\text{-formula}\text{-}N(M,sn,formula\text{-}sn) \ \& \ p \in formula\text{-}sn$

lemma (in $M\text{-datatypes}$) $depth\text{-abs}$ [simp]:
 $[[p \in formula; n \in nat]] ==> is\text{-depth}(M,p,n) \longleftrightarrow n = depth(p)$
apply ($subgoal\text{-tac}$ $M(p) \ \& \ M(n)$)
prefer 2 **apply** ($blast\ dest: transM$)
apply ($simp\ add: is\text{-depth}\text{-def}$)
apply ($blast\ intro: formula\text{-}imp\text{-formula}\text{-}N\ nat\text{-into}\text{-Ord}\ formula\text{-}N\text{-imp}\text{-eq}\text{-depth}$
 $dest: formula\text{-}N\text{-imp}\text{-depth}\text{-lt}$)
done

Proof is trivial since $depth$ returns natural numbers.

lemma (in $M\text{-trivial}$) $depth\text{-closed}$ [intro,simp]:
 $p \in formula ==> M(depth(p))$
by ($simp\ add: nat\text{-into}\text{-}M$)

6.11.2 $is\text{-formula}\text{-case}$: relativization of $formula\text{-case}$

definition

$is\text{-formula}\text{-case} ::$
 $[i=>o, [i,i,i]=>o, [i,i,i]=>o, [i,i,i]=>o, [i,i]=>o, i, i] => o$ **where**
— no constraint on non-formulas
 $is\text{-formula}\text{-case}(M, is\text{-}a, is\text{-}b, is\text{-}c, is\text{-}d, p, z) ==$
 $(\forall x[M]. \forall y[M]. finite\text{-ordinal}(M,x) \longrightarrow finite\text{-ordinal}(M,y) \longrightarrow$
 $is\text{-Member}(M,x,y,p) \longrightarrow is\text{-}a(x,y,z)) \ \&$
 $(\forall x[M]. \forall y[M]. finite\text{-ordinal}(M,x) \longrightarrow finite\text{-ordinal}(M,y) \longrightarrow$
 $is\text{-Equal}(M,x,y,p) \longrightarrow is\text{-}b(x,y,z)) \ \&$
 $(\forall x[M]. \forall y[M]. mem\text{-formula}(M,x) \longrightarrow mem\text{-formula}(M,y) \longrightarrow$
 $is\text{-Nand}(M,x,y,p) \longrightarrow is\text{-}c(x,y,z)) \ \&$
 $(\forall x[M]. mem\text{-formula}(M,x) \longrightarrow is\text{-Forall}(M,x,p) \longrightarrow is\text{-}d(x,z))$

lemma (in $M\text{-datatypes}$) $formula\text{-case}\text{-abs}$ [simp]:
 $[[\ Relation2(M,nat,nat,is\text{-}a,a); \ Relation2(M,nat,nat,is\text{-}b,b);$
 $\ Relation2(M,formula,formula,is\text{-}c,c); \ Relation1(M,formula,is\text{-}d,d);$
 $p \in formula; \ M(z)]]$
 $==> is\text{-formula}\text{-case}(M,is\text{-}a,is\text{-}b,is\text{-}c,is\text{-}d,p,z) \longleftrightarrow$
 $z = formula\text{-case}(a,b,c,d,p)$
apply ($simp\ add: formula\text{-into}\text{-}M\ is\text{-formula}\text{-case}\text{-def}$)
apply ($erule\ formula.cases$)
apply ($simp\text{-all}\ add: Relation1\text{-def}\ Relation2\text{-def}$)
done

lemma (in $M\text{-datatypes}$) $formula\text{-case}\text{-closed}$ [intro,simp]:
 $[[p \in formula;$
 $\forall x[M]. \forall y[M]. x \in nat \longrightarrow y \in nat \longrightarrow M(a(x,y))];$

$\forall x[M]. \forall y[M]. x \in \text{nat} \longrightarrow y \in \text{nat} \longrightarrow M(b(x,y));$
 $\forall x[M]. \forall y[M]. x \in \text{formula} \longrightarrow y \in \text{formula} \longrightarrow M(c(x,y));$
 $\forall x[M]. x \in \text{formula} \longrightarrow M(d(x)) \implies M(\text{formula-case}(a,b,c,d,p))$
by (*erule formula.cases, simp-all*)

6.11.3 Absoluteness for *formula-rec*: Final Results

definition

is-formula-rec :: [*i* => *o*, [*i, i, i*] => *o*, *i*, *i*] => *o* **where**
— predicate to relativize the functional *formula-rec*
is-formula-rec(*M, MH, p, z*) ==
 $\exists dp[M]. \exists i[M]. \exists f[M]. \text{finite-ordinal}(M, dp) \ \& \ \text{is-depth}(M, p, dp) \ \& \$
 $\text{successor}(M, dp, i) \ \& \ \text{fun-apply}(M, f, p, z) \ \& \ \text{is-transrec}(M, MH, i, f)$

Sufficient conditions to relativize the instance of *formula-case* in *formula-rec*

lemma (in *M-datatype*s) *Relation1-formula-rec-case*:

$[[\text{Relation2}(M, \text{nat}, \text{nat}, \text{is-a}, a);$
 $\text{Relation2}(M, \text{nat}, \text{nat}, \text{is-b}, b);$
 $\text{Relation2}(M, \text{formula}, \text{formula},$
 $\text{is-c}, \lambda u v. c(u, v, h' \text{succ}(\text{depth}(u)) 'u, h' \text{succ}(\text{depth}(v)) 'v));$
 $\text{Relation1}(M, \text{formula},$
 $\text{is-d}, \lambda u. d(u, h ' \text{succ}(\text{depth}(u)) ' u));$
 $M(h)]]$
 $\implies \text{Relation1}(M, \text{formula},$
 $\text{is-formula-case}(M, \text{is-a}, \text{is-b}, \text{is-c}, \text{is-d}),$
 $\text{formula-rec-case}(a, b, c, d, h))$

apply (*simp (no-asm) add: formula-rec-case-def Relation1-def*)

apply (*simp*)

done

This locale packages the premises of the following theorems, which is the normal purpose of locales. It doesn't accumulate constraints on the class *M*, as in most of this development.

locale *Formula-Rec* = *M-eclose* +

fixes *a* and *is-a* and *b* and *is-b* and *c* and *is-c* and *d* and *is-d* and *MH*

defines

$MH(u::i, f, z) ==$
 $\forall fml[M]. \text{is-formula}(M, fml) \longrightarrow$
 is-lambda
 $(M, fml, \text{is-formula-case}(M, \text{is-a}, \text{is-b}, \text{is-c}(f), \text{is-d}(f)), z)$

assumes *a-closed*: $[[x \in \text{nat}; y \in \text{nat}]] \implies M(a(x,y))$

and *a-rel*: $\text{Relation2}(M, \text{nat}, \text{nat}, \text{is-a}, a)$

and *b-closed*: $[[x \in \text{nat}; y \in \text{nat}]] \implies M(b(x,y))$

and *b-rel*: $\text{Relation2}(M, \text{nat}, \text{nat}, \text{is-b}, b)$

and *c-closed*: $[[x \in \text{formula}; y \in \text{formula}; M(gx); M(gy)]]$
 $\implies M(c(x, y, gx, gy))$

and *c-rel*:

$M(f) \implies$

```

    Relation2 (M, formula, formula, is-c(f),
      λu v. c(u, v, f ' succ(depth(u)) ' u, f ' succ(depth(v)) ' v))
  and d-closed: [|x ∈ formula; M(gx)|] ==> M(d(x, gx))
  and d-rel:
    M(f) ==>
      Relation1 (M, formula, is-d(f), λu. d(u, f ' succ(depth(u)) ' u))
  and fr-replace: n ∈ nat ==> transrec-replacement(M, MH, n)
  and fr-lam-replace:
    M(g) ==>
      strong-replacement
      (M, λx y. x ∈ formula &
        y = ⟨x, formula-rec-case(a, b, c, d, g, x)⟩)

```

lemma (in *Formula-Rec*) *formula-rec-case-closed*:
 [|M(g); p ∈ formula|] ==> M(formula-rec-case(a, b, c, d, g, p))
by (simp add: formula-rec-case-def a-closed b-closed c-closed d-closed)

lemma (in *Formula-Rec*) *formula-rec-lam-closed*:
 M(g) ==> M(Lambda (formula, formula-rec-case(a, b, c, d, g)))
by (simp add: lam-closed2 fr-lam-replace formula-rec-case-closed)

lemma (in *Formula-Rec*) *MH-rel2*:
 relation2 (M, MH,
 λx h. Lambda (formula, formula-rec-case(a, b, c, d, h)))
apply (simp add: relation2-def MH-def, clarify)
apply (rule lambda-abs2)
apply (rule Relation1-formula-rec-case)
apply (simp-all add: a-rel b-rel c-rel d-rel formula-rec-case-closed)
done

lemma (in *Formula-Rec*) *fr-transrec-closed*:
 n ∈ nat
 ==> M(transrec
 (n, λx h. Lambda(formula, formula-rec-case(a, b, c, d, h))))
by (simp add: transrec-closed [OF fr-replace MH-rel2]
 nat-into-M formula-rec-lam-closed)

The main two results: *formula-rec* is absolute for *M*.

theorem (in *Formula-Rec*) *formula-rec-closed*:
 p ∈ formula ==> M(formula-rec(a, b, c, d, p))
by (simp add: formula-rec-eq fr-transrec-closed
 transM [OF - formula-closed])

theorem (in *Formula-Rec*) *formula-rec-abs*:
 [| p ∈ formula; M(z)|]
 ==> is-formula-rec(M, MH, p, z) ⟷ z = formula-rec(a, b, c, d, p)
by (simp add: is-formula-rec-def formula-rec-eq transM [OF - formula-closed]
 transrec-abs [OF fr-replace MH-rel2] depth-type
 fr-transrec-closed formula-rec-lam-closed eq-commute)

end

theory *Internalizations*

imports

~~/src/ZF/Constructible/Formula

Relative Datatype-absolute

begin

6.12 Internalized Formulas for some Set-Theoretic Concepts

6.12.1 Some numbers to help write de Bruijn indices

abbreviation

digit3 :: *i* (3) **where** 3 == *succ*(2)

abbreviation

digit4 :: *i* (4) **where** 4 == *succ*(3)

abbreviation

digit5 :: *i* (5) **where** 5 == *succ*(4)

abbreviation

digit6 :: *i* (6) **where** 6 == *succ*(5)

abbreviation

digit7 :: *i* (7) **where** 7 == *succ*(6)

abbreviation

digit8 :: *i* (8) **where** 8 == *succ*(7)

abbreviation

digit9 :: *i* (9) **where** 9 == *succ*(8)

6.12.2 The Empty Set, Internalized

definition

empty-fm :: *i* => *i* **where**
empty-fm(*x*) == *Forall*(*Neg*(*Member*(0, *succ*(*x*))))

lemma *empty-type* [*TC*]:

$x \in \text{nat} \implies \text{empty-fm}(x) \in \text{formula}$

by (*simp add: empty-fm-def*)

lemma *sats-empty-fm* [*simp*]:

$[| x \in \text{nat}; \text{env} \in \text{list}(A)|]$

$\implies \text{sats}(A, \text{empty-fm}(x), \text{env}) \longleftrightarrow \text{empty}(\#\#A, \text{nth}(x, \text{env}))$

by (*simp add: empty-fm-def empty-def*)

lemma *empty-iff-sats*:

$$\begin{aligned} & \llbracket \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \\ & \quad i \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket \\ & \implies \text{empty}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{empty-fm}(i), \text{env}) \end{aligned}$$

by *simp*

Not used. But maybe useful?

lemma *Transset-sats-empty-fm-eq-0*:

$$\begin{aligned} & \llbracket n \in \text{nat}; \text{env} \in \text{list}(A); \text{Transset}(A) \rrbracket \\ & \implies \text{sats}(A, \text{empty-fm}(n), \text{env}) \longleftrightarrow \text{nth}(n, \text{env}) = 0 \end{aligned}$$

apply (*simp add: empty-fm-def empty-def Transset-def, auto*)
apply (*case-tac n < length(env)*)
apply (*frule nth-type, assumption+, blast*)
apply (*simp-all add: not-lt-iff-le nth-eq-0*)
done

6.12.3 Unordered Pairs, Internalized

definition

upair-fm :: $[i, i, i] \Rightarrow i$ **where**

$$\begin{aligned} \text{upair-fm}(x, y, z) = & \\ & \text{And}(\text{Member}(x, z), \\ & \quad \text{And}(\text{Member}(y, z), \\ & \quad \quad \text{Forall}(\text{Implies}(\text{Member}(0, \text{succ}(z)), \\ & \quad \quad \quad \text{Or}(\text{Equal}(0, \text{succ}(x)), \text{Equal}(0, \text{succ}(y)))))) \end{aligned}$$

lemma *upair-type* [TC]:

$$\llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \rrbracket \implies \text{upair-fm}(x, y, z) \in \text{formula}$$

by (*simp add: upair-fm-def*)

lemma *sats-upair-fm* [*simp*]:

$$\begin{aligned} & \llbracket x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket \\ & \implies \text{sats}(A, \text{upair-fm}(x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{upair}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env})) \end{aligned}$$

by (*simp add: upair-fm-def upair-def*)

lemma *upair-iff-sats*:

$$\begin{aligned} & \llbracket \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) \rrbracket \\ & \implies \text{upair}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{upair-fm}(i, j, k), \text{env}) \end{aligned}$$

by (*simp*)

Useful? At least it refers to "real" unordered pairs

lemma *sats-upair-fm2* [*simp*]:

$$\begin{aligned} & \llbracket x \in \text{nat}; y \in \text{nat}; z < \text{length}(\text{env}); \text{env} \in \text{list}(A); \text{Transset}(A) \rrbracket \\ & \implies \text{sats}(A, \text{upair-fm}(x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{nth}(z, \text{env}) = \{\text{nth}(x, \text{env}), \text{nth}(y, \text{env})\} \end{aligned}$$

apply (*frule lt-length-in-nat, assumption*)

apply (*simp add: upair-fm-def Transset-def, auto*)
apply (*blast intro: nth-type*)
done

6.12.4 Ordered pairs, Internalized

definition

$pair\text{-}fm :: [i, i, i] => i$ **where**
 $pair\text{-}fm(x, y, z) ==$
 $Exists(And(upair\text{-}fm(succ(x), succ(x), 0),$
 $Exists(And(upair\text{-}fm(succ(succ(x)), succ(succ(y)), 0),$
 $upair\text{-}fm(1, 0, succ(succ(z))))))$

lemma *pair-type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] ==> pair\text{-}fm(x, y, z) \in formula$
by (*simp add: pair-fm-def*)

lemma *sats-pair-fm* [*simp*]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, pair\text{-}fm(x, y, z), env) \longleftrightarrow$
 $pair(\#\#A, nth(x, env), nth(y, env), nth(z, env))$
by (*simp add: pair-fm-def pair-def*)

lemma *pair-iff-sats*:

$[| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $==> pair(\#\#A, x, y, z) \longleftrightarrow sats(A, pair\text{-}fm(i, j, k), env)$
by *simp*

6.12.5 Binary Unions, Internalized

definition

$union\text{-}fm :: [i, i, i] => i$ **where**
 $union\text{-}fm(x, y, z) ==$
 $Forall(Iff(Member(0, succ(z)),$
 $Or(Member(0, succ(x)), Member(0, succ(y))))$

lemma *union-type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] ==> union\text{-}fm(x, y, z) \in formula$
by (*simp add: union-fm-def*)

lemma *sats-union-fm* [*simp*]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, union\text{-}fm(x, y, z), env) \longleftrightarrow$
 $union(\#\#A, nth(x, env), nth(y, env), nth(z, env))$
by (*simp add: union-fm-def union-def*)

lemma *union-iff-sats*:

$[| nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$

$==>$ $union(\#\#A, x, y, z) \longleftrightarrow sats(A, union-fm(i,j,k), env)$
by (*simp*)

6.12.6 Set “Cons,” Internalized

definition

$cons-fm :: [i,i,i]=>i$ **where**
 $cons-fm(x,y,z) ==$
 $Exists(And(upair-fm(succ(x),succ(x),0),$
 $union-fm(0,succ(y),succ(z))))$

lemma *cons-type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] ==> cons-fm(x,y,z) \in formula$
by (*simp add: cons-fm-def*)

lemma *sats-cons-fm* [*simp*]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, cons-fm(x,y,z), env) \longleftrightarrow$
 $is-cons(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
by (*simp add: cons-fm-def is-cons-def*)

lemma *cons-iff-sats*:

$[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $==> is-cons(\#\#A, x, y, z) \longleftrightarrow sats(A, cons-fm(i,j,k), env)$
by *simp*

6.12.7 Successor Function, Internalized

definition

$succ-fm :: [i,i]=>i$ **where**
 $succ-fm(x,y) == cons-fm(x,x,y)$

lemma *succ-type* [TC]:

$[| x \in nat; y \in nat |] ==> succ-fm(x,y) \in formula$
by (*simp add: succ-fm-def*)

lemma *sats-succ-fm* [*simp*]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $==> sats(A, succ-fm(x,y), env) \longleftrightarrow$
 $successor(\#\#A, nth(x,env), nth(y,env))$
by (*simp add: succ-fm-def successor-def*)

lemma *successor-iff-sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$
 $==> successor(\#\#A, x, y) \longleftrightarrow sats(A, succ-fm(i,j), env)$
by *simp*

6.12.8 The Number 1, Internalized

definition

$number1\text{-}fm :: i=>i$ **where**
 $number1\text{-}fm(a) == \text{Exists}(\text{And}(\text{empty}\text{-}fm(0), \text{succ}\text{-}fm(0,\text{succ}(a))))$

lemma $number1\text{-}type$ [TC]:

$x \in nat ==> number1\text{-}fm(x) \in formula$

by ($simp$ add: $number1\text{-}fm\text{-}def$)

lemma $sats\text{-}number1\text{-}fm$ [$simp$]:

$[[x \in nat; env \in list(A)]]$
 $==> sats(A, number1\text{-}fm(x), env) \longleftrightarrow number1(\#\#A, nth(x,env))$

by ($simp$ add: $number1\text{-}fm\text{-}def$ $number1\text{-}def$)

lemma $number1\text{-}iff\text{-}sats$:

$[[nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; env \in list(A)]]$
 $==> number1(\#\#A, x) \longleftrightarrow sats(A, number1\text{-}fm(i), env)$

by $simp$

6.12.9 Big Union, Internalized

definition

$big\text{-}union\text{-}fm :: [i,i]=>i$ **where**
 $big\text{-}union\text{-}fm(A,z) ==$
 $\text{Forall}(\text{Iff}(\text{Member}(0,\text{succ}(z)),$
 $\text{Exists}(\text{And}(\text{Member}(0,\text{succ}(\text{succ}(A))), \text{Member}(1,0))))))$

lemma $big\text{-}union\text{-}type$ [TC]:

$[[x \in nat; y \in nat]]$ $==> big\text{-}union\text{-}fm(x,y) \in formula$

by ($simp$ add: $big\text{-}union\text{-}fm\text{-}def$)

lemma $sats\text{-}big\text{-}union\text{-}fm$ [$simp$]:

$[[x \in nat; y \in nat; env \in list(A)]]$
 $==> sats(A, big\text{-}union\text{-}fm(x,y), env) \longleftrightarrow$
 $big\text{-}union(\#\#A, nth(x,env), nth(y,env))$

by ($simp$ add: $big\text{-}union\text{-}fm\text{-}def$ $big\text{-}union\text{-}def$)

lemma $big\text{-}union\text{-}iff\text{-}sats$:

$[[nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A)]]$
 $==> big\text{-}union(\#\#A, x, y) \longleftrightarrow sats(A, big\text{-}union\text{-}fm(i,j), env)$

by $simp$

6.12.10 Variants of Satisfaction Definitions for Ordinals, etc.

The *sats* theorems below are standard versions of the ones proved in theory *Formula*. They relate elements of type *formula* to relativized concepts such as *subset* or *ordinal* rather than to real concepts such as *Ord*. Now that

we have instantiated the locale *M-trivial*, we no longer require the earlier versions.

lemma *sats-subset-fm'*:

$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{subset-fm}(x,y), \text{env}) \longleftrightarrow \text{subset}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$
by (*simp add: subset-fm-def Relative.subset-def*)

lemma *sats-transset-fm'*:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{transset-fm}(x), \text{env}) \longleftrightarrow \text{transitive-set}(\#\#A, \text{nth}(x,\text{env}))$
by (*simp add: sats-subset-fm' transset-fm-def transitive-set-def*)

lemma *sats-ordinal-fm'*:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{ordinal-fm}(x), \text{env}) \longleftrightarrow \text{ordinal}(\#\#A, \text{nth}(x,\text{env}))$
by (*simp add: sats-transset-fm' ordinal-fm-def ordinal-def*)

lemma *ordinal-iff-sats*:

$[[\text{nth}(i,\text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{ordinal}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{ordinal-fm}(i), \text{env})$
by (*simp add: sats-ordinal-fm'*)

6.12.11 Membership Relation, Internalized

definition

Memrel-fm :: $[i,i] \implies i$ **where**

Memrel-fm(*A*,*r*) ==

Forall(*Iff*(*Member*(0,*succ*(*r*)),

Exists(*And*(*Member*(0,*succ*(*succ*(*A*))),

Exists(*And*(*Member*(0,*succ*(*succ*(*succ*(*A*))),

And(*Member*(1,0),

pair-fm(1,0,2))))))

lemma *Memrel-type* [TC]:

$[[x \in \text{nat}; y \in \text{nat}]] \implies \text{Memrel-fm}(x,y) \in \text{formula}$
by (*simp add: Memrel-fm-def*)

lemma *sats-Memrel-fm* [*simp*]:

$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{Memrel-fm}(x,y), \text{env}) \longleftrightarrow$
 $\text{membership}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$
by (*simp add: Memrel-fm-def membership-def*)

lemma *Memrel-iff-sats*:

$[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$
 $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{membership}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{Memrel-fm}(i,j), \text{env})$
by *simp*

6.12.12 Predecessor Set, Internalized

definition

$$\begin{aligned} \text{pred-set-fm} &:: [i, i, i, i] \Rightarrow i \text{ where} \\ \text{pred-set-fm}(A, x, r, B) &== \\ &\text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(B)), \\ &\quad \text{Exists}(\text{And}(\text{Member}(0, \text{succ}(\text{succ}(r))), \\ &\quad \quad \text{And}(\text{Member}(1, \text{succ}(\text{succ}(A))), \\ &\quad \quad \text{pair-fm}(1, \text{succ}(\text{succ}(x)), 0)))))) \end{aligned}$$

lemma *pred-set-type* [TC]:

$$\begin{aligned} &[[A \in \text{nat}; x \in \text{nat}; r \in \text{nat}; B \in \text{nat}]] \\ &\Rightarrow \text{pred-set-fm}(A, x, r, B) \in \text{formula} \end{aligned}$$

by (*simp add: pred-set-fm-def*)

lemma *sats-pred-set-fm* [*simp*]:

$$\begin{aligned} &[[U \in \text{nat}; x \in \text{nat}; r \in \text{nat}; B \in \text{nat}; \text{env} \in \text{list}(A)]] \\ &\Rightarrow \text{sats}(A, \text{pred-set-fm}(U, x, r, B), \text{env}) \longleftrightarrow \\ &\quad \text{pred-set}(\#\#A, \text{nth}(U, \text{env}), \text{nth}(x, \text{env}), \text{nth}(r, \text{env}), \text{nth}(B, \text{env})) \end{aligned}$$

by (*simp add: pred-set-fm-def pred-set-def*)

lemma *pred-set-iff-sats*:

$$\begin{aligned} &[[\text{nth}(i, \text{env}) = U; \text{nth}(j, \text{env}) = x; \text{nth}(k, \text{env}) = r; \text{nth}(l, \text{env}) = B; \\ &\quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; l \in \text{nat}; \text{env} \in \text{list}(A)]] \\ &\Rightarrow \text{pred-set}(\#\#A, U, x, r, B) \longleftrightarrow \text{sats}(A, \text{pred-set-fm}(i, j, k, l), \text{env}) \end{aligned}$$

by (*simp*)

6.12.13 Domain of a Relation, Internalized

definition

$$\begin{aligned} \text{domain-fm} &:: [i, i] \Rightarrow i \text{ where} \\ \text{domain-fm}(r, z) &== \\ &\text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(z)), \\ &\quad \text{Exists}(\text{And}(\text{Member}(0, \text{succ}(\text{succ}(r))), \\ &\quad \quad \text{Exists}(\text{pair-fm}(2, 0, 1)))))) \end{aligned}$$

lemma *domain-type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}]] \Rightarrow \text{domain-fm}(x, y) \in \text{formula}$$

by (*simp add: domain-fm-def*)

lemma *sats-domain-fm* [*simp*]:

$$\begin{aligned} &[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]] \\ &\Rightarrow \text{sats}(A, \text{domain-fm}(x, y), \text{env}) \longleftrightarrow \\ &\quad \text{is-domain}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env})) \end{aligned}$$

by (*simp add: domain-fm-def is-domain-def*)

lemma *domain-iff-sats*:

$$\begin{aligned} &[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \\ &\quad i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]] \end{aligned}$$

$\implies is_domain(\#\#A, x, y) \longleftrightarrow sats(A, domain_fm(i,j), env)$
by *simp*

6.12.14 Range of a Relation, Internalized

definition

$range_fm :: [i,i] \Rightarrow i$ **where**
 $range_fm(r,z) ==$
 $Forall(Iff(Member(0,succ(z)),$
 $Exists(And(Member(0,succ(succ(r))),$
 $Exists(pair_fm(0,2,1))))))$

lemma *range-type* [TC]:

$[| x \in nat; y \in nat |] \implies range_fm(x,y) \in formula$
by (*simp add: range_fm-def*)

lemma *sats-range-fm* [*simp*]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $\implies sats(A, range_fm(x,y), env) \longleftrightarrow$
 $is_range(\#\#A, nth(x,env), nth(y,env))$
by (*simp add: range_fm-def is-range-def*)

lemma *range-iff-sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$
 $\implies is_range(\#\#A, x, y) \longleftrightarrow sats(A, range_fm(i,j), env)$
by *simp*

6.12.15 Field of a Relation, Internalized

definition

$field_fm :: [i,i] \Rightarrow i$ **where**
 $field_fm(r,z) ==$
 $Exists(And(domain_fm(succ(r),0),$
 $Exists(And(range_fm(succ(succ(r)),0),$
 $union_fm(1,0,succ(succ(z))))))$

lemma *field-type* [TC]:

$[| x \in nat; y \in nat |] \implies field_fm(x,y) \in formula$
by (*simp add: field_fm-def*)

lemma *sats-field-fm* [*simp*]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $\implies sats(A, field_fm(x,y), env) \longleftrightarrow$
 $is_field(\#\#A, nth(x,env), nth(y,env))$
by (*simp add: field_fm-def is-field-def*)

lemma *field-iff-sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$

$\implies \text{is-field}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{field-fm}(i,j), \text{env})$
by *simp*

6.12.16 Image under a Relation, Internalized

definition

$\text{image-fm} :: [i,i,i] \Rightarrow i$ **where**
 $\text{image-fm}(r,A,z) ==$
 $\text{Forall}(\text{Iff}(\text{Member}(0,\text{succ}(z)),$
 $\text{Exists}(\text{And}(\text{Member}(0,\text{succ}(\text{succ}(r))),$
 $\text{Exists}(\text{And}(\text{Member}(0,\text{succ}(\text{succ}(\text{succ}(A)))),$
 $\text{pair-fm}(0,2,1))))))$

lemma *image-type* [TC]:

$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{image-fm}(x,y,z) \in \text{formula}$
by (*simp add: image-fm-def*)

lemma *sats-image-fm* [*simp*]:

$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{sats}(A, \text{image-fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{image}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
by (*simp add: image-fm-def Relative.image-def*)

lemma *image-iff-sats*:

$[| \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{image}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{image-fm}(i,j,k), \text{env})$
by (*simp*)

6.12.17 Pre-Image under a Relation, Internalized

definition

$\text{pre-image-fm} :: [i,i,i] \Rightarrow i$ **where**
 $\text{pre-image-fm}(r,A,z) ==$
 $\text{Forall}(\text{Iff}(\text{Member}(0,\text{succ}(z)),$
 $\text{Exists}(\text{And}(\text{Member}(0,\text{succ}(\text{succ}(r))),$
 $\text{Exists}(\text{And}(\text{Member}(0,\text{succ}(\text{succ}(\text{succ}(A)))),$
 $\text{pair-fm}(2,0,1))))))$

lemma *pre-image-type* [TC]:

$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{pre-image-fm}(x,y,z) \in \text{formula}$
by (*simp add: pre-image-fm-def*)

lemma *sats-pre-image-fm* [*simp*]:

$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{sats}(A, \text{pre-image-fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{pre-image}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
by (*simp add: pre-image-fm-def Relative.pre-image-def*)

lemma *pre-image-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{pre-image}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{pre-image-fm}(i, j, k), \text{env}) \end{aligned}$$
by (*simp*)

6.12.18 Function Application, Internalized

definition

$$\begin{aligned} \text{fun-apply-fm} &:: [i, i, i] \Rightarrow i \text{ where} \\ \text{fun-apply-fm}(f, x, y) &== \\ & \text{Exists}(\text{Exists}(\text{And}(\text{upair-fm}(\text{succ}(\text{succ}(x)), \text{succ}(\text{succ}(x))), 1), \\ & \quad \text{And}(\text{image-fm}(\text{succ}(\text{succ}(f)), 1, 0), \\ & \quad \text{big-union-fm}(0, \text{succ}(\text{succ}(y)))))) \end{aligned}$$

lemma *fun-apply-type* [TC]:

$$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{fun-apply-fm}(x, y, z) \in \text{formula}$$
by (*simp add: fun-apply-fm-def*)

lemma *sats-fun-apply-fm* [*simp*]:

$$\begin{aligned} & [| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{sats}(A, \text{fun-apply-fm}(x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{fun-apply}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env})) \end{aligned}$$
by (*simp add: fun-apply-fm-def fun-apply-def*)

lemma *fun-apply-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{fun-apply}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{fun-apply-fm}(i, j, k), \text{env}) \end{aligned}$$
by *simp*

6.12.19 The Concept of Relation, Internalized

definition

$$\begin{aligned} \text{relation-fm} &:: i \Rightarrow i \text{ where} \\ \text{relation-fm}(r) &== \\ & \text{Forall}(\text{Implies}(\text{Member}(0, \text{succ}(r)), \text{Exists}(\text{Exists}(\text{pair-fm}(1, 0, 2)))))) \end{aligned}$$

lemma *relation-type* [TC]:

$$[| x \in \text{nat} |] \implies \text{relation-fm}(x) \in \text{formula}$$
by (*simp add: relation-fm-def*)

lemma *sats-relation-fm* [*simp*]:

$$\begin{aligned} & [| x \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{sats}(A, \text{relation-fm}(x), \text{env}) \longleftrightarrow \text{is-relation}(\#\#A, \text{nth}(x, \text{env})) \end{aligned}$$
by (*simp add: relation-fm-def is-relation-def*)

lemma *relation-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \\ & \quad i \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{is-relation}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{relation-fm}(i), \text{env}) \end{aligned}$$

by *simp*

6.12.20 The Concept of Function, Internalized

definition

function-fm :: $i \Rightarrow i$ **where**
function-fm(*r*) ==
Forall(Forall(Forall(Forall(Forall(
Implies(pair-fm(4,3,1),
Implies(pair-fm(4,2,0),
Implies(Member(1,r#+5),
Implies(Member(0,r#+5), Equal(3,2))))))))))

lemma *function-type* [TC]:

$[[x \in \text{nat}]] \Rightarrow \text{function-fm}(x) \in \text{formula}$

by (*simp add: function-fm-def*)

lemma *sats-function-fm* [*simp*]:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{function-fm}(x), \text{env}) \longleftrightarrow \text{is-function}(\#\#A, \text{nth}(x, \text{env}))$

by (*simp add: function-fm-def is-function-def*)

lemma *is-function-iff-sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$
 $i \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{is-function}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{function-fm}(i), \text{env})$

by *simp*

6.12.21 Typed Functions, Internalized

definition

typed-function-fm :: $[i, i, i] \Rightarrow i$ **where**
typed-function-fm(*A, B, r*) ==
And(function-fm(*r*),
And(relation-fm(*r*),
And(domain-fm(*r, A*),
Forall(Implies(Member(0, succ(*r*)),
Forall(Forall(Implies(pair-fm(1, 0, 2), Member(0, B#+3))))))))))

lemma *typed-function-type* [TC]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \Rightarrow \text{typed-function-fm}(x, y, z) \in \text{formula}$

by (*simp add: typed-function-fm-def*)

lemma *sats-typed-function-fm* [*simp*]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{typed-function-fm}(x, y, z), \text{env}) \longleftrightarrow$
 $\text{typed-function}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$

by (*simp add: typed-function-fm-def typed-function-def*)

lemma *typed-function-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{typed-function}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{typed-function-fm}(i, j, k), \text{env}) \end{aligned}$$
by *simp*

lemmas *function-iff-sats* =
empty-iff-sats number1-iff-sats
upair-iff-sats pair-iff-sats union-iff-sats
big-union-iff-sats cons-iff-sats successor-iff-sats
fun-apply-iff-sats Memrel-iff-sats
pred-set-iff-sats domain-iff-sats range-iff-sats field-iff-sats
image-iff-sats pre-image-iff-sats
relation-iff-sats is-function-iff-sats

6.12.22 Composition of Relations, Internalized

definition

$$\begin{aligned} & \text{composition-fm} :: [i, i, i] \implies i \text{ where} \\ & \text{composition-fm}(r, s, t) == \\ & \quad \text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(t)), \\ & \quad \quad \text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{Exists} \\ & \quad \quad \quad \text{And}(\text{pair-fm}(4, 2, 5), \\ & \quad \quad \quad \text{And}(\text{pair-fm}(4, 3, 1), \\ & \quad \quad \quad \text{And}(\text{pair-fm}(3, 2, 0), \\ & \quad \quad \quad \text{And}(\text{Member}(1, s\#+6), \text{Member}(0, r\#+6))))))))))))) \end{aligned}$$

lemma *composition-type* [TC]:

$$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{composition-fm}(x, y, z) \in \text{formula}$$
by (*simp add: composition-fm-def*)

lemma *sats-composition-fm* [*simp*]:

$$\begin{aligned} & [| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{sats}(A, \text{composition-fm}(x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{composition}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env})) \end{aligned}$$
by (*simp add: composition-fm-def composition-def*)

lemma *composition-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{composition}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{composition-fm}(i, j, k), \text{env}) \end{aligned}$$
by *simp*

6.12.23 Injections, Internalized

definition

$$\begin{aligned} & \text{injection-fm} :: [i, i, i] \implies i \text{ where} \\ & \text{injection-fm}(A, B, f) == \\ & \quad \text{And}(\text{typed-function-fm}(A, B, f), \\ & \quad \quad \text{Forall}(\text{Forall}(\text{Forall}(\text{Forall}(\text{Forall} \\ & \quad \quad \quad \text{Implies}(\text{pair-fm}(4, 2, 1), \end{aligned}$$

$$\text{Implies}(\text{pair-fm}(3,2,0),$$

$$\text{Implies}(\text{Member}(1,f\#+5),$$

$$\text{Implies}(\text{Member}(0,f\#+5), \text{Equal}(4,3))))))))))$$

lemma *injection-type* [TC]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{injection-fm}(x,y,z) \in \text{formula}$
by (*simp add: injection-fm-def*)

lemma *sats-injection-fm* [*simp*]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{injection-fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{injection}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
by (*simp add: injection-fm-def injection-def*)

lemma *injection-iff-sats*:

$[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{injection}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{injection-fm}(i,j,k), \text{env})$
by *simp*

6.12.24 Surjections, Internalized

definition

surjection-fm :: $[i,i,i] \implies i$ **where**
surjection-fm(A,B,f) ==
 $\text{And}(\text{typed-function-fm}(A,B,f),$
 $\text{Forall}(\text{Implies}(\text{Member}(0,\text{succ}(B)),$
 $\text{Exists}(\text{And}(\text{Member}(0,\text{succ}(\text{succ}(A))),$
 $\text{fun-apply-fm}(\text{succ}(\text{succ}(f)),0,1))))))$

lemma *surjection-type* [TC]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{surjection-fm}(x,y,z) \in \text{formula}$
by (*simp add: surjection-fm-def*)

lemma *sats-surjection-fm* [*simp*]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{surjection-fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{surjection}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
by (*simp add: surjection-fm-def surjection-def*)

lemma *surjection-iff-sats*:

$[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{surjection}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{surjection-fm}(i,j,k), \text{env})$
by *simp*

6.12.25 Bijections, Internalized

definition

bijection-fm :: $[i, i, i] \Rightarrow i$ **where**
bijection-fm(*A, B, f*) == *And*(*injection-fm*(*A, B, f*), *surjection-fm*(*A, B, f*))

lemma *bijection-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \Rightarrow \text{bijection-fm}(x, y, z) \in \text{formula}$
by (*simp add: bijection-fm-def*)

lemma *sats-bijection-fm* [*simp*]:
 $[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{bijection-fm}(x, y, z), \text{env}) \longleftrightarrow$
 $\text{bijection}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
by (*simp add: bijection-fm-def bijection-def*)

lemma *bijection-iff-sats*:
 $[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{bijection}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{bijection-fm}(i, j, k), \text{env})$
by *simp*

6.12.26 Restriction of a Relation, Internalized

definition
restriction-fm :: $[i, i, i] \Rightarrow i$ **where**
restriction-fm(*r, A, z*) ==
 $\text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(z)),$
 $\text{And}(\text{Member}(0, \text{succ}(r)),$
 $\text{Exists}(\text{And}(\text{Member}(0, \text{succ}(\text{succ}(A))),$
 $\text{Exists}(\text{pair-fm}(1, 0, 2))))))$

lemma *restriction-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \Rightarrow \text{restriction-fm}(x, y, z) \in \text{formula}$
by (*simp add: restriction-fm-def*)

lemma *sats-restriction-fm* [*simp*]:
 $[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{restriction-fm}(x, y, z), \text{env}) \longleftrightarrow$
 $\text{restriction}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
by (*simp add: restriction-fm-def restriction-def*)

lemma *restriction-iff-sats*:
 $[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{restriction}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{restriction-fm}(i, j, k), \text{env})$
by *simp*

6.12.27 Order-Isomorphisms, Internalized

definition
order-isomorphism-fm :: $[i, i, i, i] \Rightarrow i$ **where**
order-isomorphism-fm(*A, r, B, s, f*) ==

$And(bijection-fm(A,B,f),$
 $Forall(Implies(Member(0,succ(A)),$
 $Forall(Implies(Member(0,succ(succ(A))),$
 $Forall(Forall(Forall(Forall($
 $Implies(pair-fm(5,4,3),$
 $Implies(fun-apply-fm(f#+6,5,2),$
 $Implies(fun-apply-fm(f#+6,4,1),$
 $Implies(pair-fm(2,1,0),$
 $Iff(Member(3,r#+6), Member(0,s#+6))))))))))$

lemma *order-isomorphism-type* [TC]:

$[| A \in nat; r \in nat; B \in nat; s \in nat; f \in nat |]$
 $==> order-isomorphism-fm(A,r,B,s,f) \in formula$

by (*simp add: order-isomorphism-fm-def*)

lemma *sats-order-isomorphism-fm* [*simp*]:

$[| U \in nat; r \in nat; B \in nat; s \in nat; f \in nat; env \in list(A) |]$
 $==> sats(A, order-isomorphism-fm(U,r,B,s,f), env) \longleftrightarrow$
 $order-isomorphism(\#\#A, nth(U,env), nth(r,env), nth(B,env),$
 $nth(s,env), nth(f,env))$

by (*simp add: order-isomorphism-fm-def order-isomorphism-def*)

lemma *order-isomorphism-iff-sats*:

$[| nth(i,env) = U; nth(j,env) = r; nth(k,env) = B; nth(j',env) = s;$
 $nth(k',env) = f;$
 $i \in nat; j \in nat; k \in nat; j' \in nat; k' \in nat; env \in list(A) |]$
 $==> order-isomorphism(\#\#A,U,r,B,s,f) \longleftrightarrow$
 $sats(A, order-isomorphism-fm(i,j,k,j',k'), env)$

by *simp*

6.12.28 Limit Ordinals, Internalized

A limit ordinal is a non-empty, successor-closed ordinal

definition

$limit-ordinal-fm :: i=>i$ **where**
 $limit-ordinal-fm(x) ==$
 $And(ordinal-fm(x),$
 $And(Neg(empty-fm(x)),$
 $Forall(Implies(Member(0,succ(x)),$
 $Exists(And(Member(0,succ(succ(x))),$
 $succ-fm(1,0))))))$

lemma *limit-ordinal-type* [TC]:

$x \in nat ==> limit-ordinal-fm(x) \in formula$

by (*simp add: limit-ordinal-fm-def*)

lemma *sats-limit-ordinal-fm* [*simp*]:

$[| x \in nat; env \in list(A) |]$
 $==> sats(A, limit-ordinal-fm(x), env) \longleftrightarrow limit-ordinal(\#\#A, nth(x,env))$

by (simp add: limit-ordinal-fm-def limit-ordinal-def sats-ordinal-fm')

lemma *limit-ordinal-iff-sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$
 $i \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{limit-ordinal}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{limit-ordinal-fm}(i), \text{env})$

by *simp*

6.12.29 Finite Ordinals: The Predicate “Is A Natural Number”

definition

finite-ordinal-fm :: $i \implies i$ **where**
 $\text{finite-ordinal-fm}(x) ==$
 $\text{And}(\text{ordinal-fm}(x),$
 $\text{And}(\text{Neg}(\text{limit-ordinal-fm}(x)),$
 $\text{Forall}(\text{Implies}(\text{Member}(0, \text{succ}(x)),$
 $\text{Neg}(\text{limit-ordinal-fm}(0))))))$

lemma *finite-ordinal-type* [TC]:

$x \in \text{nat} \implies \text{finite-ordinal-fm}(x) \in \text{formula}$

by (simp add: finite-ordinal-fm-def)

lemma *sats-finite-ordinal-fm* [simp]:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{finite-ordinal-fm}(x), \text{env}) \longleftrightarrow \text{finite-ordinal}(\#\#A, \text{nth}(x, \text{env}))$

by (simp add: finite-ordinal-fm-def sats-ordinal-fm' finite-ordinal-def)

lemma *finite-ordinal-iff-sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$
 $i \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{finite-ordinal}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{finite-ordinal-fm}(i), \text{env})$

by *simp*

6.12.30 Omega: The Set of Natural Numbers

definition

omega-fm :: $i \implies i$ **where**
 $\text{omega-fm}(x) ==$
 $\text{And}(\text{limit-ordinal-fm}(x),$
 $\text{Forall}(\text{Implies}(\text{Member}(0, \text{succ}(x)),$
 $\text{Neg}(\text{limit-ordinal-fm}(0))))))$

lemma *omega-type* [TC]:

$x \in \text{nat} \implies \text{omega-fm}(x) \in \text{formula}$

by (simp add: omega-fm-def)

lemma *sats-omega-fm* [simp]:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{omega-fm}(x), \text{env}) \longleftrightarrow \text{omega}(\#\#A, \text{nth}(x, \text{env}))$

by (simp add: omega-fm-def omega-def)

lemma *omega-iff-sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$
 $i \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{omega}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{omega-fm}(i), \text{env})$

by *simp*

lemmas *fun-plus-iff-sats* =

typed-function-iff-sats composition-iff-sats
injection-iff-sats surjection-iff-sats
bijection-iff-sats restriction-iff-sats
order-isomorphism-iff-sats finite-ordinal-iff-sats
ordinal-iff-sats limit-ordinal-iff-sats omega-iff-sats

6.13 Internalized Forms of Data Structuring Operators

6.13.1 The Formula *is-Inl*, Internalized

definition

Inl-fm :: $[i, i] \implies i$ **where**
 $\text{Inl-fm}(a, z) == \text{Exists}(\text{And}(\text{empty-fm}(0), \text{pair-fm}(0, \text{succ}(a), \text{succ}(z))))$

lemma *Inl-type* [TC]:

$[[x \in \text{nat}; z \in \text{nat}]]$ $\implies \text{Inl-fm}(x, z) \in \text{formula}$

by (*simp add: Inl-fm-def*)

lemma *sats-Inl-fm* [*simp*]:

$[[x \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{Inl-fm}(x, z), \text{env}) \longleftrightarrow \text{is-Inl}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(z, \text{env}))$

by (*simp add: Inl-fm-def is-Inl-def*)

lemma *Inl-iff-sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(k, \text{env}) = z;$
 $i \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{is-Inl}(\#\#A, x, z) \longleftrightarrow \text{sats}(A, \text{Inl-fm}(i, k), \text{env})$

by *simp*

6.13.2 The Formula *is-Inr*, Internalized

definition

Inr-fm :: $[i, i] \implies i$ **where**
 $\text{Inr-fm}(a, z) == \text{Exists}(\text{And}(\text{number1-fm}(0), \text{pair-fm}(0, \text{succ}(a), \text{succ}(z))))$

lemma *Inr-type* [TC]:

$[[x \in \text{nat}; z \in \text{nat}]]$ $\implies \text{Inr-fm}(x, z) \in \text{formula}$

by (*simp add: Inr-fm-def*)

lemma *sats-Inr-fm* [*simp*]:

$[[x \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$

$\implies \text{sats}(A, \text{Inr-fm}(x,z), \text{env}) \longleftrightarrow \text{is-Inr}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(z,\text{env}))$
by (*simp add: Inr-fm-def is-Inr-def*)

lemma *Inr-iff-sats*:

$[[\text{nth}(i,\text{env}) = x; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{is-Inr}(\#\#A, x, z) \longleftrightarrow \text{sats}(A, \text{Inr-fm}(i,k), \text{env})$

by *simp*

6.13.3 The Formula *is-Nil*, Internalized

definition

Nil-fm :: $i \implies i$ **where**
 $\text{Nil-fm}(x) == \text{Exists}(\text{And}(\text{empty-fm}(0), \text{Inl-fm}(0, \text{succ}(x))))$

lemma *Nil-type* [TC]: $x \in \text{nat} \implies \text{Nil-fm}(x) \in \text{formula}$
by (*simp add: Nil-fm-def*)

lemma *sats-Nil-fm* [*simp*]:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{Nil-fm}(x), \text{env}) \longleftrightarrow \text{is-Nil}(\#\#A, \text{nth}(x,\text{env}))$

by (*simp add: Nil-fm-def is-Nil-def*)

lemma *Nil-iff-sats*:

$[[\text{nth}(i,\text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{is-Nil}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{Nil-fm}(i), \text{env})$

by *simp*

6.13.4 The Formula *is-Cons*, Internalized

definition

Cons-fm :: $[i, i, i] \implies i$ **where**
 $\text{Cons-fm}(a, l, Z) ==$
 $\text{Exists}(\text{And}(\text{pair-fm}(\text{succ}(a), \text{succ}(l), 0), \text{Inr-fm}(0, \text{succ}(Z))))$

lemma *Cons-type* [TC]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]]$ $\implies \text{Cons-fm}(x, y, z) \in \text{formula}$

by (*simp add: Cons-fm-def*)

lemma *sats-Cons-fm* [*simp*]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{Cons-fm}(x, y, z), \text{env}) \longleftrightarrow$
 $\text{is-Cons}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$

by (*simp add: Cons-fm-def is-Cons-def*)

lemma *Cons-iff-sats*:

$[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{is-Cons}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{Cons-fm}(i, j, k), \text{env})$

by *simp*

6.13.5 The Formula *is-quasulist*, Internalized

definition

quasulist-fm :: $i \Rightarrow i$ **where**
 $quasulist-fm(x) ==$
 $Or(Nil-fm(x), Exists(Exists(Cons-fm(1,0,succ(succ(x))))))$

lemma *quasulist-type* [TC]: $x \in nat \Rightarrow quasulist-fm(x) \in formula$
by (*simp add: quasulist-fm-def*)

lemma *sats-quasulist-fm* [*simp*]:

$[| x \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, quasulist-fm(x), env) \longleftrightarrow is-quasulist(\#\#A, nth(x,env))$

by (*simp add: quasulist-fm-def is-quasulist-def*)

lemma *quasulist-iff-sats*:

$[| nth(i,env) = x; i \in nat; env \in list(A) |]$
 $\Rightarrow is-quasulist(\#\#A, x) \longleftrightarrow sats(A, quasulist-fm(i), env)$

by *simp*

6.14 Absoluteness for the Function *nth*

6.14.1 The Formula *is-hd*, Internalized

definition

hd-fm :: $[i,i] \Rightarrow i$ **where**
 $hd-fm(xs,H) ==$
 $And(Implies(Nil-fm(xs), empty-fm(H)),$
 $And(Forall(Forall(Or(Neg(Cons-fm(1,0,xs\#+2)), Equal(H\#+2,1)))),$
 $Or(quasulist-fm(xs), empty-fm(H))))$

lemma *hd-type* [TC]:

$[| x \in nat; y \in nat |] \Rightarrow hd-fm(x,y) \in formula$

by (*simp add: hd-fm-def*)

lemma *sats-hd-fm* [*simp*]:

$[| x \in nat; y \in nat; env \in list(A) |]$
 $\Rightarrow sats(A, hd-fm(x,y), env) \longleftrightarrow is-hd(\#\#A, nth(x,env), nth(y,env))$

by (*simp add: hd-fm-def is-hd-def*)

lemma *hd-iff-sats*:

$[| nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A) |]$
 $\Rightarrow is-hd(\#\#A, x, y) \longleftrightarrow sats(A, hd-fm(i,j), env)$

by *simp*

6.14.2 The Formula *is-tl*, Internalized

definition

tl-fm :: $[i,i] \Rightarrow i$ **where**

$$\begin{aligned}
tl\text{-fm}(xs, T) = & \\
& And(Implies(Nil\text{-fm}(xs), Equal(T, xs)), \\
& And(Forall(Forall(Or(Neg(Cons\text{-fm}(1, 0, xs\#\#2)), Equal(T\#\#2, 0))), \\
& Or(quasilist\text{-fm}(xs), empty\text{-fm}(T))))))
\end{aligned}$$

lemma *tl-type* [TC]:

$[[x \in nat; y \in nat]] ==> tl\text{-fm}(x, y) \in formula$
by (*simp add: tl-fm-def*)

lemma *sats-tl-fm* [*simp*]:

$[[x \in nat; y \in nat; env \in list(A)]]$
 $==> sats(A, tl\text{-fm}(x, y), env) \longleftrightarrow is\text{-tl}(\#\#A, nth(x, env), nth(y, env))$
by (*simp add: tl-fm-def is-tl-def*)

lemma *tl-iff-sats*:

$[[nth(i, env) = x; nth(j, env) = y;$
 $i \in nat; j \in nat; env \in list(A)]]$
 $==> is\text{-tl}(\#\#A, x, y) \longleftrightarrow sats(A, tl\text{-fm}(i, j), env)$
by *simp*

6.14.3 The Operator *is-bool-of-o*

The formula p has no free variables.

definition

bool-of-o-fm :: $[i, i] ==> i$ **where**
bool-of-o-fm(p, z) ==
 $Or(And(p, number1\text{-fm}(z)),$
 $And(Neg(p), empty\text{-fm}(z)))$

lemma *is-bool-of-o-type* [TC]:

$[[p \in formula; z \in nat]] ==> bool\text{-of-o-fm}(p, z) \in formula$
by (*simp add: bool-of-o-fm-def*)

lemma *sats-bool-of-o-fm*:

assumes *p-iff-sats*: $P \longleftrightarrow sats(A, p, env)$
shows

$[[z \in nat; env \in list(A)]]$
 $==> sats(A, bool\text{-of-o-fm}(p, z), env) \longleftrightarrow$
 $is\text{-bool-of-o}(\#\#A, P, nth(z, env))$

by (*simp add: bool-of-o-fm-def is-bool-of-o-def p-iff-sats [THEN iff-sym]*)

lemma *is-bool-of-o-iff-sats*:

$[[P \longleftrightarrow sats(A, p, env); nth(k, env) = z; k \in nat; env \in list(A)]]$
 $==> is\text{-bool-of-o}(\#\#A, P, z) \longleftrightarrow sats(A, bool\text{-of-o-fm}(p, k), env)$
by (*simp add: sats-bool-of-o-fm*)

6.15 More Internalizations

6.15.1 The Operator *is-lambda*

The two arguments of p are always 1, 0. Remember that p will be enclosed by three quantifiers.

definition

$$\begin{aligned} \text{lambda-fm} &:: [i, i, i] \Rightarrow i \text{ where} \\ \text{lambda-fm}(p, A, z) &== \\ &\text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(z)), \\ &\quad \text{Exists}(\text{Exists}(\text{And}(\text{Member}(1, A\#\# + 3), \\ &\quad \text{And}(\text{pair-fm}(1, 0, 2), p)))))) \end{aligned}$$

We call p with arguments x, y by equating them with the corresponding quantified variables with de Bruijn indices 1, 0.

lemma *is-lambda-type* [TC]:

$$\begin{aligned} &[[p \in \text{formula}; x \in \text{nat}; y \in \text{nat}]] \\ &\Rightarrow \text{lambda-fm}(p, x, y) \in \text{formula} \end{aligned}$$

by (*simp add: lambda-fm-def*)

lemma *sats-lambda-fm*:

assumes *is-b-iff-sats*:

$$!!a0\ a1\ a2.$$

$$[[a0 \in A; a1 \in A; a2 \in A]]$$

$$\Rightarrow \text{is-b}(a1, a0) \longleftrightarrow \text{sats}(A, p, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{env}))))$$

shows

$$[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\Rightarrow \text{sats}(A, \text{lambda-fm}(p, x, y), \text{env}) \longleftrightarrow$$

$$\text{is-lambda}(\#\#A, \text{nth}(x, \text{env}), \text{is-b}, \text{nth}(y, \text{env}))$$

by (*simp add: lambda-fm-def is-lambda-def is-b-iff-sats [THEN iff-sym]*)

6.15.2 The Operator *is-Member*, Internalized

definition

$$\begin{aligned} \text{Member-fm} &:: [i, i, i] \Rightarrow i \text{ where} \\ \text{Member-fm}(x, y, Z) &== \\ &\text{Exists}(\text{Exists}(\text{And}(\text{pair-fm}(x\#\# + 2, y\#\# + 2, 1), \\ &\quad \text{And}(\text{Inl-fm}(1, 0), \text{Inl-fm}(0, Z\#\# + 2)))))) \end{aligned}$$

lemma *is-Member-type* [TC]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \Rightarrow \text{Member-fm}(x, y, z) \in \text{formula}$$

by (*simp add: Member-fm-def*)

lemma *sats-Member-fm* [*simp*]:

$$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$$

$$\Rightarrow \text{sats}(A, \text{Member-fm}(x, y, z), \text{env}) \longleftrightarrow$$

$$\text{is-Member}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$$

by (*simp add: Member-fm-def is-Member-def*)

lemma *Member-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{is-Member}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{Member-fm}(i, j, k), \text{env}) \end{aligned}$$

by (*simp add: sats-Member-fm*)

6.15.3 The Operator *is-Equal*, Internalized

definition

$$\begin{aligned} \text{Equal-fm} &:: [i, i, i] \Rightarrow i \text{ where} \\ \text{Equal-fm}(x, y, Z) &== \\ & \text{Exists}(\text{Exists}(\text{And}(\text{pair-fm}(x\#\#2, y\#\#2, 1), \\ & \quad \text{And}(\text{Inr-fm}(1, 0), \text{Inl-fm}(0, Z\#\#2)))))) \end{aligned}$$

lemma *is-Equal-type* [TC]:

$$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{Equal-fm}(x, y, z) \in \text{formula}$$

by (*simp add: Equal-fm-def*)

lemma *sats-Equal-fm* [*simp*]:

$$\begin{aligned} & [| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{sats}(A, \text{Equal-fm}(x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{is-Equal}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env})) \end{aligned}$$

by (*simp add: Equal-fm-def is-Equal-def*)

lemma *Equal-iff-sats*:

$$\begin{aligned} & [| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \\ & \quad i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{is-Equal}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{Equal-fm}(i, j, k), \text{env}) \end{aligned}$$

by (*simp add: sats-Equal-fm*)

6.15.4 The Operator *is-Nand*, Internalized

definition

$$\begin{aligned} \text{Nand-fm} &:: [i, i, i] \Rightarrow i \text{ where} \\ \text{Nand-fm}(x, y, Z) &== \\ & \text{Exists}(\text{Exists}(\text{And}(\text{pair-fm}(x\#\#2, y\#\#2, 1), \\ & \quad \text{And}(\text{Inl-fm}(1, 0), \text{Inr-fm}(0, Z\#\#2)))))) \end{aligned}$$

lemma *is-Nand-type* [TC]:

$$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{Nand-fm}(x, y, z) \in \text{formula}$$

by (*simp add: Nand-fm-def*)

lemma *sats-Nand-fm* [*simp*]:

$$\begin{aligned} & [| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |] \\ & \implies \text{sats}(A, \text{Nand-fm}(x, y, z), \text{env}) \longleftrightarrow \\ & \quad \text{is-Nand}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env})) \end{aligned}$$

by (*simp add: Nand-fm-def is-Nand-def*)

lemma *Nand-iff-sats*:

$$[| \text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z; \end{aligned}$$

$i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)$
 $\implies \text{is-Nand}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{Nand-fm}(i,j,k), \text{env})$
by (*simp add: sats-Nand-fm*)

6.15.5 The Operator *is-Forall*, Internalized

definition

$\text{Forall-fm} :: [i,i] \Rightarrow i$ **where**
 $\text{Forall-fm}(x,Z) ==$
 $\text{Exists}(\text{And}(\text{Inr-fm}(\text{succ}(x),0), \text{Inr-fm}(0,\text{succ}(Z))))$

lemma *is-Forall-type* [TC]:

$[| x \in \text{nat}; y \in \text{nat} |] \implies \text{Forall-fm}(x,y) \in \text{formula}$
by (*simp add: Forall-fm-def*)

lemma *sats-Forall-fm* [*simp*]:

$[| x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{sats}(A, \text{Forall-fm}(x,y), \text{env}) \longleftrightarrow$
 $\text{is-Forall}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$
by (*simp add: Forall-fm-def is-Forall-def*)

lemma *Forall-iff-sats*:

$[| \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$
 $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{is-Forall}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{Forall-fm}(i,j), \text{env})$
by (*simp add: sats-Forall-fm*)

6.15.6 The Operator *is-and*, Internalized

definition

$\text{and-fm} :: [i,i,i] \Rightarrow i$ **where**
 $\text{and-fm}(a,b,z) ==$
 $\text{Or}(\text{And}(\text{number1-fm}(a), \text{Equal}(z,b)),$
 $\text{And}(\text{Neg}(\text{number1-fm}(a)), \text{empty-fm}(z)))$

lemma *is-and-type* [TC]:

$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat} |] \implies \text{and-fm}(x,y,z) \in \text{formula}$
by (*simp add: and-fm-def*)

lemma *sats-and-fm* [*simp*]:

$[| x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{sats}(A, \text{and-fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{is-and}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
by (*simp add: and-fm-def is-and-def*)

lemma *is-and-iff-sats*:

$[| \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A) |]$
 $\implies \text{is-and}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{and-fm}(i,j,k), \text{env})$
by *simp*

6.15.7 The Operator *is-or*, Internalized

definition

or-fm :: [*i,i,i*] => *i* **where**
or-fm(*a,b,z*) ==
 $Or(And(number1-fm(a), number1-fm(z)),$
 $And(Neg(number1-fm(a)), Equal(z,b)))$

lemma *is-or-type* [TC]:

$[| x \in nat; y \in nat; z \in nat |] ==> or-fm(x,y,z) \in formula$
by (*simp add: or-fm-def*)

lemma *sats-or-fm* [*simp*]:

$[| x \in nat; y \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, or-fm(x,y,z), env) \longleftrightarrow$
 $is-or(##A, nth(x,env), nth(y,env), nth(z,env))$
by (*simp add: or-fm-def is-or-def*)

lemma *is-or-iff-sats*:

$[| nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j \in nat; k \in nat; env \in list(A) |]$
 $==> is-or(##A, x, y, z) \longleftrightarrow sats(A, or-fm(i,j,k), env)$
by *simp*

6.15.8 The Operator *is-not*, Internalized

definition

not-fm :: [*i,i*] => *i* **where**
not-fm(*a,z*) ==
 $Or(And(number1-fm(a), empty-fm(z)),$
 $And(Neg(number1-fm(a)), number1-fm(z)))$

lemma *is-not-type* [TC]:

$[| x \in nat; z \in nat |] ==> not-fm(x,z) \in formula$
by (*simp add: not-fm-def*)

lemma *sats-is-not-fm* [*simp*]:

$[| x \in nat; z \in nat; env \in list(A) |]$
 $==> sats(A, not-fm(x,z), env) \longleftrightarrow is-not(##A, nth(x,env), nth(z,env))$
by (*simp add: not-fm-def is-not-def*)

lemma *is-not-iff-sats*:

$[| nth(i,env) = x; nth(k,env) = z;$
 $i \in nat; k \in nat; env \in list(A) |]$
 $==> is-not(##A, x, z) \longleftrightarrow sats(A, not-fm(i,k), env)$
by *simp*

6.16 Well-Founded Recursion!

6.16.1 The Operator M -is-recfun

Alternative definition, minimizing nesting of quantifiers around MH

lemma M -is-recfun-iff:

$$\begin{aligned}
 & M\text{-is-recfun}(M, MH, r, a, f) \longleftrightarrow \\
 & (\forall z[M]. z \in f \longleftrightarrow \\
 & (\exists x[M]. \exists f\text{-}r\text{-}sx[M]. \exists y[M]. \\
 & \quad MH(x, f\text{-}r\text{-}sx, y) \ \& \ pair(M, x, y, z) \ \& \\
 & \quad (\exists xa[M]. \exists sx[M]. \exists r\text{-}sx[M]. \\
 & \quad \quad pair(M, x, a, xa) \ \& \ upair(M, x, x, sx) \ \& \\
 & \quad \quad pre\text{-}image(M, r, sx, r\text{-}sx) \ \& \ restriction(M, f, r\text{-}sx, f\text{-}r\text{-}sx) \ \& \\
 & \quad \quad xa \in r)))
 \end{aligned}$$

apply (*simp add: M-is-recfun-def*)

apply (*rule rall-cong, blast*)

done

The three arguments of p are always 2, 1, 0 and z

definition

$is\text{-}recfun\text{-}fm :: [i, i, i, i] \Rightarrow i$ **where**

$is\text{-}recfun\text{-}fm(p, r, a, f) ==$

$Forall(Iff(Member(0, succ(f)),$

$Exists(Exists(Exists($

$And(p,$

$And(pair\text{-}fm(2, 0, 3),$

$Exists(Exists(Exists($

$And(pair\text{-}fm(5, a\#\ +7, 2),$

$And(upair\text{-}fm(5, 5, 1),$

$And(pre\text{-}image\text{-}fm(r\#\ +7, 1, 0),$

$And(restriction\text{-}fm(f\#\ +7, 0, 4), Member(2, r\#\ +7))))))))))$

lemma $is\text{-}recfun\text{-}type$ [TC]:

$[[p \in formula; x \in nat; y \in nat; z \in nat]]$

$\Rightarrow is\text{-}recfun\text{-}fm(p, x, y, z) \in formula$

by (*simp add: is-recfun-fm-def*)

lemma $sats\text{-}is\text{-}recfun\text{-}fm$:

assumes $MH\text{-}iff\text{-}sats$:

$!!a0 a1 a2 a3.$

$[[a0 \in A; a1 \in A; a2 \in A; a3 \in A]]$

$\Rightarrow MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, env))))))$

shows

$[[x \in nat; y \in nat; z \in nat; env \in list(A)]]$

$\Rightarrow sats(A, is\text{-}recfun\text{-}fm(p, x, y, z), env) \longleftrightarrow$

$M\text{-}is\text{-}recfun(\#\#\ A, MH, nth(x, env), nth(y, env), nth(z, env))$

by (*simp add: is-recfun-fm-def M-is-recfun-iff MH-iff-sats [THEN iff-sym]*)

lemma *is-recfun-iff-sats*:

assumes *MH-iff-sats*:

!!*a0 a1 a2 a3*.

[[*a0* ∈ *A*; *a1* ∈ *A*; *a2* ∈ *A*; *a3* ∈ *A*]]

==> *MH*(*a2*, *a1*, *a0*) ↔ *sats*(*A*, *p*, *Cons*(*a0*, *Cons*(*a1*, *Cons*(*a2*, *Cons*(*a3*, *env*))))))

shows

[[*nth*(*i*, *env*) = *x*; *nth*(*j*, *env*) = *y*; *nth*(*k*, *env*) = *z*;

i ∈ *nat*; *j* ∈ *nat*; *k* ∈ *nat*; *env* ∈ *list*(*A*)]

==> *M-is-recfun*(##*A*, *MH*, *x*, *y*, *z*) ↔ *sats*(*A*, *is-recfun-fm*(*p*, *i*, *j*, *k*), *env*)

by (*simp add: sats-is-recfun-fm [OF MH-iff-sats]*)

The additional variable in the premise, namely *f'*, is essential. It lets *MH* depend upon *x*, which seems often necessary. The same thing occurs in *is-wfrec-reflection*.

6.16.2 The Operator *is-wfrec*

The three arguments of *p* are always 2, 1, 0; *p* is enclosed by 5 quantifiers.

definition

is-wfrec-fm :: [*i*, *i*, *i*, *i*] => *i* **where**

is-wfrec-fm(*p*, *r*, *a*, *z*) ==

Exists(*And*(*is-recfun-fm*(*p*, *succ*(*r*), *succ*(*a*), 0),

Exists(*Exists*(*Exists*(*Exists*(

And(*Equal*(2, *a*##+5), *And*(*Equal*(1, 4), *And*(*Equal*(0, *z*##+5), *p*))))))))))

We call *p* with arguments *a*, *f*, *z* by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

There's an additional existential quantifier to ensure that the environments in both calls to *MH* have the same length.

lemma *is-wfrec-type [TC]*:

[[*p* ∈ *formula*; *x* ∈ *nat*; *y* ∈ *nat*; *z* ∈ *nat*]]

==> *is-wfrec-fm*(*p*, *x*, *y*, *z*) ∈ *formula*

by (*simp add: is-wfrec-fm-def*)

lemma *sats-is-wfrec-fm*:

assumes *MH-iff-sats*:

!!*a0 a1 a2 a3 a4*.

[[*a0* ∈ *A*; *a1* ∈ *A*; *a2* ∈ *A*; *a3* ∈ *A*; *a4* ∈ *A*]]

==> *MH*(*a2*, *a1*, *a0*) ↔ *sats*(*A*, *p*, *Cons*(*a0*, *Cons*(*a1*, *Cons*(*a2*, *Cons*(*a3*, *Cons*(*a4*, *env*))))))

shows

[[*x* ∈ *nat*; *y* < *length*(*env*); *z* < *length*(*env*); *env* ∈ *list*(*A*)]

==> *sats*(*A*, *is-wfrec-fm*(*p*, *x*, *y*, *z*), *env*) ↔

is-wfrec(##*A*, *MH*, *nth*(*x*, *env*), *nth*(*y*, *env*), *nth*(*z*, *env*))

apply (*frule-tac x=z in lt-length-in-nat, assumption*)

apply (*frule lt-length-in-nat, assumption*)

apply (*simp add: is-wfrec-fm-def sats-is-recfun-fm is-wfrec-def MH-iff-sats [THEN iff-sym], blast*)

done

lemma *is-wfrec-iff-sats*:

assumes *MH-iff-sats*:

!!*a0 a1 a2 a3 a4*.

$[[a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A]]$

$\implies MH(a2, a1, a0) \longleftrightarrow sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, env))))))$

shows

$[[nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$

$i \in nat; j < length(env); k < length(env); env \in list(A)]]$

$\implies is-wfrec(\#\#A, MH, x, y, z) \longleftrightarrow sats(A, is-wfrec-fm(p, i, j, k), env)$

by (*simp add: sats-is-wfrec-fm [OF MH-iff-sats]*)

6.17 For Datatypes

6.17.1 Binary Products, Internalized

definition

cartprod-fm :: $[i, i] \Rightarrow i$ **where**

cartprod-fm(*A, B, z*) ==

Forall(*Iff*(*Member*(0, *succ*(*z*)),

Exists(*And*(*Member*(0, *succ*(*succ*(*A*))),

Exists(*And*(*Member*(0, *succ*(*succ*(*succ*(*B*))),

pair-fm(1, 0, 2))))))

lemma *cartprod-type* [*TC*]:

$[[x \in nat; y \in nat; z \in nat]] \implies cartprod-fm(x, y, z) \in formula$

by (*simp add: cartprod-fm-def*)

lemma *sats-cartprod-fm* [*simp*]:

$[[x \in nat; y \in nat; z \in nat; env \in list(A)]]$

$\implies sats(A, cartprod-fm(x, y, z), env) \longleftrightarrow$

$cartprod(\#\#A, nth(x, env), nth(y, env), nth(z, env))$

by (*simp add: cartprod-fm-def cartprod-def*)

lemma *cartprod-iff-sats*:

$[[nth(i, env) = x; nth(j, env) = y; nth(k, env) = z;$

$i \in nat; j \in nat; k \in nat; env \in list(A)]]$

$\implies cartprod(\#\#A, x, y, z) \longleftrightarrow sats(A, cartprod-fm(i, j, k), env)$

by (*simp add: sats-cartprod-fm*)

6.17.2 Binary Sums, Internalized

definition

sum-fm :: $[i, i] \Rightarrow i$ **where**

sum-fm(*A, B, Z*) ==

Exists(*Exists*(*Exists*(*Exists*(

And(*number1-fm*(2),

$$\text{And}(\text{cartprod-fm}(2, A\#+4, 3), \\ \text{And}(\text{upair-fm}(2, 2, 1), \\ \text{And}(\text{cartprod-fm}(1, B\#+4, 0), \text{union-fm}(3, 0, Z\#+4))))))$$

lemma *sum-type* [TC]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{sum-fm}(x, y, z) \in \text{formula}$
by (*simp add: sum-fm-def*)

lemma *sats-sum-fm* [*simp*]:

$[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{sum-fm}(x, y, z), \text{env}) \longleftrightarrow$
 $\text{is-sum}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
by (*simp add: sum-fm-def is-sum-def*)

lemma *sum-iff-sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{is-sum}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{sum-fm}(i, j, k), \text{env})$
by *simp*

6.17.3 The Operator *quasinat*

definition

quasinat-fm :: $i \implies i$ **where**
 $\text{quasinat-fm}(z) == \text{Or}(\text{empty-fm}(z), \text{Exists}(\text{succ-fm}(0, \text{succ}(z))))$

lemma *quasinat-type* [TC]:

$x \in \text{nat} \implies \text{quasinat-fm}(x) \in \text{formula}$
by (*simp add: quasinat-fm-def*)

lemma *sats-quasinat-fm* [*simp*]:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{quasinat-fm}(x), \text{env}) \longleftrightarrow \text{is-quasinat}(\#\#A, \text{nth}(x, \text{env}))$
by (*simp add: quasinat-fm-def is-quasinat-def*)

lemma *quasinat-iff-sats*:

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$
 $i \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{is-quasinat}(\#\#A, x) \longleftrightarrow \text{sats}(A, \text{quasinat-fm}(i), \text{env})$
by *simp*

6.17.4 The Operator *is-nat-case*

I could not get it to work with the more natural assumption that *is-b* takes two arguments. Instead it must be a formula where 1 and 0 stand for *m* and *b*, respectively.

The formula *is-b* has free variables 1 and 0.

definition

is-nat-case-fm :: [*i, i, i, i*] => *i* **where**
is-nat-case-fm(*a, is-b, k, z*) ==
 And(Implies(empty-fm(*k*), Equal(*z, a*)),
 And(Forall(Implies(succ-fm(0, succ(*k*)),
 Forall(Implies(Equal(0, succ(succ(*z*))), *is-b*))),
 Or(quasinat-fm(*k*), empty-fm(*z*)))

lemma *is-nat-case-type* [TC]:

[| *is-b* ∈ formula;
 x ∈ nat; *y* ∈ nat; *z* ∈ nat |]
 ==> *is-nat-case-fm*(*x, is-b, y, z*) ∈ formula
by (simp add: *is-nat-case-fm-def*)

lemma *sats-is-nat-case-fm*:

assumes *is-b-iff-sats*:

!!*a. a* ∈ *A* ==> *is-b*(*a, nth*(*z, env*)) <=>
 sats(*A, p, Cons*(*nth*(*z, env*), *Cons*(*a, env*)))

shows

[| *x* ∈ nat; *y* ∈ nat; *z* < length(*env*); *env* ∈ list(*A*) |]
 ==> *sats*(*A, is-nat-case-fm*(*x, p, y, z*), *env*) <=>
 is-nat-case(##*A, nth*(*x, env*), *is-b, nth*(*y, env*), *nth*(*z, env*))

apply (frule *lt-length-in-nat, assumption*)

apply (simp add: *is-nat-case-fm-def is-nat-case-def is-b-iff-sats [THEN iff-sym]*)

done

lemma *is-nat-case-iff-sats*:

[| (!!*a. a* ∈ *A* ==> *is-b*(*a, z*) <=>
 sats(*A, p, Cons*(*z, Cons*(*a, env*)))];
 nth(*i, env*) = *x*; *nth*(*j, env*) = *y*; *nth*(*k, env*) = *z*;
 i ∈ nat; *j* ∈ nat; *k* < length(*env*); *env* ∈ list(*A*) |]
 ==> *is-nat-case*(##*A, x, is-b, y, z*) <=> *sats*(*A, is-nat-case-fm*(*i, p, j, k*), *env*)
by (simp add: *sats-is-nat-case-fm [of A is-b]*)

The second argument of *is-b* gives it direct access to *x*, which is essential for handling free variable references. Without this argument, we cannot prove reflection for *iterates-MH*.

6.18 The Operator *iterates-MH*, Needed for Iteration

definition

iterates-MH-fm :: [*i, i, i, i, i*] => *i* **where**
iterates-MH-fm(*isF, v, n, g, z*) ==
is-nat-case-fm(*v,*
 Exists(And(*fun-apply-fm*(succ(succ(succ(*g*))), 2, 0),
 Forall(Implies(Equal(0, 2), *isF*))),
 n, z)

lemma *iterates-MH-type* [TC]:

[| *p* ∈ formula;

$v \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat} \mid$
 $\implies \text{iterates-MH-fm}(p,v,x,y,z) \in \text{formula}$

by (*simp add: iterates-MH-fm-def*)

lemma *sats-iterates-MH-fm*:

assumes *is-F-iff-sats*:

$\llbracket a \in A; b \in A; c \in A; d \in A \rrbracket$

$\implies \text{is-F}(a,b) \longleftrightarrow$

$\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{env}))))))$

shows

$\llbracket v \in \text{nat}; x \in \text{nat}; y \in \text{nat}; z < \text{length}(\text{env}); \text{env} \in \text{list}(A) \rrbracket$

$\implies \text{sats}(A, \text{iterates-MH-fm}(p,v,x,y,z), \text{env}) \longleftrightarrow$

$\text{iterates-MH}(\#\#A, \text{is-F}, \text{nth}(v,\text{env}), \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$

apply (*frule lt-length-in-nat, assumption*)

apply (*simp add: iterates-MH-fm-def iterates-MH-def sats-is-nat-case-fm*

is-F-iff-sats [*symmetric*])

apply (*rule is-nat-case-cong*)

apply (*simp-all add: setclass-def*)

done

lemma *iterates-MH-iff-sats*:

assumes *is-F-iff-sats*:

$\llbracket a \in A; b \in A; c \in A; d \in A \rrbracket$

$\implies \text{is-F}(a,b) \longleftrightarrow$

$\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{env}))))))$

shows

$\llbracket \text{nth}(i',\text{env}) = v; \text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$

$i' \in \text{nat}; i \in \text{nat}; j \in \text{nat}; k < \text{length}(\text{env}); \text{env} \in \text{list}(A) \rrbracket$

$\implies \text{iterates-MH}(\#\#A, \text{is-F}, v, x, y, z) \longleftrightarrow$

$\text{sats}(A, \text{iterates-MH-fm}(p,i',i,j,k), \text{env})$

by (*simp add: sats-iterates-MH-fm* [*OF is-F-iff-sats*])

The second argument of p gives it direct access to x , which is essential for handling free variable references. Without this argument, we cannot prove reflection for *list-N*.

6.18.1 The Operator *is-iterates*

The three arguments of p are always 2, 1, 0; p is enclosed by 9 (??) quantifiers.

definition

is-iterates-fm :: $[i, i, i, i] \implies i$ **where**

is-iterates-fm(p,v,n,Z) ==

Exists(*Exists*(

And(*succ-fm*($n\#+2,1$),

And(*Memrel-fm*($1,0$),

is-wfrec-fm(*iterates-MH-fm*($p, v\#+7, 2, 1, 0$),

$0, n\#+2, Z\#+2$))))))

We call p with arguments a, f, z by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

lemma *is-iterates-type* [TC]:

$[[p \in \text{formula}; x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]]$
 $\implies \text{is-iterates-fm}(p, x, y, z) \in \text{formula}$

by (*simp add: is-iterates-fm-def*)

lemma *sats-is-iterates-fm*:

assumes *is-F-iff-sats*:

$!! a b c d e f g h i j k.$

$[[a \in A; b \in A; c \in A; d \in A; e \in A; f \in A;$
 $g \in A; h \in A; i \in A; j \in A; k \in A]]$

$\implies \text{is-F}(a, b) \longleftrightarrow$

$\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{Cons}(e, \text{Cons}(f,$
 $\text{Cons}(g, \text{Cons}(h, \text{Cons}(i, \text{Cons}(j, \text{Cons}(k, \text{env}))))))))))$

shows

$[[x \in \text{nat}; y < \text{length}(\text{env}); z < \text{length}(\text{env}); \text{env} \in \text{list}(A)]]$

$\implies \text{sats}(A, \text{is-iterates-fm}(p, x, y, z), \text{env}) \longleftrightarrow$

$\text{is-iterates}(\#\#A, \text{is-F}, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$

apply (*frule-tac x=z in lt-length-in-nat, assumption*)

apply (*frule lt-length-in-nat, assumption*)

apply (*simp add: is-iterates-fm-def is-iterates-def sats-is-nat-case-fm*

is-F-iff-sats [symmetric] sats-is-wfrec-fm sats-iterates-MH-fm)

done

lemma *is-iterates-iff-sats*:

assumes *is-F-iff-sats*:

$!! a b c d e f g h i j k.$

$[[a \in A; b \in A; c \in A; d \in A; e \in A; f \in A;$
 $g \in A; h \in A; i \in A; j \in A; k \in A]]$

$\implies \text{is-F}(a, b) \longleftrightarrow$

$\text{sats}(A, p, \text{Cons}(b, \text{Cons}(a, \text{Cons}(c, \text{Cons}(d, \text{Cons}(e, \text{Cons}(f,$
 $\text{Cons}(g, \text{Cons}(h, \text{Cons}(i, \text{Cons}(j, \text{Cons}(k, \text{env}))))))))))$

shows

$[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$

$i \in \text{nat}; j < \text{length}(\text{env}); k < \text{length}(\text{env}); \text{env} \in \text{list}(A)]]$

$\implies \text{is-iterates}(\#\#A, \text{is-F}, x, y, z) \longleftrightarrow$

$\text{sats}(A, \text{is-iterates-fm}(p, i, j, k), \text{env})$

by (*simp add: sats-is-iterates-fm [OF is-F-iff-sats]*)

The second argument of p gives it direct access to x , which is essential for handling free variable references. Without this argument, we cannot prove reflection for *list-N*.

6.18.2 The Formula *is-eclose-n*, Internalized

definition

eclose-n-fm :: $[i, i, i] \implies i$ **where**

$eclose\text{-}n\text{-}fm(A,n,Z) == is\text{-}iterates\text{-}fm(big\text{-}union\text{-}fm(1,0), A, n, Z)$

lemma *eclose-n-fm-type* [TC]:

$[[x \in nat; y \in nat; z \in nat]] ==> eclose\text{-}n\text{-}fm(x,y,z) \in formula$
by (*simp add: eclose-n-fm-def*)

lemma *sats-eclose-n-fm* [*simp*]:

$[[x \in nat; y < length(env); z < length(env); env \in list(A)]]$
 $==> sats(A, eclose\text{-}n\text{-}fm(x,y,z), env) \longleftrightarrow$
 $is\text{-}eclose\text{-}n(\#\#A, nth(x,env), nth(y,env), nth(z,env))$
apply (*frule-tac x=z in lt-length-in-nat, assumption*)
apply (*frule-tac x=y in lt-length-in-nat, assumption*)
apply (*simp add: eclose-n-fm-def is-eclose-n-def*
sats-is-iterates-fm)

done

lemma *eclose-n-iff-sats*:

$[[nth(i,env) = x; nth(j,env) = y; nth(k,env) = z;$
 $i \in nat; j < length(env); k < length(env); env \in list(A)]]$
 $==> is\text{-}eclose\text{-}n(\#\#A, x, y, z) \longleftrightarrow sats(A, eclose\text{-}n\text{-}fm(i,j,k), env)$
by (*simp add: sats-eclose-n-fm*)

6.18.3 Membership in $eclose(A)$

definition

mem-eclose-fm :: $[i,i] ==> i$ **where**
 $mem\text{-}eclose\text{-}fm(x,y) ==$
 $Exists(Exists($
 $And(finite\text{-}ordinal\text{-}fm(1),$
 $And(eclose\text{-}n\text{-}fm(x\#\#2,1,0), Member(y\#\#2,0))))$

lemma *mem-eclose-type* [TC]:

$[[x \in nat; y \in nat]] ==> mem\text{-}eclose\text{-}fm(x,y) \in formula$
by (*simp add: mem-eclose-fm-def*)

lemma *sats-mem-eclose-fm* [*simp*]:

$[[x \in nat; y \in nat; env \in list(A)]]$
 $==> sats(A, mem\text{-}eclose\text{-}fm(x,y), env) \longleftrightarrow mem\text{-}eclose(\#\#A, nth(x,env),$
 $nth(y,env))$
by (*simp add: mem-eclose-fm-def mem-eclose-def*)

lemma *mem-eclose-iff-sats*:

$[[nth(i,env) = x; nth(j,env) = y;$
 $i \in nat; j \in nat; env \in list(A)]]$
 $==> mem\text{-}eclose(\#\#A, x, y) \longleftrightarrow sats(A, mem\text{-}eclose\text{-}fm(i,j), env)$
by *simp*

6.18.4 The Predicate “Is $eclose(A)$ ”

definition

is-eclose-fm :: $[i, i] \Rightarrow i$ **where**
is-eclose-fm(A, Z) ==
 Forall(*Iff*(*Member*($0, \text{succ}(Z)$), *mem-eclose-fm*(*succ*(A), 0)))

lemma *is-eclose-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}]] \Rightarrow \text{is-eclose-fm}(x, y) \in \text{formula}$
by (*simp add: is-eclose-fm-def*)

lemma *sats-is-eclose-fm* [*simp*]:
 $[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{is-eclose-fm}(x, y), \text{env}) \longleftrightarrow \text{is-eclose}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}))$
by (*simp add: is-eclose-fm-def is-eclose-def*)

lemma *is-eclose-iff-sats*:
 $[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y;$
 $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{is-eclose}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{is-eclose-fm}(i, j), \text{env})$
by *simp*

6.18.5 The List Functor, Internalized

definition
list-functor-fm :: $[i, i, i] \Rightarrow i$ **where**

list-functor-fm(A, X, Z) ==
Exists(*Exists*(
And(*number1-fm*(1),
And(*cartprod-fm*($A\#\#2, X\#\#2, 0$), *sum-fm*($1, 0, Z\#\#2$))))))

lemma *list-functor-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \Rightarrow \text{list-functor-fm}(x, y, z) \in \text{formula}$
by (*simp add: list-functor-fm-def*)

lemma *sats-list-functor-fm* [*simp*]:
 $[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{sats}(A, \text{list-functor-fm}(x, y, z), \text{env}) \longleftrightarrow$
 $\text{is-list-functor}(\#\#A, \text{nth}(x, \text{env}), \text{nth}(y, \text{env}), \text{nth}(z, \text{env}))$
by (*simp add: list-functor-fm-def is-list-functor-def*)

lemma *list-functor-iff-sats*:
 $[[\text{nth}(i, \text{env}) = x; \text{nth}(j, \text{env}) = y; \text{nth}(k, \text{env}) = z;$
 $i \in \text{nat}; j \in \text{nat}; k \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\Rightarrow \text{is-list-functor}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{list-functor-fm}(i, j, k), \text{env})$
by *simp*

6.18.6 The Formula *is-list-N*, Internalized

definition
list-N-fm :: $[i, i, i] \Rightarrow i$ **where**
list-N-fm(A, n, Z) ==

Exists(
And(*empty-fm*(0),
is-iterates-fm(*list-functor-fm*($A\#\# + 9\#\# + 3, 1, 0$), 0, $n\#\# + 1$, $Z\#\# + 1$)))

lemma *list-N-fm-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}; z \in \text{nat}]] \implies \text{list-N-fm}(x,y,z) \in \text{formula}$
by (*simp add: list-N-fm-def*)

lemma *sats-list-N-fm* [*simp*]:
 $[[x \in \text{nat}; y < \text{length}(\text{env}); z < \text{length}(\text{env}); \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{list-N-fm}(x,y,z), \text{env}) \longleftrightarrow$
 $\text{is-list-N}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}), \text{nth}(z,\text{env}))$
apply (*frule-tac x=z in lt-length-in-nat, assumption*)
apply (*frule-tac x=y in lt-length-in-nat, assumption*)
apply (*simp add: list-N-fm-def is-list-N-def sats-is-iterates-fm*)
done

lemma *list-N-iff-sats*:
 $[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y; \text{nth}(k,\text{env}) = z;$
 $i \in \text{nat}; j < \text{length}(\text{env}); k < \text{length}(\text{env}); \text{env} \in \text{list}(A)]]$
 $\implies \text{is-list-N}(\#\#A, x, y, z) \longleftrightarrow \text{sats}(A, \text{list-N-fm}(i,j,k), \text{env})$
by (*simp add: sats-list-N-fm*)

6.18.7 The Predicate “Is A List”

definition

mem-list-fm :: $[i,i] \implies i$ **where**
 $\text{mem-list-fm}(x,y) ==$
 $\text{Exists}(\text{Exists}(\text{And}(\text{finite-ordinal-fm}(1),$
 $\text{And}(\text{list-N-fm}(x\#\# + 2, 1, 0), \text{Member}(y\#\# + 2, 0))))))$

lemma *mem-list-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}]] \implies \text{mem-list-fm}(x,y) \in \text{formula}$
by (*simp add: mem-list-fm-def*)

lemma *sats-mem-list-fm* [*simp*]:
 $[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{sats}(A, \text{mem-list-fm}(x,y), \text{env}) \longleftrightarrow \text{mem-list}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))$
by (*simp add: mem-list-fm-def mem-list-def*)

lemma *mem-list-iff-sats*:
 $[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$
 $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $\implies \text{mem-list}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{mem-list-fm}(i,j), \text{env})$
by *simp*

6.18.8 The Predicate “Is *list*(A)”

definition

is-list-fm :: $[i,i]=>i$ **where**
is-list-fm(*A*,*Z*) ==
 Forall(Iff(Member(0,succ(*Z*)), mem-list-fm(succ(*A*),0)))

lemma *is-list-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}] ==> \text{is-list-fm}(x,y) \in \text{formula}]$
by (simp add: *is-list-fm-def*)

lemma *sats-is-list-fm* [simp]:
 $[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]$
 $==> \text{sats}(A, \text{is-list-fm}(x,y), \text{env}) \longleftrightarrow \text{is-list}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))]$
by (simp add: *is-list-fm-def is-list-def*)

lemma *is-list-iff-sats*:
 $[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$
 $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $==> \text{is-list}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{is-list-fm}(i,j), \text{env})]$
by simp

6.18.9 The Formula Functor, Internalized

definition *formula-functor-fm* :: $[i,i]=>i$ **where**

formula-functor-fm(*X*,*Z*) ==
 Exists(Exists(Exists(Exists(Exists(
 And(omega-fm(4),
 And(cartprod-fm(4,4,3),
 And(sum-fm(3,3,2),
 And(cartprod-fm(*X*#+5,*X*#+5,1),
 And(sum-fm(1,*X*#+5,0), sum-fm(2,0,*Z*#+5))))))))))

lemma *formula-functor-type* [TC]:
 $[[x \in \text{nat}; y \in \text{nat}] ==> \text{formula-functor-fm}(x,y) \in \text{formula}]$
by (simp add: *formula-functor-fm-def*)

lemma *sats-formula-functor-fm* [simp]:
 $[[x \in \text{nat}; y \in \text{nat}; \text{env} \in \text{list}(A)]$
 $==> \text{sats}(A, \text{formula-functor-fm}(x,y), \text{env}) \longleftrightarrow$
 $\text{is-formula-functor}(\#\#A, \text{nth}(x,\text{env}), \text{nth}(y,\text{env}))]$
by (simp add: *formula-functor-fm-def is-formula-functor-def*)

lemma *formula-functor-iff-sats*:
 $[[\text{nth}(i,\text{env}) = x; \text{nth}(j,\text{env}) = y;$
 $i \in \text{nat}; j \in \text{nat}; \text{env} \in \text{list}(A)]]$
 $==> \text{is-formula-functor}(\#\#A, x, y) \longleftrightarrow \text{sats}(A, \text{formula-functor-fm}(i,j), \text{env})]$
by simp

6.18.10 The Formula *is-formula-N*, Internalized

definition

$formula-N-fm :: [i,i] => i$ **where**
 $formula-N-fm(n,Z) ==$
 $Exists($
 $And(empty-fm(0),$
 $is-iterates-fm(formula-functor-fm(1,0), 0, n\#+1, Z\#+1)))$

lemma $formula-N-fm-type$ [TC]:
 $[| x \in nat; y \in nat |] ==> formula-N-fm(x,y) \in formula$
by ($simp$ add: $formula-N-fm-def$)

lemma $sats-formula-N-fm$ [$simp$]:
 $[| x < length(env); y < length(env); env \in list(A) |]$
 $==> sats(A, formula-N-fm(x,y), env) \longleftrightarrow$
 $is-formula-N(\#\#A, nth(x,env), nth(y,env))$
apply ($frule-tac$ $x=y$ **in** $lt-length-in-nat$, $assumption$)
apply ($frule$ $lt-length-in-nat$, $assumption$)
apply ($simp$ add: $formula-N-fm-def$ $is-formula-N-def$ $sats-is-iterates-fm$)
done

lemma $formula-N-iff-sats$:
 $[| nth(i,env) = x; nth(j,env) = y;$
 $i < length(env); j < length(env); env \in list(A) |]$
 $==> is-formula-N(\#\#A, x, y) \longleftrightarrow sats(A, formula-N-fm(i,j), env)$
by ($simp$ add: $sats-formula-N-fm$)

6.18.11 The Predicate “Is A Formula”

definition
 $mem-formula-fm :: i => i$ **where**
 $mem-formula-fm(x) ==$
 $Exists(Exists($
 $And(finite-ordinal-fm(1),$
 $And(formula-N-fm(1,0), Member(x\#+2,0))))$

lemma $mem-formula-type$ [TC]:
 $x \in nat ==> mem-formula-fm(x) \in formula$
by ($simp$ add: $mem-formula-fm-def$)

lemma $sats-mem-formula-fm$ [$simp$]:
 $[| x \in nat; env \in list(A) |]$
 $==> sats(A, mem-formula-fm(x), env) \longleftrightarrow mem-formula(\#\#A, nth(x,env))$
by ($simp$ add: $mem-formula-fm-def$ $mem-formula-def$)

lemma $mem-formula-iff-sats$:
 $[| nth(i,env) = x; i \in nat; env \in list(A) |]$
 $==> mem-formula(\#\#A, x) \longleftrightarrow sats(A, mem-formula-fm(i), env)$
by $simp$

6.18.12 The Predicate “Is formula”

definition

$is\text{-formula}\text{-fm} :: i \Rightarrow i$ **where**
 $is\text{-formula}\text{-fm}(Z) == \text{Forall}(\text{Iff}(\text{Member}(0, \text{succ}(Z)), \text{mem}\text{-formula}\text{-fm}(0)))$

lemma $is\text{-formula}\text{-type}$ [TC]:

$x \in \text{nat} \Rightarrow is\text{-formula}\text{-fm}(x) \in \text{formula}$

by ($\text{simp add: } is\text{-formula}\text{-fm}\text{-def}$)

lemma $sats\text{-is}\text{-formula}\text{-fm}$ [simp]:

$[[x \in \text{nat}; \text{env} \in \text{list}(A)]]$

$\Rightarrow sats(A, is\text{-formula}\text{-fm}(x), \text{env}) \longleftrightarrow is\text{-formula}(\#\#A, \text{nth}(x, \text{env}))$

by ($\text{simp add: } is\text{-formula}\text{-fm}\text{-def } is\text{-formula}\text{-def}$)

lemma $is\text{-formula}\text{-iff}\text{-sats}$:

$[[\text{nth}(i, \text{env}) = x; i \in \text{nat}; \text{env} \in \text{list}(A)]]$

$\Rightarrow is\text{-formula}(\#\#A, x) \longleftrightarrow sats(A, is\text{-formula}\text{-fm}(i), \text{env})$

by simp

6.18.13 The Operator $is\text{-transrec}$

The three arguments of p are always 2, 1, 0. It is buried within eight quantifiers! We call p with arguments a, f, z by equating them with the corresponding quantified variables with de Bruijn indices 2, 1, 0.

definition

$is\text{-transrec}\text{-fm} :: [i, i, i] \Rightarrow i$ **where**

$is\text{-transrec}\text{-fm}(p, a, z) ==$

$\text{Exists}(\text{Exists}(\text{Exists}(\text{And}(\text{upair}\text{-fm}(a\#\#3, a\#\#3, 2),$
 $\text{And}(is\text{-eclose}\text{-fm}(2, 1),$
 $\text{And}(\text{Memrel}\text{-fm}(1, 0), is\text{-wfrec}\text{-fm}(p, 0, a\#\#3, z\#\#3))))))$

lemma $is\text{-transrec}\text{-type}$ [TC]:

$[[p \in \text{formula}; x \in \text{nat}; z \in \text{nat}]]$

$\Rightarrow is\text{-transrec}\text{-fm}(p, x, z) \in \text{formula}$

by ($\text{simp add: } is\text{-transrec}\text{-fm}\text{-def}$)

lemma $sats\text{-is}\text{-transrec}\text{-fm}$:

assumes $MH\text{-iff}\text{-sats}$:

$!!a0\ a1\ a2\ a3\ a4\ a5\ a6\ a7.$

$[[a0 \in A; a1 \in A; a2 \in A; a3 \in A; a4 \in A; a5 \in A; a6 \in A; a7 \in A]]$

$\Rightarrow MH(a2, a1, a0) \longleftrightarrow$

$sats(A, p, \text{Cons}(a0, \text{Cons}(a1, \text{Cons}(a2, \text{Cons}(a3,$
 $\text{Cons}(a4, \text{Cons}(a5, \text{Cons}(a6, \text{Cons}(a7, \text{env}))))))))$

shows

$[[x < \text{length}(\text{env}); z < \text{length}(\text{env}); \text{env} \in \text{list}(A)]]$

$\Rightarrow sats(A, is\text{-transrec}\text{-fm}(p, x, z), \text{env}) \longleftrightarrow$

```

      is-transrec(##A, MH, nth(x,env), nth(z,env))
apply (frule-tac x=z in lt-length-in-nat, assumption)
apply (frule-tac x=x in lt-length-in-nat, assumption)
apply (simp add: is-transrec-fm-def sats-is-wfrec-fm is-transrec-def MH-iff-sats [THEN
iff-sym])
done

```

lemma *is-transrec-iff-sats*:

assumes *MH-iff-sats*:

!!a0 a1 a2 a3 a4 a5 a6 a7.

[[a0∈A; a1∈A; a2∈A; a3∈A; a4∈A; a5∈A; a6∈A; a7∈A]]

==> *MH*(a2, a1, a0) \longleftrightarrow

sats(A, p, Cons(a0, Cons(a1, Cons(a2, Cons(a3, Cons(a4, Cons(a5, Cons(a6, Cons(a7, env))))))))))

shows

[[nth(i,env) = x; nth(k,env) = z;

i < length(env); k < length(env); env ∈ list(A)]]

==> *is-transrec*(##A, MH, x, z) \longleftrightarrow sats(A, *is-transrec-fm*(p,i,k), env)

by (simp add: sats-is-transrec-fm [OF *MH-iff-sats*])

end

theory *Nat-Miscellanea* **imports** *ZF* **begin**

7 Auxiliary results

lemmas *nat-succI* = *Ord-succ-mem-iff* [THEN *iffD2*, OF *nat-into-Ord*]

lemma *nat-succD* : $m \in \text{nat} \implies \text{succ}(n) \in \text{succ}(m) \implies n \in m$

by (*drule-tac* *j=succ(m)* **in** *ltI*, *auto elim:ltD*)

lemmas *zero-in* = *ltD* [OF *nat-0-le*]

lemma *in-n-in-nat* : $m \in \text{nat} \implies n \in m \implies n \in \text{nat}$

by(*drule* *ltI*[of *n*],*auto simp add: lt-nat-in-nat*)

lemma *in-succ-in-nat* : $m \in \text{nat} \implies n \in \text{succ}(m) \implies n \in \text{nat}$

by(*auto simp add:in-n-in-nat*)

lemma *ltI-neg* : $x \in \text{nat} \implies j \leq x \implies j \neq x \implies j < x$

by (*simp add: le-iff*)

lemma *succ-pred-eq* : $m \in \text{nat} \implies m \neq 0 \implies \text{succ}(\text{pred}(m)) = m$

by (*auto elim: natE*)

lemma *succ-ltI* : $n \in \text{nat} \implies \text{succ}(j) < n \implies j < n$

apply (*rule-tac* *j=succ(j)* **in** *lt-trans*,*rule le-refl*,*rule Ord-succD*)

apply (*rule nat-into-Ord*,*erule in-n-in-nat*,*erule ltD*,*simp*)

done

lemma *succ-In* : $n \in \text{nat} \implies \text{succ}(j) \in n \implies j \in n$
by (*rule succ-ltI*[*THEN ltD*], *auto intro: ltI*)

lemmas *succ-leD = succ-leE*[*OF leI*]

lemma *succpred-leI* : $n \in \text{nat} \implies n \leq \text{succ}(\text{pred}(n))$
by (*auto elim: natE*)

lemma *succpred-n0* : $p \in \text{nat} \implies \text{succ}(n) \in p \implies p \neq 0$
by (*auto elim: natE*)

lemma *funcI* : $f \in A \rightarrow B \implies a \in A \implies b = f \text{ ` } a \implies \langle a, b \rangle \in f$
by(*simp-all add: apply-Pair*)

lemmas *natEin = natE* [*OF lt-nat-in-nat*]

lemma *succ-in* : $\text{succ}(x) \leq y \implies x \in y$
by (*auto dest:ltD*)

lemmas *Un-least-lt-iffn = Un-least-lt-iff* [*OF nat-into-Ord nat-into-Ord*]

lemma *pred-le2* : $n \in \text{nat} \implies m \in \text{nat} \implies \text{pred}(n) \leq m \implies n \leq \text{succ}(m)$
by(*subgoal-tac n∈nat,rule-tac n=n in natE,auto*)

lemma *pred-le* : $n \in \text{nat} \implies m \in \text{nat} \implies n \leq \text{succ}(m) \implies \text{pred}(n) \leq m$
by(*subgoal-tac pred(n)∈nat,rule-tac n=n in natE,auto*)

lemma *un-leD1* : $i \in \text{nat} \implies j \in \text{nat} \implies k \in \text{nat} \implies i \cup j \leq k \implies i \leq k$
by (*rule Un-least-lt-iff*[*THEN iffD1*[*THEN conjunct1*]],*simp-all*)

lemma *un-leD2* : $i \in \text{nat} \implies j \in \text{nat} \implies k \in \text{nat} \implies i \cup j \leq k \implies j \leq k$
by (*rule Un-least-lt-iff*[*THEN iffD1*[*THEN conjunct2*]],*simp-all*)

lemma *gt1* : $n \in \text{nat} \implies i \in n \implies i \neq 0 \implies i \neq 1 \implies 1 < i$
by(*rule-tac n=i in natE,erule in-n-in-nat,auto intro: Ord-0-lt*)

lemma *pred-mono* : $m \in \text{nat} \implies n \leq m \implies \text{pred}(n) \leq \text{pred}(m)$
by(*rule-tac n=n in natE,auto simp add:le-in-nat,erule-tac n=m in natE,auto*)

lemma *pred2-Un*:

assumes $j \in \text{nat} \ m \leq j \ n \leq j$

shows $\text{pred}(\text{pred}(m \cup n)) \leq \text{pred}(\text{pred}(j))$

using *assms pred-mono*[*of j*] *le-in-nat Un-least-lt pred-mono* **by** *simp*

lemma *nat-union-abs1* :

$\llbracket \text{Ord}(i) ; \text{Ord}(j) ; i \leq j \rrbracket \implies i \cup j = j$

```

by (rule Un-absorb1,erule le-imp-subset)

lemma nat-union-abs2 :
  [ Ord(i) ; Ord(j) ; i ≤ j ] ⇒ j ∪ i = j
  by (rule Un-absorb2,erule le-imp-subset)

lemma nat-un-max : i ∈ nat ⇒ j ∈ nat ⇒ i ∪ j = max(i,j)
  apply(auto simp add:max-def nat-union-abs1)
  apply(auto simp add: not-lt-iff-le leI nat-union-abs2)
done

lemma nat-un-ty : i ∈ nat ⇒ j ∈ nat ⇒ i ∪ j ∈ nat
  by simp

lemma nat-max-ty : i ∈ nat ⇒ j ∈ nat ⇒ max(i,j) ∈ nat
  unfolding max-def by simp

lemmas nat-simp-union = nat-un-max nat-un-ty nat-max-ty max-def

end
theory Renaming
  imports
    Nat-Miscellanea
    ~~/src/ZF/Constructible/Formula
begin

```

8 Auxiliary results

```

lemma app-nm : n ∈ nat ⇒ m ∈ nat ⇒ f ∈ n → m ⇒ x ∈ nat ⇒ f'x ∈ nat
  apply(case-tac x ∈ n,rule-tac m=m in in-n-in-nat,(simp add:apply-type)+)
  apply(subst apply-0,subst domain-of-fun,assumption+,auto)
done

```

9 Renaming of free variables

```

definition
  sum-id :: [i,i] ⇒ i where
  sum-id(m,f) == λj ∈ succ(m) . if j=0 then 0 else succ(f'pred(j))

lemma sum-id0 : sum-id(m,f)'0 = 0
  by(unfold sum-id-def,simp)

lemma sum-idS : succ(x) ∈ succ(m) ⇒ sum-id(m,f)'succ(x) = succ(f'x)
  by(unfold sum-id-def,simp)

lemma sum-id-tc :
  n ∈ nat ⇒ m ∈ nat ⇒ f ∈ n → m ⇒ sum-id(n,f) ∈ succ(n) → succ(m)
  apply (rule Pi-iff [THEN iffD2],rule conjI)

```

```

apply (unfold sum-id-def,rule function-lam)
apply (rule conjI,auto)
apply (erule-tac p=x and A=succ(n) and
  b= $\lambda$  i. if i = 0 then 0 else succ(f'pred(i)) and
  P= $x \in \text{succ}(n) \times \text{succ}(m)$  in lamE)
apply(rename-tac j,case-tac j=0,simp,simp add:zero-in)
apply(subgoal-tac f'pred(j)  $\in$  m,simp)
apply(rule nat-succI,assumption+)
apply (erule-tac A=n in apply-type)
apply (rule Ord-succ-mem-iff [THEN iffD1],simp)
apply (subst succ-pred-eq,rule-tac A=succ(n) in subsetD,rule naturals-subset-nat)
apply (simp+)
done

```

10 Renaming of formulas

consts ren :: $i \Rightarrow i$

primrec

$\text{ren}(\text{Member}(x,y)) =$
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Member}(f'x, f'y))$

$\text{ren}(\text{Equal}(x,y)) =$
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Equal}(f'x, f'y))$

$\text{ren}(\text{Nand}(p,q)) =$
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Nand}(\text{ren}(p)'n'm'f, \text{ren}(q)'n'm'f))$

$\text{ren}(\text{Forall}(p)) =$
 $(\lambda n \in \text{nat} . \lambda m \in \text{nat} . \lambda f \in n \rightarrow m . \text{Forall}(\text{ren}(p)'succ(n)'succ(m)'sum-id(n,f)))$

lemma arity-meml : $l \in \text{nat} \Rightarrow \text{Member}(x,y) \in \text{formula} \Rightarrow \text{arity}(\text{Member}(x,y)) \leq l \Rightarrow x \in l$

by (simp,rule subsetD,rule le-imp-subset,assumption,simp)

lemma arity-memr : $l \in \text{nat} \Rightarrow \text{Member}(x,y) \in \text{formula} \Rightarrow \text{arity}(\text{Member}(x,y)) \leq l \Rightarrow y \in l$

by (simp,rule subsetD,rule le-imp-subset,assumption,simp)

lemma arity-eql : $l \in \text{nat} \Rightarrow \text{Equal}(x,y) \in \text{formula} \Rightarrow \text{arity}(\text{Equal}(x,y)) \leq l \Rightarrow x \in l$

by (simp,rule subsetD,rule le-imp-subset,assumption,simp)

lemma arity-eqr : $l \in \text{nat} \Rightarrow \text{Equal}(x,y) \in \text{formula} \Rightarrow \text{arity}(\text{Equal}(x,y)) \leq l \Rightarrow y \in l$

by (simp,rule subsetD,rule le-imp-subset,assumption,simp)

lemma nand-ar1 : $p \in \text{formula} \Rightarrow q \in \text{formula} \Rightarrow \text{arity}(p) \leq \text{arity}(\text{Nand}(p,q))$

by (simp,rule Un-upper1-le,simp+)

lemma nand-ar2 : $p \in \text{formula} \Rightarrow q \in \text{formula} \Rightarrow \text{arity}(q) \leq \text{arity}(\text{Nand}(p,q))$

by (simp,rule Un-upper2-le,simp+)

lemma nand-ar1D : $p \in \text{formula} \Rightarrow q \in \text{formula} \Rightarrow \text{arity}(\text{Nand}(p,q)) \leq n \Rightarrow \text{arity}(p) \leq n$

by (*auto simp add: le-trans[OF Un-upper1-le[of arity(p) arity(q)]]*)
lemma *nand-ar2D* : $p \in \text{formula} \implies q \in \text{formula} \implies \text{arity}(\text{Nand}(p,q)) \leq n \implies \text{arity}(q) \leq n$
by (*auto simp add: le-trans[OF Un-upper2-le[of arity(p) arity(q)]]*)

lemma *ren-tc* : $p \in \text{formula} \implies (\bigwedge n m f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{ren}(p) 'n 'm 'f \in \text{formula})$
by (*induct set:formula, auto simp add: app-nm sum-id-tc*)

lemma *ren-arity* :
fixes p
assumes $p \in \text{formula}$
shows $\bigwedge n m f . n \in \text{nat} \implies m \in \text{nat} \implies f \in n \rightarrow m \implies \text{arity}(p) \leq n \implies \text{arity}(\text{ren}(p) 'n 'm 'f) \leq m$
using *assms*
proof (*induct set:formula*)
case (*Member x y*)
then have $f 'x \in m \ f 'y \in m$
using *Member assms* **by** (*simp add: arity-mem1 apply-funtype, simp add: arity-memr apply-funtype*)
then show *?case* **using** *Member* **by** (*simp add: Un-least-lt ltI*)
next
case (*Equal x y*)
then have $f 'x \in m \ f 'y \in m$
using *Equal assms* **by** (*simp add: arity-eql apply-funtype, simp add: arity-eqr apply-funtype*)
then show *?case* **using** *Equal* **by** (*simp add: Un-least-lt ltI*)
next
case (*Nand p q*)
then have $\text{arity}(p) \leq \text{arity}(\text{Nand}(p,q))$
 $\text{arity}(q) \leq \text{arity}(\text{Nand}(p,q))$
by (*subst nand-ar1, simp, simp, subst nand-ar2, simp+*)
then have $\text{arity}(p) \leq n$
and $\text{arity}(q) \leq n$ **using** *Nand*
by (*rule-tac j=arity(Nand(p,q)) in le-trans, simp, simp+*)
then have $\text{arity}(\text{ren}(p) 'n 'm 'f) \leq m$ **and** $\text{arity}(\text{ren}(q) 'n 'm 'f) \leq m$
using *Nand* **by** *auto*
then show *?case* **using** *Nand* **by** (*simp add: Un-least-lt*)
next
case (*Forall p*)
from *Forall* **have** $\text{succ}(n) \in \text{nat} \ \text{succ}(m) \in \text{nat}$ **by** *auto*
from *Forall* **have** $2: \text{sum-id}(n,f) \in \text{succ}(n) \rightarrow \text{succ}(m)$ **by** (*simp add: sum-id-tc*)
from *Forall* **have** $3: \text{arity}(p) \leq \text{succ}(n)$ **by** (*rule-tac n=arity(p) in natE, simp+*)
then have $\text{arity}(\text{ren}(p) ' \text{succ}(n) ' \text{succ}(m) ' \text{sum-id}(n,f)) \leq \text{succ}(m)$ **using**
 $\text{Forall} \langle \text{succ}(n) \in \text{nat} \rangle \langle \text{succ}(m) \in \text{nat} \rangle 2$ **by** *force*
then show *?case* **using** *Forall 2 3 ren-tc arity-type pred-le* **by** *auto*
qed

lemma forall-arityE : $p \in \text{formula} \implies m \in \text{nat} \implies \text{arity}(\text{Forall}(p)) \leq m \implies \text{arity}(p) \leq \text{succ}(m)$
by (rule-tac n=arity(p) in natE,erule arity-type,simp+)

lemma env-coincidence-sum-id :

assumes $m \in \text{nat} \ n \in \text{nat}$
 $\varrho \in \text{list}(A) \ \varrho' \in \text{list}(A)$
 $f \in n \rightarrow m$
 $\bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f^i, \varrho')$
 $a \in A \ j \in \text{succ}(n)$
shows $\text{nth}(j, \text{Cons}(a, \varrho)) = \text{nth}(\text{sum-id}(n, f)^j, \text{Cons}(a, \varrho'))$

proof –

let $?g = \text{sum-id}(n, f)$
have $\text{succ}(n) \in \text{nat}$ **using** $\langle n \in \text{nat} \rangle$ **by** simp
then have $j \in \text{nat}$ **using** $\langle j \in \text{succ}(n) \rangle$ in-n-in-nat **by** blast
then have $\text{nth}(j, \text{Cons}(a, \varrho)) = \text{nth}(?g^j, \text{Cons}(a, \varrho'))$
proof (cases rule:natE[OF $\langle j \in \text{nat} \rangle$])
case 1
then show ?thesis **using** assms sum-id0 **by** simp
next
case (2 i)
with $\langle j \in \text{succ}(n) \rangle$ **have** $\text{succ}(i) \in \text{succ}(n)$ **by** simp
with $\langle n \in \text{nat} \rangle$ **have** $i \in n$ **using** nat-succD assms **by** simp
have $f^i \in m$ **using** $\langle f \in n \rightarrow m \rangle$ apply-type $\langle i \in n \rangle$ **by** simp
then have $f^i \in \text{nat}$ **using** in-n-in-nat $\langle m \in \text{nat} \rangle$ **by** simp
have $\text{nth}(\text{succ}(i), \text{Cons}(a, \varrho)) = \text{nth}(i, \varrho)$ **using** $\langle i \in \text{nat} \rangle$ **by** simp
also have $\dots = \text{nth}(f^i, \varrho')$ **using** assms $\langle i \in n \rangle$ ltI **by** simp
also have $\dots = \text{nth}(\text{succ}(f^i), \text{Cons}(a, \varrho'))$ **using** $\langle f^i \in \text{nat} \rangle$ **by** simp
also have $\dots = \text{nth}(?g^{\text{succ}(i)}, \text{Cons}(a, \varrho'))$
using sum-idS $\langle \text{succ}(i) \in \text{succ}(n) \rangle$ cases **by** simp
finally have $\text{nth}(\text{succ}(i), \text{Cons}(a, \varrho)) = \text{nth}(?g^{\text{succ}(i)}, \text{Cons}(a, \varrho'))$.
then show ?thesis **using** $\langle j = \text{succ}(i) \rangle$ **by** simp
qed
then show ?thesis .

qed

lemma sats-iff-sats-ren :

fixes φ
assumes $\varphi \in \text{formula}$
shows $\llbracket n \in \text{nat} ; m \in \text{nat} ; \varrho \in \text{list}(M) ; \varrho' \in \text{list}(M) ; f \in n \rightarrow m ; \text{arity}(\varphi) \leq n ; \bigwedge i . i < n \implies \text{nth}(i, \varrho) = \text{nth}(f^i, \varrho') \rrbracket \implies \text{sats}(M, \varphi, \varrho) \longleftrightarrow \text{sats}(M, \text{ren}(\varphi)^{n'm'f}, \varrho')$
using $\langle \varphi \in \text{formula} \rangle$

proof (induct φ arbitrary:n m $\varrho \ \varrho' \ f$)

case (Member x y)

have 0: $\text{ren}(\text{Member}(x, y))^{n'm'f} = \text{Member}(f^x, f^y)$ **using** Member assms arity-type **by** force

have 1: $x \in n$ **using** *Member arity-meml* **by** *simp*
have $y \in n$ **using** *Member arity-memr* **by** *simp*
then show ?case **using** *Member 1 0 ltI* **by** *simp*
next
case (*Equal x y*)
have 0: $\text{ren}(\text{Equal}(x,y))'n'm'f = \text{Equal}(f'x,f'y)$ **using** *Equal assms arity-type*
by *force*
have 1: $x \in n$ **using** *Equal arity-eql* **by** *simp*
have $y \in n$ **using** *Equal arity-eqr* **by** *simp*
then show ?case **using** *Equal 1 0 ltI* **by** *simp*
next
case (*Nand p q*)
have 0: $\text{ren}(\text{Nand}(p,q))'n'm'f = \text{Nand}(\text{ren}(p)'n'm'f, \text{ren}(q)'n'm'f)$ **using** *Nand*
by *simp*
have $\text{arity}(p) \leq n$ **using** *Nand nand-ar1D* **by** *simp*
then have 1: $i \in \text{arity}(p) \implies i \in n$ **for** i **using** *subsetD[OF le-imp-subset[OF*
 $\langle \text{arity}(p) \leq n \rangle]$ **by** *simp*
then have $i \in \text{arity}(p) \implies \text{nth}(i,\varrho) = \text{nth}(f^i,\varrho')$ **for** i **using** *Nand ltI* **by** *simp*
then have 2: $\text{sats}(M,p,\varrho) \longleftrightarrow \text{sats}(M,\text{ren}(p)'n'm'f,\varrho')$ **using** $\langle \text{arity}(p) \leq n \rangle$ 1
Nand **by** *simp*
have $\text{arity}(q) \leq n$ **using** *Nand nand-ar2D* **by** *simp*
then have 3: $i \in \text{arity}(q) \implies i \in n$ **for** i **using** *subsetD[OF le-imp-subset[OF*
 $\langle \text{arity}(q) \leq n \rangle]$ **by** *simp*
then have $i \in \text{arity}(q) \implies \text{nth}(i,\varrho) = \text{nth}(f^i,\varrho')$ **for** i **using** *Nand ltI* **by** *simp*
then have 4: $\text{sats}(M,q,\varrho) \longleftrightarrow \text{sats}(M,\text{ren}(q)'n'm'f,\varrho')$ **using** *assms* $\langle \text{arity}(q) \leq n \rangle$
3 *Nand* **by** *simp*
then show ?case **using** *Nand 0 2 4* **by** *simp*
next
case (*Forall p*)
have 0: $\text{ren}(\text{Forall}(p))'n'm'f = \text{Forall}(\text{ren}(p)'succ(n)'succ(m)'sum\text{-id}(n,f))$
using *Forall* **by** *simp*
have 1: $sum\text{-id}(n,f) \in succ(n) \rightarrow succ(m)$ (**is** ? $g \in -$) **using** *sum-id-tc* *Forall* **by**
simp
then have 2: $\text{arity}(p) \leq succ(n)$
using *Forall le-trans[of - succ(pred(arity(p)))] succpred-leI* **by** *simp*
have $succ(n) \in nat$ $succ(m) \in nat$ **using** *Forall* **by** *auto*
then have A: $\bigwedge j . j < succ(n) \implies \text{nth}(j, \text{Cons}(a, \varrho)) = \text{nth}(?g^j, \text{Cons}(a, \varrho'))$
if $a \in M$ **for** a
using *that env-coincidence-sum-id Forall ltD* **by** *force*
have 4:
 $\text{sats}(M,p,\text{Cons}(a,\varrho)) \longleftrightarrow \text{sats}(M,\text{ren}(p)'succ(n)'succ(m)'?g,\text{Cons}(a,\varrho'))$ **if**
 $a \in M$ **for** a
proof –
have C: $\text{Cons}(a,\varrho) \in list(M)$ $\text{Cons}(a,\varrho') \in list(M)$ **using** *Forall that* **by** *auto*
have $\text{sats}(M,p,\text{Cons}(a,\varrho)) \longleftrightarrow \text{sats}(M,\text{ren}(p)'succ(n)'succ(m)'?g,\text{Cons}(a,\varrho'))$
using *Forall(2)[OF* $\langle succ(n) \in nat \rangle$ $\langle succ(m) \in nat \rangle$ C(1) C(2) 1 2 A[*OF*
 $\langle a \in M \rangle]$ **by** *simp*
then show ?thesis .

```

    qed
  then show ?case using Forall 0 1 2 4 by simp
qed

end
theory Interface
  imports Forcing-Data Relative Internalizations Renaming
begin

lemma Transset-intf :
  Transset(M)  $\implies$   $y \in x \implies x \in M \implies y \in M$ 
  by (simp add: Transset-def, auto)

lemma TranssetI :
  ( $\bigwedge y x. y \in x \implies x \in M \implies y \in M$ )  $\implies$  Transset(M)
  by (auto simp add: Transset-def)

lemma empty-intf :
  infinity-ax(M)  $\implies$ 
  ( $\exists z[M]. \text{empty}(M, z)$ )
  by (auto simp add: empty-def infinity-ax-def)

lemma (in forcing-data) zero-in-M:  $0 \in M$ 
proof -
  from infinity-ax have
    ( $\exists z[##M]. \text{empty}(##M, z)$ )
  by (rule empty-intf)
  then obtain z where
    zm:  $\text{empty}(##M, z) \quad z \in M$ 
  by auto
  with trans-M have  $z=0$ 
  by (simp add: empty-def, blast intro: Transset-intf )
  with zm show ?thesis
  by simp
qed

lemma (in forcing-data) mtriv :
  M-trivial(##M)
  apply (insert trans-M upair-ax Union-ax)
  apply (rule M-trivial.intro)
  apply (simp-all add: zero-in-M)
  apply (rule Transset-intf, simp+)
done

sublocale forcing-data  $\subseteq$  M-trivial ##M
  by (rule mtriv)

```

abbreviation

$dec10 :: i \ (10) \ \mathbf{where} \ 10 == succ(9)$

abbreviation

$dec11 :: i \ (11) \ \mathbf{where} \ 11 == succ(10)$

abbreviation

$dec12 :: i \ (12) \ \mathbf{where} \ 12 == succ(11)$

abbreviation

$dec13 :: i \ (13) \ \mathbf{where} \ 13 == succ(12)$

lemma *uniq-dec-2p*: $\langle C, D \rangle \in M \implies$

$$\begin{aligned} & \forall A \in M. \forall B \in M. \langle C, D \rangle = \langle A, B \rangle \longrightarrow P(x, A, B) \\ & \longleftrightarrow \\ & P(x, C, D) \end{aligned}$$

by *simp*

lemma (*in forcing-data*) *tupling-sep-2p* :

$$\begin{aligned} & (\forall v \in M. separation(\#\#M, \lambda x. (\forall A \in M. \forall B \in M. pair(\#\#M, A, B, v) \longrightarrow Q(x, A, B)))) \\ & \longleftrightarrow \\ & (\forall A \in M. \forall B \in M. separation(\#\#M, \lambda x. Q(x, A, B))) \end{aligned}$$

apply (*simp add: separation-def*)

proof (*intro ballI iffI*)

fix $A \ B \ z$

assume

$$\begin{aligned} Eq1: & \forall v \in M. \forall z \in M. \exists y \in M. \forall x \in M. x \in y \longleftrightarrow \\ & x \in z \wedge (\forall A \in M. \forall B \in M. v = \langle A, B \rangle \longrightarrow Q(x, A, B)) \end{aligned}$$

and

$$Eq2: A \in M \ B \in M \ z \in M$$

then have

$$Eq3: \langle A, B \rangle \in M$$

by (*simp del:setclass-iff add:setclass-iff[symmetric]*)

with *Eq1* **have**

$$\begin{aligned} & \forall z \in M. \exists y \in M. \forall x \in M. x \in y \longleftrightarrow \\ & x \in z \wedge (\forall C \in M. \forall D \in M. \langle A, B \rangle = \langle C, D \rangle \longrightarrow Q(x, C, D)) \end{aligned}$$

by (*rule bspec*)

with *uniq-dec-2p* **and** *Eq3* **and** *Eq2* **show**

$$\begin{aligned} & \exists y \in M. \forall x \in M. x \in y \longleftrightarrow \\ & x \in z \wedge Q(x, A, B) \end{aligned}$$

by *simp*

next

fix $v \ z$

assume

$$\begin{aligned} asms: & v \in M \ z \in M \\ & \forall A \in M. \forall B \in M. \forall z \in M. \exists y \in M. \forall x \in M. x \in y \longleftrightarrow x \in z \wedge Q(x, A, \\ & B) \end{aligned}$$

consider

(*a*) $\exists A \in M. \exists B \in M. v = \langle A, B \rangle$ | (*b*) $\forall A \in M. \forall B \in M. v \neq \langle A, B \rangle$ **by**

auto
then show

$$\exists y \in M. \forall x \in M. x \in y \longleftrightarrow x \in z \wedge (\forall A \in M. \forall B \in M. v = \langle A, B \rangle \longrightarrow Q(x, A, B))$$
proof cases
case a
then obtain A B where

$$Eq4: A \in M \ B \in M \ v = \langle A, B \rangle$$
by auto
then have

$$\exists y \in M. \forall x \in M. x \in y \longleftrightarrow x \in z \wedge Q(x, A, B)$$
using asms by simp
then show ?thesis using Eq4 and uniq-dec-2p by simp
next
case b
then have

$$\forall x \in M. x \in z \longleftrightarrow x \in z \wedge (\forall A \in M. \forall B \in M. v = \langle A, B \rangle \longrightarrow Q(x, A, B))$$
by simp
then show ?thesis using b and asms by auto
qed
qed

lemma (in forcing-data) tuples-in-M: $A \in M \implies B \in M \implies \langle A, B \rangle \in M$
by (simp del:setclass-iff add:setclass-iff[symmetric])

lemma uniq-dec-5p: $\langle A', B', C', D', E' \rangle \in M \implies$

$$\forall A \in M. \forall B \in M. \forall C \in M. \forall D \in M. \forall E \in M. \langle A', B', C', D', E' \rangle = \langle A, B, C, D, E \rangle \longrightarrow$$

$$P(x, A, B, C, D, E)$$

$$\longleftrightarrow$$

$$P(x, A', B', C', D', E')$$
by simp

lemma (in forcing-data) tupling-sep-5p-aux :

$$(\forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M.$$

$$\langle A4, A5 \rangle \in M \wedge \langle A3, A4, A5 \rangle \in M \wedge \langle A2, A3, A4, A5 \rangle \in M \wedge$$

$$v = \langle A1, A2, A3, A4, A5 \rangle \longrightarrow$$

$$Q(x, A1, A2, A3, A4, A5))$$

$$\longleftrightarrow$$

$$(\forall A1 \in M. \forall A2 \in M. \forall A3 \in M. \forall A4 \in M. \forall A5 \in M.$$

$$v = \langle A1, A2, A3, A4, A5 \rangle \longrightarrow$$

$$Q(x, A1, A2, A3, A4, A5))$$
 for $x v$
by (auto simp add:tuples-in-M)

lemma (in *forcing-data*) *tupling-sep-5p* :

$(\forall v \in M. \text{separation}(\#\#M, \lambda x. (\forall A1 \in M. \forall A2 \in M. \forall A3 \in M. \forall A4 \in M. \forall A5 \in M.$

$v = \langle A1, \langle A2, \langle A3, \langle A4, A5 \rangle \rangle \rangle \rangle \longrightarrow Q(x, A1, A2, A3, A4, A5)))$

\longleftrightarrow

$(\forall A1 \in M. \forall A2 \in M. \forall A3 \in M. \forall A4 \in M. \forall A5 \in M. \text{separation}(\#\#M, \lambda x. Q(x, A1, A2, A3, A4, A5)))$

proof (*simp add: separation-def, intro ballI iffI*)

fix $A B C D E z$

assume

$Eq1: \forall v \in M. \forall z \in M. \exists y \in M. \forall x \in M. x \in y \longleftrightarrow$

$x \in z \wedge (\forall A \in M. \forall B \in M. \forall C \in M. \forall D \in M. \forall E \in M. v = \langle A, B, C, D, E \rangle$

$\longrightarrow Q(x, A, B, C, D, E))$

and

$Eq2: A \in M B \in M C \in M D \in M E \in M z \in M$

then have

$Eq3: \langle A, B, C, D, E \rangle \in M$

by (*simp del:setclass-iff add:setclass-iff[symmetric]*)

with $Eq1$ **have**

$\forall z \in M. \exists y \in M. \forall x \in M. x \in y \longleftrightarrow$

$x \in z \wedge (\forall A' \in M. \forall B' \in M. \forall C' \in M. \forall D' \in M. \forall E' \in M. \langle A, B, C, D, E \rangle$

$= \langle A', B', C', D', E' \rangle$

$\longrightarrow Q(x, A', B', C', D', E'))$

by (*rule bspec*)

with *uniq-dec-5p* **and** $Eq3$ **and** $Eq2$ **show**

$\exists y \in M. \forall x \in M. x \in y \longleftrightarrow$

$x \in z \wedge Q(x, A, B, C, D, E)$

by *simp*

next

fix $v z$

assume

asms: $v \in M z \in M$

$\forall A \in M. \forall B \in M. \forall C \in M. \forall D \in M. \forall E \in M. \forall z \in M. \exists y \in M.$

$\forall x \in M. x \in y \longleftrightarrow x \in z \wedge Q(x, A, B, C, D, E)$

consider (a) $\exists A \in M. \exists B \in M. \exists C \in M. \exists D \in M. \exists E \in M. v = \langle A, B, C, D, E \rangle$ |

(b) $\forall A \in M. \forall B \in M. \forall C \in M. \forall D \in M. \forall E \in M. v \neq \langle A, B, C, D, E \rangle$ **by** *blast*

then show

$\exists y \in M. \forall x \in M. x \in y \longleftrightarrow x \in z \wedge$

$(\forall A \in M. \forall B \in M. \forall C \in M. \forall D \in M. \forall E \in M. v = \langle A, B, C, D, E \rangle$

$\longrightarrow Q(x, A, B, C, D, E))$

proof *cases*

case a

then obtain $A B C D E$ **where**

$Eq4: A \in M B \in M C \in M D \in M E \in M v = \langle A, B, C, D, E \rangle$

by *auto*

then have

$\exists y \in M. \forall x \in M. x \in y \longleftrightarrow x \in z \wedge Q(x, A, B, C, D, E)$

using *asms* **by** *simp*

then show *?thesis* **using** $Eq4$ **by** *simp*

next
case b
then have
 $\forall x \in M. x \in z \iff x \in z \wedge$
 $(\forall A \in M. \forall B \in M. \forall C \in M. \forall D \in M. \forall E \in M. v = \langle A, B, C, D, E \rangle \longrightarrow$
 $Q(x, A, B, C, D, E))$
by *simp*
then show *?thesis using b and asms by auto*
qed
qed

lemma (in *forcing-data*) *tupling-sep-5p-rel* :

$(\forall v \in M. \text{separation}(\#\#M, \lambda x. (\forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M.$

$\forall B1 \in M. \forall B2 \in M. \forall B3 \in M.$
 $\text{pair}(\#\#M, A4, A5, B1) \ \&$
 $\text{pair}(\#\#M, A3, B1, B2) \ \&$
 $\text{pair}(\#\#M, A2, B2, B3) \ \&$
 $\text{pair}(\#\#M, A1, B3, v)$
 $\longrightarrow Q(x, A1, A2, A3, A4, A5)))$

\iff

$(\forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M. \text{separation}(\#\#M, \lambda x. Q(x, A1, A2, A3, A4, A5)))$

proof (*simp*)

have

$(\forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M.$

$\langle A4, A5 \rangle \in M \wedge \langle A3, A4, A5 \rangle \in M \wedge \langle A2, A3, A4, A5 \rangle \in M \wedge v =$

$\langle A1, A2, A3, A4, A5 \rangle \longrightarrow$

$Q(x, A1, A2, A3, A4, A5))$

\iff

$(\forall A1 \in M. \forall A2 \in M. \forall A3 \in M. \forall A4 \in M. \forall A5 \in M.$

$v = \langle A1, A2, A3, A4, A5 \rangle \longrightarrow$

$Q(x, A1, A2, A3, A4, A5))$ **for** $x \ v$

by (*rule tupling-sep-5p-aux*)

then have

$(\forall v \in M. \text{separation}$

$(\#\#M,$

$\lambda x. \forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M.$

$\langle A4, A5 \rangle \in M \wedge \langle A3, A4, A5 \rangle \in M \wedge \langle A2, A3, A4, A5 \rangle \in M \wedge v =$

$\langle A1, A2, A3, A4, A5 \rangle \longrightarrow$

$Q(x, A1, A2, A3, A4, A5)))$

\iff

$(\forall v \in M. \text{separation}$

$(\#\#M,$

$\lambda x. \forall A1 \in M. \forall A2 \in M. \forall A3 \in M. \forall A4 \in M. \forall A5 \in M.$

$v = \langle A1, A2, A3, A4, A5 \rangle \longrightarrow$

$Q(x, A1, A2, A3, A4, A5)))$

by *simp*

also have

... \longleftrightarrow
 $(\forall A1 \in M. \forall A2 \in M. \forall A3 \in M. \forall A4 \in M. \forall A5 \in M. \text{separation}(\#\#M, \lambda x. Q(x, A1, A2, A3, A4, A5)))$
using *tupling-sep-5p by simp*
finally show
 $(\forall v \in M. \text{separation}$
 $(\#\#M,$
 $\lambda x. \forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M.$
 $\langle A4, A5 \rangle \in M \wedge \langle A3, A4, A5 \rangle \in M \wedge \langle A2, A3, A4, A5 \rangle \in M \wedge v = \langle A1, A2,$
 $A3, A4, A5 \rangle \longrightarrow$
 $Q(x, A1, A2, A3, A4, A5))) \longleftrightarrow$
 $(\forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M. \text{separation}(\#\#M, \lambda x. Q(x,$
 $A1, A2, A3, A4, A5)))$
by auto
qed

lemma (in forcing-data) tupling-sep-5p-rel2 :

$(\forall v \in M. \text{separation}(\#\#M, \lambda x. (\forall B3 \in M. \forall B2 \in M. \forall B1 \in M.$
 $\forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M. \forall A1 \in M.$
 $\text{pair}(\#\#M, A4, A5, B1) \ \&$
 $\text{pair}(\#\#M, A3, B1, B2) \ \&$
 $\text{pair}(\#\#M, A2, B2, B3) \ \&$
 $\text{pair}(\#\#M, A1, B3, v)$
 $\longrightarrow Q(x, A1, A2, A3, A4, A5))))$

\longleftrightarrow
 $(\forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M. \forall A1 \in M. \text{separation}(\#\#M, \lambda x. Q(x, A1, A2, A3, A4, A5)))$

proof –

have

$(\forall B3 \in M. \forall B2 \in M. \forall B1 \in M.$
 $\forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M. \forall A1 \in M.$
 $\text{pair}(\#\#M, A4, A5, B1) \ \&$
 $\text{pair}(\#\#M, A3, B1, B2) \ \&$
 $\text{pair}(\#\#M, A2, B2, B3) \ \&$
 $\text{pair}(\#\#M, A1, B3, v)$
 $\longrightarrow Q(x, A1, A2, A3, A4, A5))$

\longleftrightarrow

$(\forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M.$
 $\forall B1 \in M. \forall B2 \in M. \forall B3 \in M.$
 $\text{pair}(\#\#M, A4, A5, B1) \ \&$
 $\text{pair}(\#\#M, A3, B1, B2) \ \&$
 $\text{pair}(\#\#M, A2, B2, B3) \ \&$
 $\text{pair}(\#\#M, A1, B3, v)$
 $\longrightarrow Q(x, A1, A2, A3, A4, A5))$

(is ?P \longleftrightarrow ?Q) for $x \ v$

by auto

then have

$\text{separation}(\#\#M, \lambda x. ?P(x, v)) \longleftrightarrow \text{separation}(\#\#M, \lambda x. ?Q(x, v))$ **for v**

by auto

then have

$(\forall v \in M. \text{separation}(\#\#M, \lambda x. ?P(x, v)))$

\longleftrightarrow
 $(\forall v \in M. \text{separation}(\#\#M, \lambda x. ?Q(x, v)))$
by *blast*
also have
 $\dots \longleftrightarrow (\forall A1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M. \text{separation}(\#\#M, \lambda x. Q(x, A1, A2, A3, A4, A5)))$
using *tupling-sep-5p-rel* **by** *simp*
finally show *?thesis* **by** *auto*
qed

definition

tupling-fm-2p :: $i \Rightarrow i$ **where**
tupling-fm-2p(φ) = *Forall*(*Forall*(*Implies*(*pair-fm*(1, 0, 3), φ)))

lemma [TC] : $\llbracket \varphi \in \text{formula} \rrbracket \Longrightarrow \text{tupling-fm-2p}(\varphi) \in \text{formula}$
by (*simp add: tupling-fm-2p-def*)

lemma *arity-tup2p* :

$\llbracket \varphi \in \text{formula} ; \text{arity}(\varphi) = 3 \rrbracket \Longrightarrow \text{arity}(\text{tupling-fm-2p}(\varphi)) = 2$
by (*simp add: tupling-fm-2p-def arity-incr-bv-lemma pair-fm-def upair-fm-def Un-commute nat-union-abs1*)

definition

tupling-fm-5p :: $i \Rightarrow i$ **where**
tupling-fm-5p(φ) =
Forall(*Forall*(*Forall*(*Forall*(*Forall*(*Forall*(*Forall*(*Forall*(*Forall*(*Implies*(*And*(*pair-fm*(3, 4, 5),
And(*pair-fm*(2, 5, 6),
And(*pair-fm*(1, 6, 7),
pair-fm(0, 7, 9))), φ)))))))))

lemma [TC] : $\llbracket \varphi \in \text{formula} \rrbracket \Longrightarrow \text{tupling-fm-5p}(\varphi) \in \text{formula}$
by (*simp add: tupling-fm-5p-def*)

lemma *arity-tup5p* :

$\llbracket \varphi \in \text{formula} ; \text{arity}(\varphi) = 9 \rrbracket \Longrightarrow \text{arity}(\text{tupling-fm-5p}(\varphi)) = 2$
by (*simp add: tupling-fm-5p-def arity-incr-bv-lemma pair-fm-def upair-fm-def Un-commute nat-union-abs1*)

lemma *leq-9*:

$n \leq 9 \Longrightarrow n = 0 \mid n = 1 \mid n = 2 \mid n = 3 \mid n = 4 \mid n = 5 \mid n = 6 \mid n = 7 \mid n = 8 \mid n = 9$
by (*clarsimp simp add: not-lt-iff-le, auto simp add: lt-def*)

lemma *arity-tup5p-leq* :

$\llbracket \varphi \in \text{formula} ; \text{arity}(\varphi) \leq 9 \rrbracket \Longrightarrow \text{arity}(\text{tupling-fm-5p}(\varphi)) = 2$
by (*drule leq-9, elim disjE, simp-all add: tupling-fm-5p-def arity-incr-bv-lemma pair-fm-def*)

upair-fm-def Un-commute nat-union-abs1)

lemma *arity-inter-fm* :

$arity(Forall(Implies(Member(0,2),Member(1,0)))) = 2$

by (*simp add: Un-commute nat-union-abs1*)

lemma (*in forcing-data*) *inter-sep-intf* :

assumes

$A \in M$

shows

$separation(\#\#M, \lambda x . \forall y \in M . y \in A \longrightarrow x \in y)$

proof –

from *separation-ax arity-inter-fm* **have**

$\forall a \in M . separation(\#\#M, \lambda x . sats(M, Forall(Implies(Member(0,2),Member(1,0))), [x, a]))$

by *simp*

with $\langle A \in M \rangle$ **have**

$separation(\#\#M, \lambda x . sats(M, Forall(Implies(Member(0,2),Member(1,0))), [x, A]))$

by *simp*

with $\langle A \in M \rangle$ **show** *?thesis unfolding separation-def by simp*

qed

lemma *arity-diff-fm*:

$arity(Neg(Member(0,1))) = 2$

by (*simp add: nat-union-abs1*)

lemma (*in forcing-data*) *diff-sep-intf* :

assumes

$B \in M$

shows

$separation(\#\#M, \lambda x . x \notin B)$

proof –

from *separation-ax arity-diff-fm* **have**

$\forall a \in M . separation(\#\#M, \lambda x . sats(M, Neg(Member(0,1))), [x, a]))$

by *simp*

with $\langle B \in M \rangle$ **have**

$separation(\#\#M, \lambda x . sats(M, Neg(Member(0,1))), [x, B]))$

by *simp*

with $\langle B \in M \rangle$ **show** *?thesis unfolding separation-def by simp*

qed

definition

cartprod-sep-fm :: *i* **where**
cartprod-sep-fm ==
 $Exists(And(Member(0,2),$
 $Exists(And(Member(0,2),pair-fm(1,0,4))))))$

lemma *cartprof-sep-fm-type* [TC] :

cartprod-sep-fm ∈ *formula*
by (*simp add: cartprod-sep-fm-def*)

lemma *arity-cartprod-fm* [*simp*] : *arity*(*cartprod-sep-fm*) = 3

by (*simp add: cartprod-sep-fm-def pair-fm-def upair-fm-def*
 $Un-commute\ nat-union-abs1$)

lemma (**in** *forcing-data*) *cartprod-sep-intf* :

assumes

$A \in M$

and

$B \in M$

shows

$separation(\#\#M, \lambda z. \exists x \in M. x \in A \wedge (\exists y \in M. y \in B \wedge pair(\#\#M, x, y, z)))$

proof –

from *separation-ax arity-tup2p* **have**

$(\forall v \in M. separation(\#\#M, \lambda x. sats(M, tupling-fm-2p(cartprod-sep-fm), [x, v])))$

by *simp*

then have

$(\forall v \in M. separation(\#\#M, \lambda x. \forall A \in M. \forall B \in M. pair(\#\#M, A, B, v) \longrightarrow$
 $(\exists xa \in M. xa \in A \wedge (\exists y \in M. y \in B \wedge pair(\#\#M, xa, y, x))))))$

unfolding *separation-def tupling-fm-2p-def cartprod-sep-fm-def* **by** (*simp del: pair-abs*)

with *tupling-sep-2p* **have**

$(\forall A \in M. \forall B \in M. separation(\#\#M, \lambda z. \exists x \in M. x \in A \wedge (\exists y \in M. y \in B \wedge pair(\#\#M, x, y, z))))$

by *simp*

with $\langle A \in M \rangle \langle B \in M \rangle$ **show** *?thesis* **by** *simp*

qed

definition

image-sep-fm :: *i* **where**
image-sep-fm ==
 $Exists(And(Member(0,1),$
 $Exists(And(Member(0,3),pair-fm(0,4,1))))))$

lemma *image-sep-fm-type* [TC] :

image-sep-fm ∈ *formula*

by (*simp add: image-sep-fm-def*)

lemma [*simp*] : *arity*(*image-sep-fm*) = 3
by (*simp add: image-sep-fm-def pair-fm-def upair-fm-def*
Un-commute nat-union-abs1)

lemma (**in** *forcing-data*) *image-sep-intf* :

assumes

$A \in M$

and

$r \in M$

shows

$\text{separation}(\#\#M, \lambda y. \exists p \in M. p \in r \ \& \ (\exists x \in M. x \in A \ \& \ \text{pair}(\#\#M, x, y, p)))$

proof –

from *separation-ax arity-tup2p* **have**

$(\forall v \in M. \text{separation}(\#\#M, \lambda x. \text{sats}(M, \text{tupling-fm-2p}(\text{image-sep-fm}), [x, v])))$

by *simp*

then have

$(\forall v \in M. \text{separation}(\#\#M, \lambda x. \forall A \in M. \forall B \in M. \text{pair}(\#\#M, A, B, v) \longrightarrow$
 $(\exists p \in M. p \in B \ \wedge \ (\exists xa \in M. xa \in A \ \wedge \ \text{pair}(\#\#M, xa, x, p))))))$

unfolding *separation-def tupling-fm-2p-def image-sep-fm-def* **by** (*simp del: pair-abs*)

with *tupling-sep-2p* **have**

$(\forall A \in M. \forall r \in M. \text{separation}(\#\#M, \lambda y. \exists p \in M. p \in r \ \& \ (\exists x \in M. x \in A \ \& \ \text{pair}(\#\#M, x, y, p))))$

by *simp*

with $\langle A \in M \rangle \langle r \in M \rangle$ **show** *?thesis* **by** *simp*

qed

definition

converse-sep-fm :: *i* **where**

converse-sep-fm ==

$\text{Exists}(\text{And}(\text{Member}(0, 2),$

$\text{Exists}(\text{Exists}(\text{And}(\text{pair-fm}(1, 0, 2), \text{pair-fm}(0, 1, 3))))))$

lemma *converse-sep-fm-type* [*TC*] : *converse-sep-fm* \in *formula*

by (*simp add: converse-sep-fm-def*)

lemma [*simp*] : *arity*(*converse-sep-fm*) = 2

by (*simp add: converse-sep-fm-def pair-fm-def upair-fm-def*

Un-commute nat-union-abs1)

lemma (**in** *forcing-data*) *converse-sep-intf* :

assumes

$R \in M$

shows

$\text{separation}(\#\#M, \lambda z. \exists p \in M. p \in R \ \& \ (\exists x \in M. \exists y \in M. \text{pair}(\#\#M, x, y, p) \ \& \ \text{pair}(\#\#M, y, x, z)))$

proof –

from *separation-ax* **have**
 $\forall r \in M. \text{separation}(\#\#M, \lambda x. \text{sats}(M, \text{converse-sep-fm}, [x, r]))$
by *simp*
with $\langle R \in M \rangle$ **have**
 $\text{separation}(\#\#M, \lambda x. \text{sats}(M, \text{converse-sep-fm}, [x, R]))$
by *simp*
with $\langle R \in M \rangle$ **show** *?thesis* **unfolding** *separation-def converse-sep-fm-def* **by**
(simp del: pair-abs)
qed

definition

restrict-sep-fm :: *i* **where**
restrict-sep-fm == *Exists(And(Member(0,2),Exists(pair-fm(1,0,2))))*

lemma *restrict-sep-fm-type* [TC] : *restrict-sep-fm* \in *formula*
by *(simp add: restrict-sep-fm-def)*

lemma [*simp*] : *arity(restrict-sep-fm)* = 2
by *(simp add: restrict-sep-fm-def pair-fm-def upair-fm-def Un-commute nat-union-abs1)*

lemma (**in** *forcing-data*) *restrict-sep-intf* :

assumes

$A \in M$

shows

$\text{separation}(\#\#M, \lambda z. \exists x \in M. x \in A \ \& \ (\exists y \in M. \text{pair}(\#\#M, x, y, z)))$

proof –

from *separation-ax* **have**

$\forall a \in M. \text{separation}(\#\#M, \lambda x. \text{sats}(M, \text{restrict-sep-fm}, [x, a]))$

by *simp*

with $\langle A \in M \rangle$ **have**

$\text{separation}(\#\#M, \lambda x. \text{sats}(M, \text{restrict-sep-fm}, [x, A]))$

by *simp*

with $\langle A \in M \rangle$ **show** *?thesis* **unfolding** *separation-def restrict-sep-fm-def* **by** *(simp del: pair-abs)*

qed

definition

comp-sep-fm :: *i* **where**

comp-sep-fm ==

$\text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{Exists}(\text{And}(\text{pair-fm}(4,2,7), \text{And}(\text{pair-fm}(4,3,1), \text{And}(\text{pair-fm}(3,2,0), \text{And}(\text{Member}(1,5), \text{Member}(0,6))))))))))$

lemma *comp-sep-fm-type* [TC] : *comp-sep-fm* \in *formula*

by *(simp add: comp-sep-fm-def)*

lemma $[simp]$: $arity(comp-sep-fm) = 3$
by ($simp$ $add: comp-sep-fm-def$ $pair-fm-def$ $upair-fm-def$ $Un-commute$ $nat-union-abs1$)

lemma (in $forcing-data$) $comp-sep-intf$:

assumes

$R \in M$

and

$S \in M$

shows

$separation(\#\#M, \lambda xz. \exists x \in M. \exists y \in M. \exists z \in M. \exists xy \in M. \exists yz \in M.$

$pair(\#\#M, x, z, xz) \ \& \ pair(\#\#M, x, y, xy) \ \& \ pair(\#\#M, y, z, yz) \ \& \ xy \in S$

$\ \& \ yz \in R)$

proof –

from $separation-ax$ $arity-tup2p$ **have**

$(\forall v \in M. separation(\#\#M, \lambda x. sats(M, tupling-fm-2p(comp-sep-fm), [x, v])))$

by $simp$

then have

$(\forall v \in M. separation$

$(\#\#M, \lambda x. \forall A \in M. \forall B \in M. pair(\#\#M, A, B, v) \longrightarrow$

$(\exists xa \in M. \exists y \in M. \exists z \in M. \exists xy \in M. \exists yz \in M. pair(\#\#M,$

$xa, z, x) \wedge$

$pair(\#\#M, xa, y, xy) \wedge pair(\#\#M, y, z, yz) \wedge xy \in B \wedge yz \in A))$

unfolding $separation-def$ $tupling-fm-2p-def$ $comp-sep-fm-def$ **by** ($simp$ $del: pair-abs$)

with $tupling-sep-2p$ **have**

$(\forall r \in M. \forall s \in M. separation$

$(\#\#M, \lambda xz. \exists x \in M. \exists y \in M. \exists z \in M. \exists xy \in M. \exists yz \in M. pair(\#\#M, x, z,$

$xz) \wedge$

$pair(\#\#M, x, y, xy) \wedge pair(\#\#M, y, z, yz) \wedge xy \in$

$s \wedge yz \in r))$

by $simp$

with $\langle S \in M \rangle$ $\langle R \in M \rangle$ **show** $?thesis$ **by** $simp$

qed

lemma $arity-pred-fm$ $[simp]$:

$arity(Exists(And(Member(0,2),pair-fm(3,1,0)))) = 3$

by ($simp$ $add: pair-fm-def$ $upair-fm-def$ $Un-commute$ $nat-union-abs1$)

lemma (in $forcing-data$) $pred-sep-intf$:

assumes

$R \in M$

and

$X \in M$

shows

$separation(\#\#M, \lambda y. \exists p \in M. p \in R \ \& \ pair(\#\#M, y, X, p))$

proof –

from $separation-ax$ $arity-tup2p$ $arity-pred-fm$ **have**

$(\forall v \in M. separation(\#\#M, \lambda x. sats(M, tupling-fm-2p(Exists(And(Member(0,2),$

$pair\text{-}fm(3,1,0)))]], [x, v]))))$

by *simp*
then have
 $(\forall v \in M. separation(\#\#M, \lambda x. \forall A \in M. \forall B \in M. pair(\#\#M, A, B, v) \longrightarrow$
 $(\exists p \in M. p \in A \wedge pair(\#\#M, x, B,$
 $p))))$
unfolding *separation-def tupling-fm-2p-def* **by** (*simp del: pair-abs*)
with *tupling-sep-2p* **have**
 $\forall r \in M. \forall x \in M. separation(\#\#M, \lambda y. \exists p \in M. p \in r \ \& \ pair(\#\#M, y, x, p))$
by *simp*
with $\langle R \in M \rangle \langle X \in M \rangle$ **show** *?thesis* **by** *simp*
qed

definition

memrel-fm :: *i* **where**
memrel-fm == *Exists(Exists(And(pair-fm(1,0,2), Member(1,0))))*

lemma [*TC*] : *memrel-fm* \in *formula*

by (*simp add: memrel-fm-def*)

lemma [*simp*] : *arity(memrel-fm)* = 1

by (*simp add: memrel-fm-def pair-fm-def upair-fm-def Un-commute nat-union-abs1*)

lemma (**in** *forcing-data*) *memrel-sep-intf*:

separation(\#\#M, \lambda z. \exists x \in M. \exists y \in M. pair(\#\#M, x, y, z) \ \& \ x \in y)

proof –

from *separation-ax* **have**

$(\forall v \in M. separation(\#\#M, \lambda x. sats(M, memrel\text{-}fm, [x, v])))$

by *simp*

then have

$(\forall v \in M. separation(\#\#M, \lambda z. \exists x \in M. \exists y \in M. pair(\#\#M, x, y, z) \ \& \ x \in y))$

unfolding *separation-def memrel-fm-def* **by** (*simp del: pair-abs*)

with *zero-in-M* **show** *?thesis* **by** *auto*

qed

definition

is-recfun-sep-fm :: *i* **where**

is-recfun-sep-fm ==

Exists(Exists(And(pair-fm(10,3,1), And(Member(1,6), And(pair-fm(10,2,0), And(Member(0,6),
 $Exists(Exists(And(fun\text{-}apply\text{-}fm(7,12,1),$
 $And(fun\text{-}apply\text{-}fm(6,12,0), Neg(Equal(1,0)))))))))))))$

lemma *is-recfun-sep-fm* [*TC*] : *is-recfun-sep-fm* \in *formula*

by (*simp add: is-recfun-sep-fm-def*)

lemma $[simp]$: $arity(is-recfun-sep-fm) = 9$
by ($simp$ $add: is-recfun-sep-fm-def fun-apply-fm-def upair-fm-def$
 $image-fm-def big-union-fm-def pair-fm-def Un-commute nat-union-abs1$)

lemma (in $forcing-data$) $is-recfun-sep-intf$:

assumes

$r \in M$ $f \in M$ $g \in M$ $a \in M$ $b \in M$

shows

$separation(\#\#M, \lambda x. \exists xa \in M. \exists xb \in M.$

$pair(\#\#M, x, a, xa) \ \& \ xa \in r \ \& \ pair(\#\#M, x, b, xb) \ \& \ xb \in r \ \&$

$(\exists fx \in M. \exists gx \in M. fun-apply(\#\#M, f, x, fx) \ \& \ fun-apply(\#\#M, g, x, gx)$

$\&$

$fx \neq gx))$

proof –

from $separation-ax$ $arity-tup5p$ **have**

$(\forall v \in M. separation(\#\#M, \lambda x. sats(M, tupling-fm-5p(is-recfun-sep-fm), [x, v])))$

by $simp$

then have

$(\forall v \in M. separation$

$(\#\#M, \lambda x. \forall B3 \in M. \forall B2 \in M. \forall B1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M.$

$\forall A2 \in M.$

$\forall A1 \in M. pair(\#\#M, A4, A5, B1) \ \wedge \ pair(\#\#M, A3, B1, B2)$

$\wedge \ pair(\#\#M, A2, B2, B3) \ \wedge$

$pair(\#\#M, A1, B3, v) \ \longrightarrow$

$(\exists xa \in M. \exists xb \in M. pair(\#\#M, x, A2, xa) \ \wedge \ xa \in A5 \ \wedge \ pair(\#\#M, x,$

$A1, xb) \ \wedge \ xb \in A5 \ \wedge$

$(\exists fx \in M. \exists gx \in M. fun-apply(\#\#M, A4, x, fx) \ \wedge \ fun-apply(\#\#M, A3,$

$x, gx) \ \wedge \ fx \neq gx)))$

unfolding $separation-def$ $tupling-fm-5p-def$ $is-recfun-sep-fm-def$ **by** ($simp$ $del:$

$pair-abs$)

with $tupling-sep-5p-rel2$ **have**

$(\forall r \in M. \forall f \in M. \forall g \in M. \forall a \in M. \forall b \in M.$

$separation(\#\#M, \lambda x. \exists xa \in M. \exists xb \in M.$

$pair(\#\#M, x, a, xa) \ \& \ xa \in r \ \& \ pair(\#\#M, x, b, xb) \ \& \ xb \in r \ \&$

$(\exists fx \in M. \exists gx \in M. fun-apply(\#\#M, f, x, fx) \ \& \ fun-apply(\#\#M, g, x, gx)$

$\&$

$fx \neq gx)))$

by $simp$

with $\langle r \in M \rangle$ $\langle f \in M \rangle$ $\langle g \in M \rangle$ $\langle a \in M \rangle$ $\langle b \in M \rangle$ **show** $?thesis$ **by** $simp$

qed

definition

$sixp-sep-perm :: i$ **where**

$sixp-sep-perm == \{ \langle 0, 8 \rangle, \langle 1, 0 \rangle, \langle 2, 1 \rangle, \langle 3, 2 \rangle, \langle 4, 3 \rangle, \langle 5, 4 \rangle \}$

lemma $sixp-perm-ffc$: $sixp-sep-perm \in 6 -||> 9$

by($unfold$ $sixp-sep-perm-def$, ($rule$ $consI$, $auto$)+, $rule$ $emptyI$)

```

lemma dom-sixp-perm : domain(sixp-sep-perm) = 6
  by(unfold sixp-sep-perm-def,auto)

lemma sixp-perm-tc : sixp-sep-perm ∈ 6 → 9
  by(subst dom-sixp-perm[symmetric],rule FiniteFun-is-fun,rule sixp-perm-ftc)

lemma apply-fun: f ∈ Pi(A,B) ==> <a,b>: f ==> f'a = b
  by(auto simp add: apply-iff)

lemma sixp-perm-env :
  {x,a1,a2,a3,a4,a5} ⊆ A ==> j<6 ==>
  nth(j,[x,a1,a2,a3,a4,a5]) = nth(sixp-sep-perm'j,[a1,a2,a3,a4,a5,b1,b2,b3,x,v])
  apply(subgoal-tac j∈nat)
  apply(rule natE,simp,subst apply-fun,rule sixp-perm-tc,simp add:sixp-sep-perm-def,simp+)+
  apply(subst apply-fun,rule sixp-perm-tc,simp add:sixp-sep-perm-def,simp+,drule
  ltD,auto)
  done

lemma (in forcing-data) sixp-sep:
  assumes
    φ ∈ formula arity(φ)≤6 a1∈M a2∈M a3∈M a4∈M a5∈M
  shows
    separation(##M,λx. sats(M,φ,[x,a1,a2,a3,a4,a5]))
proof –
  let
    ?f=sixp-sep-perm
  let
    ?φ'=ren(φ)'6'9'?f
  from assms have
    arity(?φ')≤9 ?φ' ∈ formula
  using sixp-perm-tc ren-arity ren-tc by simp-all
  then have
    (∀ v∈M. separation(##M,λx. sats(M,tupling-fm-5p(?φ'),[x,v])))
  using separation-ax arity-tup5p-leq by simp
  then have
    Eq1: (∀ v∈M. separation
      (##M, λx. ∀ B3∈M. ∀ B2∈M. ∀ B1∈M. ∀ A5∈M. ∀ A4∈M. ∀ A3∈M.
      ∀ A2∈M.
        ∀ A1∈M. pair(##M, A4, A5, B1) ∧ pair(##M, A3, B1, B2)
      ∧ pair(##M, A2, B2, B3) ∧
        pair(##M, A1, B3, v) →
        sats(M,?φ',[A1,A2,A3,A4,A5,B1,B2,B3,x,v])))
  (is ∀ v∈M. separation(-, λx. ?P(x,v)))
  unfolding separation-def tupling-fm-5p-def by (simp del: pair-abs)
  {
  fix B1 B2 B3 A1 A2 A3 A4 A5 x v
  assume
    x∈M v∈M

```

$B3 \in M \ B2 \in M \ B1 \in M \ A5 \in M \ A4 \in M \ A3 \in M \ A2 \in M \ A1 \in M$
with *assms* **have**
 $sats(M, ?\varphi', [A1, A2, A3, A4, A5, B1, B2, B3, x, v]) \longleftrightarrow sats(M, \varphi, [x, A1, A2, A3, A4, A5])$

(is $sats(-, -, ?env1) \longleftrightarrow sats(-, -, ?env2)$
using *sats-iff-sats-ren*[*of* φ 6 9 *?env2* *M* *?env1* *?f*] *sixp-perm-tc sixp-perm-env*
[*of* - - - - - *M*]
by *auto*
}
then have
 $Eq2: x \in M \implies v \in M \implies ?P(x, v) \longleftrightarrow (\forall B3 \in M. \forall B2 \in M. \forall B1 \in M. \forall A5 \in M. \forall A4 \in M. \forall A3 \in M. \forall A2 \in M. \forall A1 \in M. pair(\#\#M, A4, A5, B1) \wedge pair(\#\#M, A3, B1, B2) \wedge pair(\#\#M, A2, B2, B3) \wedge pair(\#\#M, A1, B3, v) \longrightarrow sats(M, \varphi, [x, A1, A2, A3, A4, A5]))$ **(is** $- \implies - \implies - \longleftrightarrow ?Q(x, v)$ **for** x
 v
by (*simp del: pair-abs*)
define *PP* **where** $PP \equiv ?P$
define *QQ* **where** $QQ \equiv ?Q$
from *Eq2* **have**
 $x \in M \implies v \in M \implies PP(x, v) \longleftrightarrow QQ(x, v)$ **for** $x \ v$
unfolding *PP-def* *QQ-def* .
then have
 $v \in M \implies (\forall z[\#\#M]. \exists y[\#\#M]. \forall x[\#\#M]. x \in y \longleftrightarrow x \in z \wedge PP(x, v)) \longleftrightarrow (\forall z[\#\#M]. \exists y[\#\#M]. \forall x[\#\#M]. x \in y \longleftrightarrow x \in z \wedge QQ(x, v))$ **for** v **by**
(*simp del: pair-abs*)
with *Eq1* **have**
 $(\forall v \in M. separation(\#\#M, \lambda x. QQ(x, v)))$
unfolding *separation-def* *PP-def* **by** (*simp del: pair-abs*)
with *assms* **show** *?thesis* **unfolding** *QQ-def* **using** *tupling-sep-5p-rel2* **by** *simp*
qed

definition

$is-cons-fm :: i \Rightarrow i \Rightarrow i \Rightarrow i$ **where**
 $is-cons-fm(a, b, z) == Exists(And(upair-fm(succ(a), succ(a), 0), union-fm(0, succ(b), succ(z))))$

lemma *is-cons-type* [*TC*]:

$[[x \in nat; y \in nat; z \in nat]] ==> is-cons-fm(x, y, z) \in formula$
by (*simp add: is-cons-fm-def*)

lemma *is-cons-fm* [*simp*] :

$[[a \in nat ; b \in nat ; z \in nat ; env \in list(A)]] \implies$
 $sats(A, is-cons-fm(a, b, z), env) \longleftrightarrow$
 $is-cons(\#\#A, nth(a, env), nth(b, env), nth(z, env))$

by (simp add: is-cons-fm-def is-cons-def)

definition

funspace-succ-fm :: i **where**

funspace-succ-fm ==

Exists(Exists(Exists(Exists(And(pair-fm(3,2,4),And(pair-fm(6,2,1),
And(is-cons-fm(1,3,0),upair-fm(0,0,5))))))))))

lemma funspace-succ-fm-type [TC] :

funspace-succ-fm ∈ formula

by (simp add: funspace-succ-fm-def)

lemma [simp] : arity(funspace-succ-fm) = 3

by (simp add: funspace-succ-fm-def pair-fm-def upair-fm-def is-cons-fm-def
union-fm-def Un-commute nat-union-abs1)

lemma (in forcing-data) funspace-succ-rep-intf :

assumes

$n \in M$

shows

strong-replacement(##M,

$\lambda p z. \exists f \in M. \exists b \in M. \exists nb \in M. \exists cnbf \in M.$

$pair(##M, f, b, p) \ \& \ pair(##M, n, b, nb) \ \& \ is-cons(##M, nb, f, cnbf)$

&

$upair(##M, cnbf, cnbf, z)$)

proof –

from replacement-ax **have**

$(\forall a \in M. strong-replacement(##M, \lambda x y. sats(M, funspace-succ-fm, [x, y, a])))$

by simp

then have

$(\forall n \in M. strong-replacement(##M,$

$\lambda p z. \exists f \in M. \exists b \in M. \exists nb \in M. \exists cnbf \in M.$

$pair(##M, f, b, p) \ \& \ pair(##M, n, b, nb) \ \& \ is-cons(##M, nb, f, cnbf)$

&

$upair(##M, cnbf, cnbf, z)$)

unfolding funspace-succ-fm-def strong-replacement-def univalent-def **by** (simp
del: pair-abs)

with $\langle n \in M \rangle$ **show** ?thesis **by** simp

qed

lemmas (in forcing-data) M-basic-sep-instances =

inter-sep-intf diff-sep-intf cartprod-sep-intf

image-sep-intf converse-sep-intf restrict-sep-intf

pred-sep-intf memrel-sep-intf comp-sep-intf is-recfun-sep-intf

```

sublocale forcing-data  $\subseteq$  M-basic ##M
  apply (insert trans-M zero-in-M power-ax)
  apply (rule M-basic.intro,rule mtriv)
  apply (rule M-basic-axioms.intro)
  apply (insert M-basic-sep-instances funspace-succ-rep-intf)
  apply (simp-all)
done

```

```

end
theory Recursion-Thms imports ZF.WF begin

```

```

lemma fld-restrict-eq :  $a \in A \implies (r \cap A * A) - \{a\} = (r - \{a\}) \cap A$ 
  by(force)

```

```

lemma fld-restrict-mono :  $\text{relation}(r) \implies A \subseteq B \implies r \cap A * A \subseteq r \cap B * B$ 
  by(auto)

```

```

lemma fld-restrict-dom :
  assumes  $\text{relation}(r)$   $\text{domain}(r) \subseteq A$   $\text{range}(r) \subseteq A$ 
  shows  $r \cap A * A = r$ 
  proof (rule equalityI,blast,rule subsetI)
    { fix x
      assume  $xr: x \in r$ 
      from  $xr$  assms have  $\exists a b . x = \langle a, b \rangle$  by (simp add: relation-def)
      then obtain a b where  $\langle a, b \rangle \in r$   $\langle a, b \rangle \in r \cap A * A$   $x \in r \cap A * A$ 
        using  $assms$   $xr$ 
        by force
      then have  $x \in r \cap A * A$  by simp
    }
  then show  $x \in r \implies x \in r \cap A * A$  for x .
qed

```

```

definition tr-down ::  $[i,i] \Rightarrow i$ 
  where  $\text{tr-down}(r,a) = (r^+)^- \{a\}$ 

```

```

lemma tr-downD :  $x \in \text{tr-down}(r,a) \implies \langle x,a \rangle \in r^+$ 
  by (simp add: tr-down-def vimage-singleton-iff)

```

```

lemma pred-down :  $\text{relation}(r) \implies r - \{a\} \subseteq \text{tr-down}(r,a)$ 
  by(simp add: tr-down-def vimage-mono r-subset-trancl)

```

```

lemma tr-down-mono :  $\text{relation}(r) \implies x \in r - \{a\} \implies \text{tr-down}(r,x) \subseteq \text{tr-down}(r,a)$ 
  by(rule subsetI,simp add:tr-down-def,auto dest: underD,force simp add: underI
  r-into-trancl trancl-trans)

```

```

lemma rest-eq :
  assumes  $\text{relation}(r)$  and  $r - \{a\} \subseteq B$  and  $a \in B$ 

```


shows $r - \{a\} = (r \cap B * B) - \{a\}$
proof
 { **fix** x
 assume $x \in r - \{a\}$
 then have $x \in B$ **using** *assms* **by** (*simp add: subsetD*)
 from $\langle x \in r - \{a\} \rangle$ **underD** **have** $\langle x, a \rangle \in r$ **by** *simp*
 then have $x \in (r \cap B * B) - \{a\}$ **using** $\langle x \in B \rangle \langle a \in B \rangle$ **underI** **by** *simp*
 }
then show $r - \{a\} \subseteq (r \cap B * B) - \{a\}$ **by** *auto*
next
from *vimage-mono assms*
show $(r \cap B * B) - \{a\} \subseteq r - \{a\}$ **by** *auto*
qed

lemma *wfrec-restr-eq* : $r' = r \cap A * A \implies wfrec[A](r, a, H) = wfrec(r', a, H)$
by (*simp add: wfrec-on-def*)

lemma *wfrec-restr* :

assumes *rr: relation(r)* **and** *wfr: wf(r)*
shows $a \in A \implies tr\text{-down}(r, a) \subseteq A \implies wfrec(r, a, H) = wfrec[A](r, a, H)$
proof (*induct a arbitrary: A rule: wf-induct-raw[OF wfr]*)
case (1 a)
from *wf-subset wfr wf-on-def Int-lower1* **have** $wfRa : wf[A](r)$ **by** *simp*
from *pred-down rr* **have** $r - \{a\} \subseteq tr\text{-down}(r, a)$.
then have $r - \{a\} \subseteq A$ **using** 1 **by** (*force simp add: subset-trans*)
 {
 fix x
 assume $x - a : x \in r - \{a\}$
 with $\langle r - \{a\} \subseteq A \rangle$ **have** $x \in A$..
 from *pred-down rr* **have** $b : r - \{x\} \subseteq tr\text{-down}(r, x)$.
 then have $tr\text{-down}(r, x) \subseteq tr\text{-down}(r, a)$
 using *tr-down-mono x-a rr* **by** *simp*
 then have $tr\text{-down}(r, x) \subseteq A$ **using** 1 *subset-trans* **by** *force*
 have $\langle x, a \rangle \in r$ **using** $x - a$ **underD** **by** *simp*
 then have $wfrec(r, x, H) = wfrec[A](r, x, H)$
 using 1 $\langle tr\text{-down}(r, x) \subseteq A \rangle \langle x \in A \rangle$ **by** *simp*
 }
then have $x \in r - \{a\} \implies wfrec(r, x, H) = wfrec[A](r, x, H)$ **for** x .
then have *Eq1* : $(\lambda x \in r - \{a\} . wfrec(r, x, H)) = (\lambda x \in r - \{a\} . wfrec[A](r, x, H))$

using *lam-cong* **by** *simp*

from *assms* **have**

$wfrec(r, a, H) = H(a, \lambda x \in r - \{a\} . wfrec(r, x, H))$ **by** (*simp add: wfrec*)
also have $\dots = H(a, \lambda x \in r - \{a\} . wfrec[A](r, x, H))$
using *assms Eq1* **by** *simp*
also have $\dots = H(a, \lambda x \in (r \cap A * A) - \{a\} . wfrec[A](r, x, H))$
using 1 *assms restr-eq* $\langle r - \{a\} \subseteq A \rangle$ **by** *simp*
also have $\dots = H(a, \lambda x \in (r - \{a\}) \cap A . wfrec[A](r, x, H))$

using $\langle a \in A \rangle$ *fld-restrict-eq* **by** *simp*
also have $\dots = \text{wfrec}[A](r, a, H)$ **using** $\langle \text{wf}[A](r) \rangle$ $\langle a \in A \rangle$ *wfrec-on* **by** *simp*
finally show *?case* .
qed

lemmas *wfrec-tr-down* = *wfrec-restr[OF - - - subset-refl]*

lemma *wfrec-trans-restr* : $\text{relation}(r) \implies \text{wf}(r) \implies \text{trans}(r) \implies r - \{a\} \subseteq A \implies$
 $a \in A \implies$
 $\text{wfrec}(r, a, H) = \text{wfrec}[A](r, a, H)$
by (*subgoal-tac tr-down*(r, a) $\subseteq A$, *auto simp add : wfrec-restr tr-down-def trancl-eq-r*)

end

theory *Names* **imports** *Forcing-Data Interface Recursion-Thms* **begin**

lemma *transD* : $\text{Transset}(M) \implies y \in M \implies y \subseteq M$
by (*unfold Transset-def, blast*)

definition

$\text{SepReplace} :: [i, i \Rightarrow i, i \Rightarrow o] \Rightarrow i$ **where**
 $\text{SepReplace}(A, b, Q) == \{y . x \in A, y = b(x) \wedge Q(x)\}$

syntax

-SepReplace :: $[i, \text{pttrn}, i, o] \Rightarrow i$ ($(I\{- \dots / - \in -, -\})$)

translations

$\{b .. x \in A, Q\} \Rightarrow \text{CONST SepReplace}(A, \lambda x. b, \lambda x. Q)$

lemma *Sep-and-Replace*: $\{b(x) .. x \in A, P(x)\} = \{b(x) . x \in \{y \in A. P(y)\}\}$
by (*auto simp add: SepReplace-def*)

lemma *SepReplace-subset* : $A \subseteq A' \implies \{b .. x \in A, Q\} \subseteq \{b .. x \in A', Q\}$
by (*auto simp add: SepReplace-def*)

lemma *SepReplace-iff* [*simp*]: $y \in \{b(x) .. x \in A, P(x)\} \longleftrightarrow (\exists x \in A. y = b(x) \ \& \ P(x))$
by (*auto simp add: SepReplace-def*)

lemma *SepReplace-dom-implies* :

$(\bigwedge x . x \in A \implies b(x) = b'(x)) \implies \{b(x) .. x \in A, Q(x)\} = \{b'(x) .. x \in A, Q(x)\}$
by (*simp add: SepReplace-def*)

lemma *SepReplace-pred-implies* :

$\forall x. Q(x) \longrightarrow b(x) = b'(x) \implies \{b(x) .. x \in A, Q(x)\} = \{b'(x) .. x \in A, Q(x)\}$
by (*force simp add: SepReplace-def*)

11 eclose properties

lemma *eclose-sing* : $x \in \text{eclose}(a) \implies x \in \text{eclose}(\{a\})$
by(*rule subsetD[OF mem-eclose-subset],simp+*)

lemma *ecloseE* : $x \in \text{eclose}(A) \implies x \in A \vee (\exists B \in A . x \in \text{eclose}(B))$
apply(*erule eclose-induct-down,simp,erule disjE,rule disjI2,simp add:arg-into-eclose*)
apply(*subgoal-tac z \in eclose(y),blast,simp add: arg-into-eclose*)
apply(*rule disjI2,erule bexE,subgoal-tac z \in eclose(B),blast,simp add:ecloseD*)
done

lemma *eclose-singE* : $x \in \text{eclose}(\{a\}) \implies x = a \vee x \in \text{eclose}(a)$
by(*blast dest: ecloseE*)

lemma *in-eclose-sing* : $x \in \text{eclose}(\{a\}) \implies a \in \text{eclose}(z) \implies x \in \text{eclose}(\{z\})$
apply(*drule eclose-singE,erule disjE,simp add: eclose-sing*)
apply(*rule eclose-sing,erule mem-eclose-trans,assumption*)
done

lemma *in-dom-in-eclose* : $x \in \text{domain}(z) \implies x \in \text{eclose}(z)$
apply(*auto simp add:domain-def*)
apply(*rule-tac A={x} in ecloseD*)
apply(*subst (asm) Pair-def*)
apply(*rule-tac A={{x,x},{x,y}} in ecloseD,auto simp add:arg-into-eclose*)
done

The well founded relation on which *val* is defined

definition
ed :: $[i,i] \Rightarrow o$ **where**
ed(x,y) == $x \in \text{domain}(y)$

definition
edrel :: $i \Rightarrow i$ **where**
edrel(A) == $\{ \langle x,y \rangle \in A * A . x \in \text{domain}(y) \}$

lemma *edrel-dest* [*dest*]: $x \in \text{edrel}(A) \implies \exists a \in A . \exists b \in A . x = \langle a,b \rangle$
by(*auto simp add:edrel-def*)

lemma *edrelD* : $x \in \text{edrel}(A) \implies \exists a \in A . \exists b \in A . x = \langle a,b \rangle \wedge a \in \text{domain}(b)$
by(*auto simp add:edrel-def*)

lemma *edrelI* [*intro!*]: $x \in A \implies y \in A \implies x \in \text{domain}(y) \implies \langle x,y \rangle \in \text{edrel}(A)$
by (*simp add:edrel-def*)

lemma *edrel-trans*: $\text{Transset}(A) \implies y \in A \implies x \in \text{domain}(y) \implies \langle x,y \rangle \in \text{edrel}(A)$
by (*rule edrelI, auto simp add:Transset-def domain-def Pair-def*)

lemma *domain-trans*: $\text{Transset}(A) \implies y \in A \implies x \in \text{domain}(y) \implies x \in A$
by (*auto simp add: Transset-def domain-def Pair-def*)

```

lemma relation-edrel : relation(edrel(A))
  by(auto simp add: relation-def)

lemma edrel-sub-memrel: edrel(A)  $\subseteq$  trancl(Memrel(eclose(A)))
proof
  fix z
  assume
    z $\in$ edrel(A)
  then obtain x y where
    Eq1: x $\in$ A y $\in$ A z= $\langle$ x,y $\rangle$  x $\in$ domain(y)
  by (auto simp add: edrel-def)
  then obtain u v where
    Eq2: x $\in$ u u $\in$ v v $\in$ y
  unfolding domain-def Pair-def by auto
  with Eq1 have
    Eq3: x $\in$ eclose(A) y $\in$ eclose(A) u $\in$ eclose(A) v $\in$ eclose(A)
  by (auto, rule-tac [3-4] ecloseD, rule-tac [3] ecloseD, simp-all add:arg-into-eclose)
  let
    ?r=trancl(Memrel(eclose(A)))
  from Eq2 and Eq3 have
     $\langle$ x,u $\rangle$  $\in$ ?r  $\langle$ u,v $\rangle$  $\in$ ?r  $\langle$ v,y $\rangle$  $\in$ ?r
  by (auto simp add: r-into-trancl)
  then have
     $\langle$ x,y $\rangle$  $\in$ ?r
  by (rule-tac trancl-trans, rule-tac [2] trancl-trans, simp)
  with Eq1 show z $\in$ ?r by simp
qed

```

```

lemma wf-edrel : wf(edrel(A))
  apply (rule-tac wf-subset [of trancl(Memrel(eclose(A)))]])
  apply (auto simp add:edrel-sub-memrel wf-trancl wf-Memrel)
  done

```

```

lemma dom-under-edrel-eclose: edrel(eclose({x})) -“ {x}= domain(x)
  apply(simp add:edrel-def,rule,rule,drule underD,simp,rule,rule underI)
  apply(auto simp add:in-dom-in-eclose eclose-sing arg-into-eclose)
  done

```

```

lemma ed-eclose :  $\langle$ y,z $\rangle$   $\in$  edrel(A)  $\implies$  y  $\in$  eclose(z)
  by(drule edrelD,auto simp add:domain-def in-dom-in-eclose)

```

```

lemma tr-edrel-eclose :  $\langle$ y,z $\rangle$   $\in$  edrel(eclose({x}))+  $\implies$  y  $\in$  eclose(z)
  by(rule trancl-induct,(simp add: ed-eclose mem-eclose-trans)+)

```

```

lemma restrict-edrel-eq :
  assumes  $z \in \text{domain}(x)$ 
  shows  $\text{edrel}(\text{eclose}(\{x\})) \cap \text{eclose}(\{z\}) * \text{eclose}(\{z\}) = \text{edrel}(\text{eclose}(\{z\}))$ 
proof
  let  $?ec = \lambda y . \text{edrel}(\text{eclose}(\{y\}))$ 
  let  $?ez = \text{eclose}(\{z\})$ 
  let  $?rr = ?ec(x) \cap ?ez * ?ez$ 
  { fix  $y$ 
    assume  $yr : y \in ?rr$ 
    with  $yr$  obtain  $a b$  where  $1 : \langle a, b \rangle \in ?rr \cap ?ez * ?ez$ 
       $a \in ?ez \ b \in ?ez \ \langle a, b \rangle \in ?ec(x) \ y = \langle a, b \rangle$  by blast
    then have  $a \in \text{domain}(b)$  using edrelD by blast
    with  $1$  have  $y \in \text{edrel}(\text{eclose}(\{z\}))$  by blast
  }
  then show  $?rr \subseteq \text{edrel}(?ez)$  using subsetI by auto
next
  let  $?ec = \lambda y . \text{edrel}(\text{eclose}(\{y\}))$ 
  let  $?ez = \text{eclose}(\{z\})$ 
  let  $?rr = ?ec(x) \cap ?ez * ?ez$ 
  { fix  $y$ 
    assume  $yr : y \in \text{edrel}(?ez)$ 
    then obtain  $a b$  where  $1 : a \in ?ez \ b \in ?ez \ y = \langle a, b \rangle \ a \in \text{domain}(b)$ 
      using edrelD by blast
    with assms have  $z \in \text{eclose}(x)$  using in-dom-in-eclose by simp
    with assms  $1$  have  $a \in \text{eclose}(\{x\}) \ b \in \text{eclose}(\{x\})$  using in-eclose-sing by
simp-all
    with  $\langle a \in \text{domain}(b) \rangle$  have  $\langle a, b \rangle \in \text{edrel}(\text{eclose}(\{x\}))$  by blast
    with  $1$  have  $y \in ?rr$  by simp
  }
  then show  $\text{edrel}(\text{eclose}(\{z\})) \subseteq ?rr$  by blast
qed

lemma tr-edrel-subset :
  assumes  $z \in \text{domain}(x)$ 
  shows  $\text{tr-down}(\text{edrel}(\text{eclose}(\{x\})), z) \subseteq \text{eclose}(\{z\})$ 
proof –
  let  $?r = \lambda x . \text{edrel}(\text{eclose}(\{x\}))$ 
  { fix  $y$ 
    assume  $y \in \text{tr-down}(?r(x), z)$ 
    then have  $\langle y, z \rangle \in ?r(x) \hat{+}$  using tr-downD by simp
    with assms have  $y \in \text{eclose}(\{z\})$  using tr-edrel-eclose eclose-sing by simp
  }
  then show ?thesis by blast
qed

context forcing-data
begin

```

lemma *upairM* : $x \in M \implies y \in M \implies \{x,y\} \in M$
by (*simp del:setclass-iff add:setclass-iff[symmetric]*)

lemma *singletonM* : $a \in M \implies \{a\} \in M$
by (*simp del:setclass-iff add:setclass-iff[symmetric]*)

lemma *pairM* : $x \in M \implies y \in M \implies \langle x,y \rangle \in M$
by (*simp del:setclass-iff add:setclass-iff[symmetric]*)

lemma *P-sub-M* : $P \subseteq M$
by (*simp add: P-in-M trans-M transD*)

lemma *Rep-simp* : $Replace(u, \lambda y z . z = f(y)) = \{ f(y) . y \in u \}$
by (*auto*)

definition

Hcheck :: $[i,i] \Rightarrow i$ **where**
Hcheck(z,f) == $\{ \langle f^i y, one \rangle . y \in z \}$

definition

check :: $i \Rightarrow i$ **where**
check(x) == *transrec*(x , *Hcheck*)

lemma *checkD*:

check(x) = *wfrec*(*Memrel*(*eclose*($\{x\}$)), x , *Hcheck*)
unfolding *check-def transrec-def* ..

lemma *aux-def-check*: $x \in y \implies$

wfrec(*Memrel*(*eclose*($\{y\}$)), x , *Hcheck*) =
wfrec(*Memrel*(*eclose*($\{x\}$)), x , *Hcheck*)
by (*rule wfrec-eclose-eq, auto simp add: arg-into-eclose eclose-sing*)

lemma *def-check* : $check(y) = \{ \langle check(w), one \rangle . w \in y \}$

proof –

let

?r = $\lambda y. Memrel(eclose(\{y\}))$

from *wf-Memrel* **have**

wfr: $\forall w . wf(?r(w))$..

with *wfrec* [*of ?r(y) y Hcheck*] **have**

check(y) = *Hcheck*(y , $\lambda x \in ?r(y) . \langle \{y\}. wfrec(?r(y), x, Hcheck) \rangle$)

using *checkD* **by** *simp*

also have

$\dots = Hcheck(y, \lambda x \in y. wfrec(?r(y), x, Hcheck))$

using *under-Memrel-eclose arg-into-eclose* **by** *simp*

also have

$\dots = Hcheck(y, \lambda x \in y. check(x))$

using *aux-def-check checkD* **by** *simp*

finally show *?thesis* **using** *Hcheck-def* **by** *simp*

qed

lemma *def-checkS* :
fixes n
assumes $n \in \text{nat}$
shows $\text{check}(\text{succ}(n)) = \text{check}(n) \cup \{\langle \text{check}(n), \text{one} \rangle\}$
proof –
have $\text{check}(\text{succ}(n)) = \{\langle \text{check}(i), \text{one} \rangle . i \in \text{succ}(n)\}$
using *def-check* **by** *blast*
also have $\dots = \{\langle \text{check}(i), \text{one} \rangle . i \in n\} \cup \{\langle \text{check}(n), \text{one} \rangle\}$
by *blast*
also have $\dots = \text{check}(n) \cup \{\langle \text{check}(n), \text{one} \rangle\}$
using *def-check*[*of n, symmetric*] **by** *simp*
finally show *?thesis* .
qed

lemma *field-Memrel* : $x \in M \implies \text{field}(\text{Memrel}(\text{eclose}(\{x\}))) \subseteq M$
apply(*rule subset-trans, rule field-rel-subset, rule Ordinal.Memrel-type*)
apply(*rule eclose-least, rule trans-M, auto*)
done

definition

$Hv :: i \Rightarrow i \Rightarrow i$ **where**
 $Hv(G, x, f) == \{ f^i y .. y \in \text{domain}(x), \exists p \in P. \langle y, p \rangle \in x \wedge p \in G \}$

definition

$val :: i \Rightarrow i \Rightarrow i$ **where**
 $val(G, \tau) == \text{wfrec}(\text{edrel}(\text{eclose}(\{\tau\})), \tau, Hv(G))$

lemma *aux-def-val*:

assumes $z \in \text{domain}(x)$
shows $\text{wfrec}(\text{edrel}(\text{eclose}(\{x\})), z, Hv(G)) = \text{wfrec}(\text{edrel}(\text{eclose}(\{z\})), z, Hv(G))$
proof –
let $?r = \lambda x . \text{edrel}(\text{eclose}(\{x\}))$
have $z \in \text{eclose}(\{z\})$ **using** *arg-in-eclose-sing* .
moreover have $\text{relation}(\text{?r}(x))$ **using** *relation-edrel* .
moreover have $\text{wf}(\text{?r}(x))$ **using** *wf-edrel* .
moreover from *assms* **have** $\text{tr-down}(\text{?r}(x), z) \subseteq \text{eclose}(\{z\})$ **using** *tr-edrel-subset*
by *simp*
ultimately have
 $\text{wfrec}(\text{?r}(x), z, Hv(G)) = \text{wfrec}[\text{eclose}(\{z\})](\text{?r}(x), z, Hv(G))$
using *wfrec-restr* **by** *simp*
also from $\langle z \in \text{domain}(x) \rangle$ **have** $\dots = \text{wfrec}(\text{?r}(z), z, Hv(G))$
using *restrict-edrel-eq wfrec-restr-eq* **by** *simp*
finally show *?thesis* .
qed

lemma *def-val*: $val(G, x) = \{ val(G, t) .. t \in \text{domain}(x), \exists p \in P . \langle t, p \rangle \in x \wedge p \in G \}$

proof –
let
 $?r = \lambda \tau . \text{edrel}(\text{eclose}(\{\tau\}))$
let
 $?f = \lambda z \in ?r(x) . \text{wfrec}(?r(x), z, \text{Hv}(G))$
have $\forall \tau . \text{wf} (?r(\tau))$ **using** *wf-edrel* **by** *simp*
with *wfrec [of - x]* **have**
 $\text{val}(G, x) = \text{Hv}(G, x, ?f)$ **using** *val-def* **by** *simp*
also have
 $\dots = \text{Hv}(G, x, \lambda z \in \text{domain}(x) . \text{wfrec} (?r(x), z, \text{Hv}(G)))$
using *dom-under-edrel-eclose* **by** *simp*
also have
 $\dots = \text{Hv}(G, x, \lambda z \in \text{domain}(x) . \text{val}(G, z))$
using *aux-def-val val-def* **by** *simp*
finally show *?thesis* **using** *Hv-def SepReplace-def* **by** *simp*
qed

lemma *val-mono* : $x \subseteq y \implies \text{val}(G, x) \subseteq \text{val}(G, y)$
by (*subst* (1 2) *def-val*, *force*)

lemma *valcheck* : $\text{one} \in G \implies \text{one} \in P \implies \text{val}(G, \text{check}(y)) = y$

proof (*induct rule:eps-induct*)

case (1 *y*)

then show *?case*

proof –

from *def-check* **have**

$\text{Eq1} : \text{check}(y) = \{ \langle \text{check}(w), \text{one} \rangle . w \in y \}$ (*is - = ?C*) .

from *Eq1* **have**

$\text{val}(G, \text{check}(y)) = \text{val}(G, \{ \langle \text{check}(w), \text{one} \rangle . w \in y \})$

by *simp*

also have

$\dots = \{ \text{val}(G, t) .. t \in \text{domain} (?C) , \exists p \in P . \langle t, p \rangle \in ?C \wedge p \in G \}$

using *def-val* **by** *blast*

also have

$\dots = \{ \text{val}(G, t) .. t \in \text{domain} (?C) , \exists w \in y . t = \text{check}(w) \}$

using 1 **by** *simp*

also have

$\dots = \{ \text{val}(G, \text{check}(w)) . w \in y \}$

by *force*

finally show

$\text{val}(G, \text{check}(y)) = y$

using 1 **by** *simp*

qed

qed

lemma *val-of-name* :

$\text{val}(G, \{x \in A \times P . Q(x)\}) = \{ \text{val}(G, t) .. t \in A , \exists p \in P . Q(\langle t, p \rangle) \wedge p \in G \}$

proof –

let


```

    ?n={x∈A×P. Q(x)} and
    ?r=λτ . edrel(eclose({τ}))
  let
    ?f=λz∈?r(?n)–“{?n}. val(G,z)
  have
    wfR : wf(?r(τ)) for τ
    by (simp add: wf-edrel)
  have domain(?n) ⊆ A by auto
  { fix t
    assume H:t ∈ domain({x ∈ A × P . Q(x)})
    then have ?f ‘ t = (if t ∈ ?r(?n)–“{?n} then val(G,t) else 0)
      by simp
    moreover have ... = val(G,t)
      using dom-under-edrel-eclose H if-P by auto
    }
  then have Eq1: t ∈ domain({x ∈ A × P . Q(x)}) ⇒
    val(G,t) = ?f ‘ t for t
    by simp
  have
    val(G,?n) = {val(G,t) .. t∈domain(?n), ∃p ∈ P . <t,p> ∈ ?n ∧ p ∈ G}
    by (subst def-val,simp)
  also have
    ... = {?f ‘ t .. t∈domain(?n), ∃p∈P . <t,p>∈?n ∧ p∈G}
    unfolding Hv-def
    by (subst SepReplace-dom-implies,auto simp add:Eq1)
  also have
    ... = { (if t∈?r(?n)–“{?n} then val(G,t) else 0) .. t∈domain(?n), ∃p∈P .
    <t,p>∈?n ∧ p∈G}
    by (simp)
  also have
    Eq2: ... = { val(G,t) .. t∈domain(?n), ∃p∈P . <t,p>∈?n ∧ p∈G}
  proof –
    from dom-under-edrel-eclose have
      domain(?n) ⊆ ?r(?n)–“{?n}
      by simp
    then have
      ∀t∈domain(?n). (if t∈?r(?n)–“{?n} then val(G,t) else 0) = val(G,t)
      by auto
    then show
      { (if t∈?r(?n)–“{?n} then val(G,t) else 0) .. t∈domain(?n), ∃p∈P .
    <t,p>∈?n ∧ p∈G} =
      { val(G,t) .. t∈domain(?n), ∃p∈P . <t,p>∈?n ∧ p∈G}
      by auto
    qed
  also have
    ... = { val(G,t) .. t∈A, ∃p∈P . <t,p>∈?n ∧ p∈G}
    by force
  finally show
    val(G,?n) = { val(G,t) .. t∈A, ∃p∈P . Q(<t,p>) ∧ p∈G}

```

by auto
qed

lemma *val-of-name-alt* :

$val(G, \{x \in A \times P. Q(x)\}) = \{val(G, t) .. t \in A, \exists p \in P \cap G. Q(\langle t, p \rangle)\}$

using *val-of-name* by force

definition

$GenExt :: i \Rightarrow i \quad (M[-])$

where $GenExt(G) == \{val(G, \tau). \tau \in M\}$

lemma *val-of-elem*: $\langle \vartheta, p \rangle \in \pi \Longrightarrow p \in G \Longrightarrow p \in P \Longrightarrow val(G, \vartheta) \in val(G, \pi)$

proof –

assume

$\langle \vartheta, p \rangle \in \pi$

then have $\vartheta \in domain(\pi)$ by auto

assume

$p \in G \ p \in P$

with $\langle \vartheta \in domain(\pi) \rangle \langle \langle \vartheta, p \rangle \in \pi \rangle$ have

$val(G, \vartheta) \in \{val(G, t) .. t \in domain(\pi), \exists p \in P. \langle t, p \rangle \in \pi \wedge p \in G\}$

by auto

then show *?thesis* by (*subst def-val*)

qed

lemma *elem-of-val*: $x \in val(G, \pi) \Longrightarrow \exists \vartheta \in domain(\pi). val(G, \vartheta) = x$

by (*subst (asm) def-val, auto*)

lemma *elem-of-val-pair*: $x \in val(G, \pi) \Longrightarrow \exists \vartheta. \exists p \in G. \langle \vartheta, p \rangle \in \pi \wedge val(G, \vartheta) = x$

by (*subst (asm) def-val, auto*)

lemma *GenExtD*:

$x \in M[G] \Longrightarrow \exists \tau \in M. x = val(G, \tau)$

by (*simp add: GenExt-def*)

lemma *GenExtI*:

$x \in M \Longrightarrow val(G, x) \in M[G]$

by (*auto simp add: GenExt-def*)

lemma *Transset-MG* : $Transset(M[G])$

proof –

{ fix *vc y*

assume $vc \in M[G]$ and $y \in vc$

from $\langle vc \in M[G] \rangle$ and $\langle y \in vc \rangle$ obtain *c* where

$c \in M \ val(G, c) \in M[G] \ y \in val(G, c)$

using *GenExtD* by auto

from $\langle y \in val(G, c) \rangle$ obtain ϑ where

$\vartheta \in domain(c) \ val(G, \vartheta) = y$ using *elem-of-val* by blast

with *trans-M* $\langle c \in M \rangle$

```

  have  $y \in M[G]$  using domain-trans GenExtI by blast
}
then show ?thesis using Transset-def by auto
qed

```

```

lemma check-n-M :
  fixes  $n$ 
  assumes  $n \in \text{nat}$ 
  shows  $\text{check}(n) \in M$ 
  using  $\langle n \in \text{nat} \rangle$  proof (induct  $n$ )
  case 0
  then show ?case using zero-in-M by (subst def-check,simp)
next
  case (succ  $x$ )
  have  $\text{one} \in M$  using one-in-P P-sub-M subsetD by simp
  with  $\langle \text{check}(x) \in M \rangle$  have  $\langle \text{check}(x), \text{one} \rangle \in M$  using pairM by simp
  then have  $\{ \langle \text{check}(x), \text{one} \rangle \} \in M$  using singletonM by simp
  with  $\langle \text{check}(x) \in M \rangle$  have  $\text{check}(x) \cup \{ \langle \text{check}(x), \text{one} \rangle \} \in M$  using Un-closed
  by simp
  then show ?case using  $\langle x \in \text{nat} \rangle$  def-checkS by simp
qed

end

```

```

locale M-extra-assms = forcing-data +
  assumes
     $\text{check-in-M} : \bigwedge x. x \in M \implies \text{check}(x) \in M$ 
    and repl-check-pair : strong-replacement( $\#\#M, \lambda p. y. y = \langle \text{check}(p), p \rangle$ )

```

```

begin
definition
  G-dot ::  $i$  where
  G-dot ==  $\{ \langle \text{check}(p), p \rangle . p \in P \}$ 

```

```

lemma G-dot-in-M :
   $G\text{-dot} \in M$ 
proof -
  have  $0 : G\text{-dot} = \{ y . p \in P, y = \langle \text{check}(p), p \rangle \}$ 
  unfolding G-dot-def by auto
  from P-in-M check-in-M pairM P-sub-M have
     $1 : p \in P \implies \langle \text{check}(p), p \rangle \in M$  for  $p$ 
  by auto
  with 1 repl-check-pair P-in-M strong-replacement-closed have
     $\{ y . p \in P, y = \langle \text{check}(p), p \rangle \} \in M$  by simp
  then show ?thesis using 0 by simp
qed

```

```

lemma val-G-dot :

```

```

assumes  $G \subseteq P$ 
   $one \in G$ 
shows  $val(G, G\text{-dot}) = G$ 
proof (intro equalityI subsetI)
  fix  $x$ 
  assume  $x \in val(G, G\text{-dot})$ 
  then obtain  $\vartheta p$  where
     $p \in G \langle \vartheta, p \rangle \in G\text{-dot}$   $val(G, \vartheta) = x$   $\vartheta = check(p)$ 
    unfolding  $G\text{-dot-def}$  using elem-of-val-pair G-dot-in-M
    by force
  with  $\langle one \in G \rangle \langle G \subseteq P \rangle$  show
     $x \in G$ 
    using valcheck P-sub-M by auto
next
  fix  $p$ 
  assume  $p \in G$ 
  have  $q \in P \implies \langle check(q), q \rangle \in G\text{-dot}$  for  $q$ 
    unfolding  $G\text{-dot-def}$  by simp
  with  $\langle p \in G \rangle \langle G \subseteq P \rangle$  have
     $val(G, check(p)) \in val(G, G\text{-dot})$ 
    using val-of-elem G-dot-in-M by blast
  with  $\langle p \in G \rangle \langle G \subseteq P \rangle \langle one \in G \rangle$  show
     $p \in val(G, G\text{-dot})$ 
    using P-sub-M valcheck by auto
qed

```

```

lemma G-in-Gen-Ext :
  assumes  $G \subseteq P$  and  $one \in G$ 
  shows  $G \in M[G]$ 
  using assms val-G-dot GenExtI[of - G] G-dot-in-M
  by force

```

end

end

theory *Extensionality-Axiom*

imports

Names

begin

context *forcing-data*

begin

lemma *extensionality-in-MG* : *extensionality(##(M[G]))*

proof –

```

{
  fix  $x y z$ 
  assume

```

```

    asms:  $x \in M[G] \ y \in M[G] \ (\forall w \in M[G] . w \in x \longleftrightarrow w \in y)$ 
  from  $\langle x \in M[G] \rangle$  have
     $z \in x \longleftrightarrow z \in M[G] \wedge z \in x$ 
    using Transset-MG Transset-intf by auto
  also have
     $\dots \longleftrightarrow z \in y$ 
    using asms Transset-MG Transset-intf by auto
  finally have
     $z \in x \longleftrightarrow z \in y .$ 
}
then have
   $\forall x \in M[G] . \forall y \in M[G] . (\forall z \in M[G] . z \in x \longleftrightarrow z \in y) \longrightarrow x = y$ 
  by blast
then show ?thesis unfolding extensionality-def by simp
qed

```

```

end
end
theory Foundation-Axiom
imports
  Names
begin

```

```

context forcing-data
begin

```

```

lemma foundation-in-MG : foundation-ax(##(M[G]))
  unfolding foundation-ax-def
  by (rule rallI, cut-tac A=x in foundation, auto intro: Transset-M [OF Transset-MG])

```

```

lemma foundation-ax(##(M[G]))
proof -
{
  fix  $x$ 
  assume
     $x \in M[G] \ \exists y \in M[G] . y \in x$ 
  then have
     $\exists y \in M[G] . y \in x \cap M[G]$ 
    by simp
  then obtain  $y$  where
     $y \in x \cap M[G] \ \forall z \in y . z \notin x \cap M[G]$ 
    using foundation[of  $x \cap M[G]$ ] by blast
  then have
     $\exists y \in M[G] . y \in x \wedge (\forall z \in M[G] . z \notin x \vee z \notin y)$ 
    by auto
}
then show ?thesis

```

```

    unfolding foundation-ax-def by auto
qed

end
end
theory Forcing-Theorems imports Interface Names begin

locale forcing-thms = forcing-data +
  fixes forces :: i ⇒ i
  assumes definition-of-forces:  $p \in P \implies \varphi \in \text{formula} \implies \text{env} \in \text{list}(M) \implies$ 
     $\text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, p] @ \text{env}) \longleftrightarrow$ 
     $(\forall G. (M\text{-generic}(G) \wedge p \in G) \longrightarrow \text{sats}(M[G], \varphi, \text{map}(\text{val}(G), \text{env})))$ 
  and definability[TC]:  $\varphi \in \text{formula} \implies \text{forces}(\varphi) \in \text{formula}$ 
  and arity-forces:  $\varphi \in \text{formula} \implies \text{arity}(\text{forces}(\varphi)) = \text{arity}(\varphi) \# + 4$ 
  and truth-lemma:  $\varphi \in \text{formula} \implies \text{env} \in \text{list}(M) \implies M\text{-generic}(G) \implies$ 
     $(\exists p \in G. (\text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, p] @ \text{env}))) \longleftrightarrow$ 
     $\text{sats}(M[G], \varphi, \text{map}(\text{val}(G), \text{env}))$ 
  and strengthening:  $p \in P \implies \varphi \in \text{formula} \implies \text{env} \in \text{list}(M) \implies q \in P \implies$ 
 $\langle q, p \rangle \in \text{leq} \implies$ 
     $\text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, p] @ \text{env}) \implies$ 
     $\text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, q] @ \text{env})$ 
  and density-lemma:  $p \in P \implies \varphi \in \text{formula} \implies \text{env} \in \text{list}(M) \implies$ 
     $\text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, p] @ \text{env}) \longleftrightarrow$ 
     $\text{dense-below}(\{q \in P. \text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, q] @ \text{env})\}, p)$ 

begin
end

locale G-generic = forcing-thms +
  fixes G :: i
  assumes generic : M-generic(G)
begin

lemma zero-in-MG :
  0 ∈ M[G]
proof -
  from zero-in-M and elem-of-val have
    0 = val(G, 0)
  by auto
  also from GenExtI and zero-in-M have
    ... ∈ M[G]
  by simp
  finally show ?thesis .
qed

lemma G-nonempty: G ≠ 0
proof -
  have P ⊆ P ..

```

```

with P-in-M P-dense  $\langle P \subseteq P \rangle$  show
  G  $\neq 0$ 
  using generic unfolding M-generic-def by auto
qed

end

end

theory Separation-Axiom
  imports Forcing-Theorems Renaming
begin

definition
  perm-sep-forces :: i where
  perm-sep-forces == {<0,3>, <1,4>, <2,5>, <3,1>, <4,0>, <5,6>, <6,7>, <7,2>}

lemma perm-sep-ffc : perm-sep-forces  $\in 8 - || > 8$ 
  by (unfold perm-sep-forces-def, (rule consI, auto)+, rule emptyI)

lemma dom-perm-sep : domain(perm-sep-forces) = 8
  by (unfold perm-sep-forces-def, auto)

lemma perm-sep-tc : perm-sep-forces  $\in 8 \rightarrow 8$ 
  by (subst dom-perm-sep[symmetric], rule FiniteFun-is-fun, rule perm-sep-ffc)

lemma perm-sep-env :
  {p, q, r, s, t, u, v, w}  $\subseteq A \implies j < 8 \implies$ 
  nth(j, [t, s, w, p, q, r, u, v]) = nth(perm-sep-forces 'j', [q, p, v, t, s, w, r, u])
  apply (subgoal-tac j  $\in$  nat)
  apply (rule natE, simp, subst apply-fun, rule perm-sep-tc, simp add: perm-sep-forces-def, simp-all)+
  apply (subst apply-fun, rule perm-sep-tc, simp add: perm-sep-forces-def, simp-all, drule
  ltD, auto)
  done

context G-generic begin

lemmas transitivity = Transset-intf trans-M

lemma one-in-M: one  $\in M$ 
  by (insert one-in-P P-in-M, simp add: transitivity)

lemma six-sep-aux:
  assumes
    b  $\in M$  [ $\sigma, \pi$ ]  $\in$  list(M)  $\psi \in$  formula arity( $\psi$ )  $\leq 6$ 
  shows
    {u  $\in b$ . sats(M,  $\psi$ , [u] @ [P, leq, one] @ [ $\sigma, \pi$ ])}  $\in M$ 
  proof -
    from assms P-in-M leq-in-M one-in-M have

```

```

  (∀ u ∈ M. separation(##M, λx. sats(M, ψ, [x] @ [P, leq, one] @ [σ, π])))
  using simp-sep by simp
  with ⟨b ∈ M⟩ show ?thesis
  using separation-iff by auto
qed

```

lemma *Collect-sats-in-MG* :

```

  assumes
    π ∈ M σ ∈ M val(G, π) = c val(G, σ) = w
    φ ∈ formula arity(φ) ≤ 2
  shows
    {x ∈ c. sats(M[G], φ, [x, w])} ∈ M[G]
proof –
  let
    ?χ = And(Member(0, 2), φ)
  and
    ?Pl1 = [P, leq, one]
  let
    ?new-form = ren(forces(?χ)) ‘8’8’perm-sep-forces
  let
    ?ψ = Exists(Exists(And(pair-fm(0, 1, 2), ?new-form)))
  have δ ∈ nat by simp
  note phi = ⟨φ ∈ formula⟩ ⟨arity(φ) ≤ 2⟩
  then have
    arity(?χ) ≤ 3
    using nat-simp-union leI by simp
  with phi have
    arity(forces(?χ)) ≤ 8
    using nat-simp-union arity-forces leI by simp
  with phi definability[of ?χ] arity-forces have
    ?new-form ∈ formula
    using ren-tc[of forces(?χ) 8 8 perm-sep-forces] perm-sep-tc
    by simp
  then have
    ?ψ ∈ formula
    by simp
  from ⟨φ ∈ formula⟩ have
    forces(?χ) ∈ formula
    using definability by simp
  with ⟨arity(forces(?χ)) ≤ 8⟩ have
    arity(?new-form) ≤ 8
    using ren-arity perm-sep-tc definability by simp
  then have
    arity(?ψ) ≤ 6
    unfolding pair-fm-def upair-fm-def
    using nat-simp-union pred2-Un[of 8] by simp
  from ⟨π ∈ M⟩ ⟨σ ∈ M⟩ P-in-M have
    domain(π) ∈ M domain(π) × P ∈ M
    by (simp-all del:setclass-iff add:setclass-iff[symmetric])

```



```

note  $in-M = \langle \pi \in M \rangle \langle \sigma \in M \rangle \langle domain(\pi) \times P \in M \rangle$   $P-in-M$   $one-in-M$   $leq-in-M$ 
{
  fix  $u$ 
  assume
     $u \in domain(\pi) \times P$   $u \in M$ 
  with  $in-M$   $\langle ?new-form \in formula \rangle \langle ?\psi \in formula \rangle$  have
     $Eq1: sats(M, ?\psi, [u] @ ?Pl1 @ [\sigma, \pi]) \longleftrightarrow$ 
       $(\exists \vartheta \in M. \exists p \in P. u = \langle \vartheta, p \rangle \wedge$ 
         $sats(M, ?new-form, [\vartheta, p, u] @ ?Pl1 @ [\sigma, \pi]))$ 
    by (auto simp add: transitivity)
  have
     $Eq3: \vartheta \in M \implies p \in P \implies$ 
       $sats(M, ?new-form, [\vartheta, p, u] @ ?Pl1 @ [\sigma, \pi]) \longleftrightarrow$ 
       $(\forall F. M-generic(F) \wedge p \in F \longrightarrow sats(M[F], ?\chi, [val(F, \vartheta), val(F, \sigma),$ 
 $val(F, \pi)]))$ 
    for  $\vartheta$   $p$ 
    proof -
      fix  $p$   $\vartheta$ 
      assume
         $\vartheta \in M$   $p \in P$ 
      with  $P-in-M$  have  $p \in M$  by (simp add: transitivity)
      note
         $in-M' = in-M \langle \vartheta \in M \rangle \langle p \in M \rangle \langle u \in domain(\pi) \times P \rangle \langle u \in M \rangle$ 
      then have
         $[\vartheta, \sigma, u] \in list(M)$  by simp
      let
         $?env = ?Pl1 @ [p, \vartheta, \sigma, \pi, u]$ 
      let
         $?new-env = [\vartheta, p, u, P, leq, one, \sigma, \pi]$ 
      let
         $?\psi = Exists(Exists(And(pair-fm(0, 1, 2), ?new-form)))$ 
      have
         $?\chi \in formula$   $arity(?\chi) \leq 3$   $forces(?\chi) \in formula$ 
        using phi nat-simp-union leI by auto
      with arity-forces have
         $arity(forces(?\chi)) \leq 7$ 
        by simp
      then have  $arity(forces(?\chi)) \leq 8$  using le-trans by simp
      from  $in-M'$  have
         $?Pl1 \in list(M)$  by simp
      from  $in-M'$  have  $?env \in list(M)$  by simp
      have
         $Eq1': ?new-env \in list(M)$  using  $in-M'$  by simp
      then have
         $sats(M, ?new-form, [\vartheta, p, u] @ ?Pl1 @ [\sigma, \pi]) \longleftrightarrow sats(M, ?new-form, ?new-env)$ 
        by simp
      also from  $\langle forces(?\chi) \in formula \rangle \langle 8 \in nat \rangle \langle ?env \in list(M) \rangle$ 
         $\langle ?new-env \in list(M) \rangle$  perm-sep-tc  $\langle arity(forces(?\chi)) \leq 8 \rangle$ 
      have

```

```

...  $\longleftrightarrow$  sats( $M$ , forces( $? \chi$ ),  $?env$ )
using sats-iff-sats-ren[of - 8 8 ?env M ?new-env perm-sep-env]
by auto
also have
...  $\longleftrightarrow$  sats( $M$ , forces( $? \chi$ ), [ $P$ , leq, one,  $p$ ,  $\vartheta$ ,  $\sigma$ ,  $\pi$ ]@ $[u]$ ) by simp
also from  $\langle$ arity(forces( $? \chi$ ))  $\leq 7$  $\rangle$   $\langle$ forces( $? \chi$ ) $\in$ formula $\rangle$  in- $M'$  phi have
...  $\longleftrightarrow$  sats( $M$ , forces( $? \chi$ ), [ $P$ , leq, one,  $p$ ,  $\vartheta$ ,  $\sigma$ ,  $\pi$ ])
by (rule-tac arity-sats-iff, auto)
also from  $\langle$ arity(forces( $? \chi$ ))  $\leq 7$  $\rangle$   $\langle$ forces( $? \chi$ ) $\in$ formula $\rangle$  in- $M'$  phi have
...  $\longleftrightarrow$  ( $\forall F. M$ -generic( $F$ )  $\wedge p \in F \longrightarrow$ 
sats( $M[F]$ ,  $? \chi$ , [ $val(F, \vartheta)$ ,  $val(F, \sigma)$ ,  $val(F, \pi)$ ]))
using definition-of-forces
proof (intro iffI)
assume
a1: sats( $M$ , forces( $? \chi$ ), [ $P$ , leq, one,  $p$ ,  $\vartheta$ ,  $\sigma$ ,  $\pi$ ])
note definition-of-forces
then have
 $p \in P \implies ? \chi \in$ formula  $\implies [\vartheta, \sigma, \pi] \in$ list( $M$ )  $\implies$ 
sats( $M$ , forces( $? \chi$ ), [ $P$ , leq, one,  $p$ ] @ [ $\vartheta, \sigma, \pi$ ])  $\implies$ 
 $\forall G. M$ -generic( $G$ )  $\wedge p \in G \longrightarrow$  sats( $M[G]$ ,  $? \chi$ , map( $val(G)$ , [ $\vartheta, \sigma, \pi$ ]))
..
then show
 $\forall F. M$ -generic( $F$ )  $\wedge p \in F \longrightarrow$ 
sats( $M[F]$ ,  $? \chi$ , [ $val(F, \vartheta)$ ,  $val(F, \sigma)$ ,  $val(F, \pi)$ ])
using  $\langle ? \chi \in$ formula $\rangle$   $\langle p \in P \rangle$  a1  $\langle \vartheta \in M \rangle$   $\langle \sigma \in M \rangle$   $\langle \pi \in M \rangle$  by auto
next
assume
 $\forall F. M$ -generic( $F$ )  $\wedge p \in F \longrightarrow$ 
sats( $M[F]$ ,  $? \chi$ , [ $val(F, \vartheta)$ ,  $val(F, \sigma)$ ,  $val(F, \pi)$ ])
with definition-of-forces [THEN iffD2] show
sats( $M$ , forces( $? \chi$ ), [ $P$ , leq, one,  $p$ ,  $\vartheta$ ,  $\sigma$ ,  $\pi$ ])
using  $\langle ? \chi \in$ formula $\rangle$   $\langle p \in P \rangle$  in- $M'$  by auto
qed
finally show
sats( $M$ , ?new-form, [ $\vartheta, p, u$ ]@?Pl1@ $[\sigma, \pi]$ )  $\longleftrightarrow$  ( $\forall F. M$ -generic( $F$ )  $\wedge p \in F$ 
 $\longrightarrow$ 
sats( $M[F]$ ,  $? \chi$ , [ $val(F, \vartheta)$ ,  $val(F, \sigma)$ ,  $val(F, \pi)$ ])) by simp
qed
with Eq1 have
sats( $M$ , ?psi, [ $u$ ] @ ?Pl1 @  $[\sigma, \pi]$ )  $\longleftrightarrow$ 
( $\exists \vartheta \in M. \exists p \in P. u = \langle \vartheta, p \rangle \wedge$ 
( $\forall F. M$ -generic( $F$ )  $\wedge p \in F \longrightarrow$  sats( $M[F]$ ,  $? \chi$ , [ $val(F, \vartheta)$ ,  $val(F, \sigma)$ ,
 $val(F, \pi)$ ]))))
by auto
}
then have
Equivalence:  $u \in$  domain( $\pi$ )  $\times P \implies u \in M \implies$ 
sats( $M$ , ?psi, [ $u$ ] @ ?Pl1 @  $[\sigma, \pi]$ )  $\longleftrightarrow$ 
( $\exists \vartheta \in M. \exists p \in P. u = \langle \vartheta, p \rangle \wedge$ 

```

$(\forall F. M\text{-generic}(F) \wedge p \in F \longrightarrow \text{sats}(M[F], ?\chi, [\text{val}(F, \vartheta), \text{val}(F, \sigma), \text{val}(F, \pi)]))$
for u
by *simp*
with *generic* **have**
 $u \in \text{domain}(\pi) \times P \implies u \in M \implies$
 $\text{sats}(M, ?\psi, [u, P, \text{leq}, \text{one}, \sigma, \pi]) \implies$
 $(\exists \vartheta \in M. \exists p \in P. u = \langle \vartheta, p \rangle \wedge$
 $(p \in G \longrightarrow \text{sats}(M[G], ?\chi, [\text{val}(G, \vartheta), \text{val}(G, \sigma), \text{val}(G, \pi)]))$ **for** u
by *force*
moreover **have**
 $\text{val}(G, \sigma) \in M[G]$ **and** $\vartheta \in M \implies \text{val}(G, \vartheta) \in M[G]$ **for** ϑ
using *GenExt-def* $\langle \sigma \in M \rangle$ **by** *auto*
ultimately **have**
 $u \in \text{domain}(\pi) \times P \implies u \in M \implies$
 $\text{sats}(M, ?\psi, [u, P, \text{leq}, \text{one}, \sigma, \pi]) \implies$
 $(\exists \vartheta \in M. \exists p \in P. u = \langle \vartheta, p \rangle \wedge$
 $(p \in G \longrightarrow$
 $\text{val}(G, \vartheta) \in \text{val}(G, \pi) \wedge \text{sats}(M[G], \varphi, [\text{val}(G, \vartheta), \text{val}(G, \sigma), \text{val}(G,$
 $\pi]))$ **for** u
using $\langle \pi \in M \rangle$ **by** *auto*
with $\langle \text{domain}(\pi) \times P \in M \rangle$ **have**
 $\forall u \in \text{domain}(\pi) \times P. \text{sats}(M, ?\psi, [u] @ ?Pl1 @ [\sigma, \pi]) \longrightarrow$
 $(\exists \vartheta \in M. \exists p \in P. u = \langle \vartheta, p \rangle \wedge$
 $(p \in G \longrightarrow \text{val}(G, \vartheta) \in \text{val}(G, \pi) \wedge \text{sats}(M[G], \varphi, [\text{val}(G, \vartheta), \text{val}(G, \sigma),$
 $\text{val}(G, \pi)]))$
by (*simp add:transitivity*)
then **have**
 $\{u \in \text{domain}(\pi) \times P. \text{sats}(M, ?\psi, [u] @ ?Pl1 @ [\sigma, \pi])\} \subseteq$
 $\{u \in \text{domain}(\pi) \times P. \exists \vartheta \in M. \exists p \in P. u = \langle \vartheta, p \rangle \wedge$
 $(p \in G \longrightarrow \text{val}(G, \vartheta) \in \text{val}(G, \pi) \wedge \text{sats}(M[G], \varphi, [\text{val}(G, \vartheta), \text{val}(G, \sigma),$
 $\text{val}(G, \pi)]))\}$
(is $?n \subseteq ?m$
by *auto*
with *val-mono* **have**
 $\text{first-incl: } \text{val}(G, ?n) \subseteq \text{val}(G, ?m)$
by *simp*
note
 $\langle \text{val}(G, \pi) = c \rangle \langle \text{val}(G, \sigma) = w \rangle$
with $\langle ?\psi \in \text{formula} \rangle \langle \text{arity}(?\psi) \leq 6 \rangle$ *in-M* **have**
 $?n \in M$
using *six-sep-aux* **by** *simp*
from *generic* **have**
 $\text{filter}(G) \subseteq P$
unfolding *M-generic-def filter-def* **by** *simp-all*
from $\langle \text{val}(G, \pi) = c \rangle \langle \text{val}(G, \sigma) = w \rangle$ **have**
 $\text{val}(G, ?m) =$
 $\{ \text{val}(G, t) .. t \in \text{domain}(\pi), \exists q \in P.$
 $(\exists \vartheta \in M. \exists p \in P. \langle t, q \rangle = \langle \vartheta, p \rangle) \wedge$

$(p \in G \longrightarrow \text{val}(G, \vartheta) \in c \wedge \text{sats}(M[G], \varphi, [\text{val}(G, \vartheta), w, c])) \wedge q \in G\}$
using *val-of-name by auto*
also have
 $\dots = \{\text{val}(G, t) \dots t \in \text{domain}(\pi), \exists q \in P. \text{val}(G, t) \in c \wedge \text{sats}(M[G], \varphi, [\text{val}(G, t), w, c]) \wedge q \in G\}$
proof –
have
 $t \in M \implies$
 $(\exists q \in P. (\exists \vartheta \in M. \exists p \in P. \langle t, q \rangle = \langle \vartheta, p \rangle \wedge$
 $(p \in G \longrightarrow \text{val}(G, \vartheta) \in c \wedge \text{sats}(M[G], \varphi, [\text{val}(G, \vartheta), w, c]))) \wedge q \in$
 $G))$
 \longleftrightarrow
 $(\exists q \in P. \text{val}(G, t) \in c \wedge \text{sats}(M[G], \varphi, [\text{val}(G, t), w, c]) \wedge q \in G)$ **for** t
by auto
then show *?thesis using <domain(π)∈M> by (auto simp add:transitivity)*
qed
also have
 $\dots = \{x \dots x \in c, \exists q \in P. x \in c \wedge \text{sats}(M[G], \varphi, [x, w, c]) \wedge q \in G\}$
proof
show
 $\dots \subseteq \{x \dots x \in c, \exists q \in P. x \in c \wedge \text{sats}(M[G], \varphi, [x, w, c]) \wedge q \in G\}$
by auto
next

 $\{$
fix x
assume
 $x \in \{x \dots x \in c, \exists q \in P. x \in c \wedge \text{sats}(M[G], \varphi, [x, w, c]) \wedge q \in G\}$
then have
 $\exists q \in P. x \in c \wedge \text{sats}(M[G], \varphi, [x, w, c]) \wedge q \in G$
by simp
with $\langle \text{val}(G, \pi) = c \rangle$ **have**
 $\exists q \in P. \exists t \in \text{domain}(\pi). \text{val}(G, t) = x \wedge \text{sats}(M[G], \varphi, [\text{val}(G, t), w, c]) \wedge q$
 $\in G$
using *Sep-and-Replace elem-of-val by auto*
 $\}$
then show
 $\{x \dots x \in c, \exists q \in P. x \in c \wedge \text{sats}(M[G], \varphi, [x, w, c]) \wedge q \in G\} \subseteq \dots$
using *SepReplace-iff by force*
qed
also have
 $\dots = \{x \in c. \text{sats}(M[G], \varphi, [x, w, c])\}$
using $\langle G \subseteq P \rangle$ *G-nonempty* **by force**
finally have
 $\text{val-}m: \text{val}(G, ?m) = \{x \in c. \text{sats}(M[G], \varphi, [x, w, c])\}$ **by simp**
have
 $\text{val}(G, ?m) \subseteq \text{val}(G, ?n)$
proof
fix x

assume
 $x \in \text{val}(G, ?m)$
with $\text{val-}m$ **have**
 $\text{Eq4: } x \in \{x \in c. \text{sats}(M[G], \varphi, [x, w, c])\}$ **by** simp
with $\langle \text{val}(G, \pi) = c \rangle$ **have**
 $x \in \text{val}(G, \pi)$ **by** simp
then have
 $\exists \vartheta. \exists q \in G. \langle \vartheta, q \rangle \in \pi \wedge \text{val}(G, \vartheta) = x$
using elem-of-val-pair **by** auto
then obtain ϑ q **where**
 $\langle \vartheta, q \rangle \in \pi$ $q \in G$ $\text{val}(G, \vartheta) = x$ **by** auto
from $\langle \vartheta, q \rangle \in \pi$ $\langle \pi \in M \rangle$ $\text{trans-}M$ **have**
 $\vartheta \in M$
unfolding $\text{Pair-def Transset-def}$ **by** auto
with $\langle \pi \in M \rangle$ $\langle \sigma \in M \rangle$ **have**
 $[\text{val}(G, \vartheta), \text{val}(G, \sigma), \text{val}(G, \pi)] \in \text{list}(M[G])$
using GenExt-def **by** auto
with Eq4 $\langle \text{val}(G, \vartheta) = x \rangle$ $\langle \text{val}(G, \pi) = c \rangle$ $\langle \text{val}(G, \sigma) = w \rangle$ $\langle x \in \text{val}(G, \pi) \rangle$ **have**
 $\text{Eq5: } \text{sats}(M[G], \text{And}(\text{Member}(0, 2), \varphi), [\text{val}(G, \vartheta), \text{val}(G, \sigma), \text{val}(G, \pi)])$
by auto

with $\langle \vartheta \in M \rangle$ $\langle \pi \in M \rangle$ $\langle \sigma \in M \rangle$ Eq5 $\langle M\text{-generic}(G) \rangle$ $\langle \varphi \in \text{formula} \rangle$ **have**
 $(\exists r \in G. \text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, r, \vartheta, \sigma, \pi]))$
using truth-lemma **by** auto
then obtain r **where**
 $r \in G$ $\text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, r, \vartheta, \sigma, \pi])$ **by** auto
with $\langle \text{filter}(G) \rangle$ **and** $\langle q \in G \rangle$ **obtain** p **where**
 $p \in G$ $\langle p, q \rangle \in \text{leq}$ $\langle p, r \rangle \in \text{leq}$
unfolding $\text{filter-def compat-in-def}$ **by** force
with $\langle r \in G \rangle$ $\langle q \in G \rangle$ $\langle G \subseteq P \rangle$ **have**
 $p \in P$ $r \in P$ $q \in P$ $p \in M$
using $P\text{-in-}M$ **by** $(\text{auto simp add:transitivity})$
with $\langle \varphi \in \text{formula} \rangle$ $\langle \vartheta \in M \rangle$ $\langle \pi \in M \rangle$ $\langle \sigma \in M \rangle$ $\langle \langle p, r \rangle \in \text{leq} \rangle$
 $\langle \text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, r, \vartheta, \sigma, \pi]) \rangle$ **have**
 $\text{sats}(M, \text{forces}(\varphi), [P, \text{leq}, \text{one}, p, \vartheta, \sigma, \pi])$
using strengthening **by** simp
with $\langle p \in P \rangle$ $\langle \varphi \in \text{formula} \rangle$ $\langle \vartheta \in M \rangle$ $\langle \pi \in M \rangle$ $\langle \sigma \in M \rangle$ **have**
 $\forall F. M\text{-generic}(F) \wedge p \in F \longrightarrow$
 $\text{sats}(M[F], \varphi, [\text{val}(F, \vartheta), \text{val}(F, \sigma), \text{val}(F, \pi)])$
using $\text{definition-of-forces}$ **by** simp
with $\langle p \in P \rangle$ $\langle \vartheta \in M \rangle$ **have**
 $\text{Eq6: } \exists \vartheta' \in M. \exists p' \in P. \langle \vartheta, p \rangle = \langle \vartheta', p' \rangle \wedge (\forall F. M\text{-generic}(F) \wedge p' \in F \longrightarrow$
 $\text{sats}(M[F], \varphi, [\text{val}(F, \vartheta'), \text{val}(F, \sigma), \text{val}(F, \pi)]))$ **by** auto

from $\langle \pi \in M \rangle$ $\langle \langle \vartheta, q \rangle \in \pi \rangle$ **have**
 $\langle \vartheta, q \rangle \in M$ **by** $(\text{simp add:transitivity})$
from $\langle \langle \vartheta, q \rangle \in \pi \rangle$ $\langle \vartheta \in M \rangle$ $\langle p \in P \rangle$ $\langle p \in M \rangle$ **have**
 $\langle \vartheta, p \rangle \in M$ $\langle \vartheta, p \rangle \in \text{domain}(\pi) \times P$
using $\text{pair}M$ **by** auto
with $\langle \vartheta \in M \rangle$ Eq6 $\langle p \in P \rangle$ **have**

```

    sats(M, ?ψ, [⟨∅, p⟩] @ ?Pl1 @ [σ, π])
    using Equivalence by auto
  with ⟨⟨∅, p⟩ ∈ domain(π) × P⟩ have
    ⟨∅, p⟩ ∈ ?n by simp
  with ⟨p ∈ G⟩ ⟨p ∈ P⟩ have
    val(G, ∅) ∈ val(G, ?n)
    using val-of-elem[of ∅ p] by simp
  with ⟨val(G, ∅) = x⟩ show
    x ∈ val(G, ?n) by simp
qed
with val-m first-incl have
  val(G, ?n) = {x ∈ c. sats(M[G], φ, [x, w, c])} by auto
also have
  ... = {x ∈ c. sats(M[G], φ, [x, w])}
proof -
  {
    fix x
    assume
      x ∈ c
    moreover from assms have
      c ∈ M[G] w ∈ M[G]
      unfolding GenExt-def by auto
    moreover with ⟨x ∈ c⟩ have
      x ∈ M[G]
      by (simp add: Transset-MG Transset-intf)
    ultimately have
      sats(M[G], φ, [x, w]@[c]) ⟷ sats(M[G], φ, [x, w])
      using phi by (rule-tac arity-sats-iff, simp-all)
  }
  then show ?thesis by auto
qed
finally show
  {x ∈ c. sats(M[G], φ, [x, w])} ∈ M[G]
  using ⟨?n ∈ M⟩ GenExt-def by force
qed

```

```

theorem separation-in-MG:
  assumes
    φ ∈ formula and arity(φ) = 1 ∨ arity(φ) = 2
  shows
    (∀ a ∈ (M[G]). separation(##M[G], λx. sats(M[G], φ, [x, a])))
proof -
  {
    fix c w
    assume
      c ∈ M[G] w ∈ M[G]
    then obtain π σ where
      val(G, π) = c val(G, σ) = w π ∈ M σ ∈ M
      using GenExt-def by auto
  }

```

```

with assms have
  Eq1:  $\{x \in c. \text{sats}(M[G], \varphi, [x, w])\} \in M[G]$ 
  using Collect-sats-in-MG by auto
}
then show ?thesis using separation-iff rev-bexI
  unfolding is-Collect-def by force
qed
end
end
theory Pairing-Axiom imports Names Interface begin

context forcing-data
begin

lemma valsigma :
   $one \in G \implies \{\langle \tau, one \rangle, \langle \varrho, one \rangle\} \in M \implies$ 
   $val(G, \{\langle \tau, one \rangle, \langle \varrho, one \rangle\}) = \{val(G, \tau), val(G, \varrho)\}$ 
  by (insert one-in-P, rule trans, subst def-val, auto simp add: Sep-and-Replace)

lemma pairing-in-MG :
  assumes M-generic(G)
  shows upair-ax(##M[G])
proof –
  {
    fix x y
    have  $one \in G$  using assms one-in-G by simp
    from assms have  $G \subseteq P$ 
    unfolding M-generic-def and filter-def by simp
    with  $\langle one \in G \rangle$  have  $one \in P$  using subsetD by simp
    then have  $one \in M$  using Transset-intf[OF trans-M - P-in-M] by simp
    assume  $x \in M[G]$   $y \in M[G]$ 
    then obtain  $\tau \varrho$  where
       $0 : val(G, \tau) = x$   $val(G, \varrho) = y$   $\varrho \in M$   $\tau \in M$ 
      using GenExtD by blast
    with  $\langle one \in M \rangle$  have  $\langle \tau, one \rangle \in M$   $\langle \varrho, one \rangle \in M$ 
      using pair-in-M-iff by auto
    then have  $1 : \{\langle \tau, one \rangle, \langle \varrho, one \rangle\} \in M$  (is  $? \sigma \in -$ )
      using upair-in-M-iff by simp
    then have  $val(G, ?\sigma) \in M[G]$ 
      using GenExtI by simp
    with  $1$  have  $\{val(G, \tau), val(G, \varrho)\} \in M[G]$ 
      using valsigma assms one-in-G by simp
    with  $0$  have  $\{x, y\} \in M[G]$  by simp
  }
  then show ?thesis unfolding upair-ax-def upair-def by auto
qed

end
end

```

```

theory Union-Axiom
  imports Names Nat-Miscellanea
begin

context forcing-data
begin

definition Union-name-body :: [i,i,i,i] ⇒ o where
  Union-name-body(P',leq',τ,∅p) == (∃ σ[##M].
    ∃ q[##M]. (q ∈ P' ∧ (<σ,q> ∈ τ ∧
      (∃ r[##M].r ∈ P' ∧ (<fst(∅p),r> ∈ σ ∧ <snd(∅p),r> ∈ leq' ∧
        <snd(∅p),q> ∈ leq')))))

definition Union-name-fm :: i where
  Union-name-fm ==
    Exists(* ∅ *) (
      Exists(* p *) (And(pair-fm(1,0,2),
        Exists (* σ *) (
          Exists (* q *) (And(Member(0,7),
            Exists (* σ,q *) (And(And(pair-fm(2,1,0),Member(0,6)),
              Exists (* r *) (And(Member(0,9),
                Exists (* ∅, r *) (And(And(pair-fm(6,1,0),Member(0,4)),
                  Exists (* p, r *) (And(And(pair-fm(6,2,0),Member(0,10)),
                    Exists (* p,q *) (And(pair-fm(7,5,0),Member(0,11))))))))))))))

lemma Union-name-fm-type [TC]:
  Union-name-fm ∈ formula
  unfolding Union-name-fm-def by simp

lemma Union-name-fm-arity :
  arity(Union-name-fm) = 4
  unfolding Union-name-fm-def upair-fm-def pair-fm-def
  by (auto simp add: nat-simp-union)

lemma sats-Union-name-fm :
  [ a ∈ M ; b ∈ M ; P' ∈ M ; p ∈ M ; ∅ ∈ M ; τ ∈ M ; leq' ∈ M ] ⇒
    sats(M, Union-name-fm, [ <∅, p>, τ, leq', P' ] @ [a, b]) ↔
      Union-name-body(P', leq', τ, <∅, p>)
  unfolding Union-name-fm-def Union-name-body-def pairM
  by (subgoal-tac <∅, p> ∈ M, auto simp add : pairM)

lemma domD :
  assumes τ ∈ M σ ∈ domain(τ)
  shows σ ∈ M
  using assms Transset-M trans-M
  by (simp del:setclass-iff add:setclass-iff [symmetric])

```


definition *Union-name* :: $i \Rightarrow i$ **where**

$Union\text{-name}(\tau) ==$
 $\{u \in \text{domain}(\bigcup(\text{domain}(\tau))) \times P . \text{Union-name-body}(P, \text{leq}, \tau, u)\}$

lemma *Union-name-M* : **assumes** $\tau \in M$

shows $\{u \in \text{domain}(\bigcup(\text{domain}(\tau))) \times P . \text{Union-name-body}(P, \text{leq}, \tau, u)\} \in M$

unfolding *Union-name-def*

proof –

let $?P = \lambda x . \text{sats}(M, \text{Union-name-fm}, [x, \tau, \text{leq}] @ [P, \tau, \text{leq}])$

let $?Q = \lambda x . \text{Union-name-body}(P, \text{leq}, \tau, x)$

from $\langle \tau \in M \rangle$ **have** $\text{domain}(\bigcup(\text{domain}(\tau))) \in M$ (**is** $?d \in -$) **using** *domain-closed*

Union-closed **by** *simp*

then have $?d \times P \in M$ **using** *cartprod-closed P-in-M* **by** *simp*

have $\text{arity}(\text{Union-name-fm}) \leq 6$ **using** *Union-name-fm-arity* **by** *simp*

from *assms P-in-M leq-in-M Union-name-fm-arity* **have**

$[\tau, \text{leq}] \in \text{list}(M)$ $[P, \tau, \text{leq}] \in \text{list}(M)$ **by** *auto*

with *assms assms P-in-M leq-in-M* $\langle \text{arity}(\text{Union-name-fm}) \leq 6 \rangle$ **have**

$\forall u \in M . \text{separation}(\#\#M, ?P)$

using *sixp-sep[of Union-name-fm τ leq P τ leq]* **by** *simp*

with $\langle ?d \times P \in M \rangle$ **have** $A: \{u \in ?d \times P . ?P(u)\} \in M$

using *separation-iff* **by** *force*

{fix x

assume $x \in ?d \times P$

then have $x = \langle \text{fst}(x), \text{snd}(x) \rangle$ **using** *Pair-fst-snd-eq* **by** *simp*

with $\langle x \in ?d \times P \rangle$ $\langle ?d \in M \rangle$ **have**

$\text{fst}(x) \in M$ $\text{snd}(x) \in M$ **using** *transM fst-type snd-type P-in-M* **by** *auto*

then have $?P(\langle \text{fst}(x), \text{snd}(x) \rangle) \longleftrightarrow ?Q(\langle \text{fst}(x), \text{snd}(x) \rangle)$

using *P-in-M sats-Union-name-fm P-in-M* $\langle \tau \in M \rangle$ *leq-in-M* **by** *simp*

with $\langle x = \langle \text{fst}(x), \text{snd}(x) \rangle \rangle$ **have** $?P(x) \longleftrightarrow ?Q(x)$ **by** *simp*

}

then have $?P(x) \longleftrightarrow ?Q(x)$ **if** $x \in ?d \times P$ **for** x **using** *that* **by** *simp*

then show *thesis* **using** *Collect-cong A* **by** *simp*

qed

lemma *Union-abs-trans* :

assumes *Transset(Q)* $a \in Q$ $z \in Q \bigcup a = z$

shows *big-union*($\#\#Q, a, z$)

proof –

{

fix x

assume $x \in z$

with $\langle \bigcup a = z \rangle$ $\langle \text{Transset}(Q) \rangle$ $\langle a \in Q \rangle$ **obtain** y **where**

$y \in a$ $x \in y$ $y \in Q$

unfolding *Transset-def* **using** *subsetD* **by** *blast*

then have $\exists y[\#\#Q]. x \in y \wedge y \in a$ **by** *auto*

}

then have $1: x \in z \implies \exists y[\#\#Q]. x \in y \wedge y \in a$ **for** x .

with $\langle \bigcup a=z \rangle$ **have** $\exists y[\#\#Q]. y \in a \wedge x \in y \implies x \in z$ **for** x **by** *blast*
then show *?thesis* **using** *1 unfolding big-union-def* **by** *blast*
qed

lemma *Union-MG-Eq* :

assumes $a \in M[G]$ **and** $a = \text{val}(G, \tau)$ **and** $\text{filter}(G)$ **and** $\tau \in M$
shows $\bigcup a = \text{val}(G, \text{Union-name}(\tau))$

proof –

{
 fix x
 assume $x \in \bigcup (\text{val}(G, \tau))$
 then obtain i **where** $i \in \text{val}(G, \tau)$ $x \in i$ **by** *blast*
 with $\langle \tau \in M \rangle$ **obtain** σ q **where**
 $q \in G$ $\langle \sigma, q \rangle \in \tau$ $\text{val}(G, \sigma) = i$ $\sigma \in M$
 using *elem-of-val-pair domD* **by** *blast*
 with $\langle x \in i \rangle$ **obtain** ϑ r **where**
 $r \in G$ $\langle \vartheta, r \rangle \in \sigma$ $\text{val}(G, \vartheta) = x$ $\vartheta \in M$
 using *elem-of-val-pair domD* **by** *blast*
 with $\langle \langle \sigma, q \rangle \in \tau \rangle$ **have** $\vartheta \in \text{domain}(\bigcup (\text{domain}(\tau)))$ **by** *auto*
 with $\langle \text{filter}(G) \rangle$ $\langle q \in G \rangle$ $\langle r \in G \rangle$ **obtain** p **where**
 $A: p \in G$ $\langle p, r \rangle \in \text{leq}$ $\langle p, q \rangle \in \text{leq}$ $p \in P$ $r \in P$ $q \in P$
 using *low-bound-filter filterD* **by** *blast*
 then have $p \in M$ $q \in M$ $r \in M$ **using** *transM P-in-M* **by** *auto*
 with A $\langle \langle \vartheta, r \rangle \in \sigma \rangle$ $\langle \langle \sigma, q \rangle \in \tau \rangle$ $\langle \vartheta \in M \rangle$ $\langle \vartheta \in \text{domain}(\bigcup (\text{domain}(\tau))) \rangle$
 $\langle \sigma \in M \rangle$ **have**
 $\langle \vartheta, p \rangle \in \text{Union-name}(\tau)$ **unfolding** *Union-name-def Union-name-body-def*
 by *auto*
 with $\langle p \in P \rangle$ $\langle p \in G \rangle$ **have** $\text{val}(G, \vartheta) \in \text{val}(G, \text{Union-name}(\tau))$
 using *val-of-elem* **by** *simp*
 with $\langle \text{val}(G, \vartheta) = x \rangle$ **have** $x \in \text{val}(G, \text{Union-name}(\tau))$ **by** *simp*
 }
with $\langle a = \text{val}(G, \tau) \rangle$ **have** $1: x \in \bigcup a \implies x \in \text{val}(G, \text{Union-name}(\tau))$ **for** x **by**
simp
 {
 fix x
 assume $x \in (\text{val}(G, \text{Union-name}(\tau)))$
 then obtain ϑ p **where**
 $p \in G$ $\langle \vartheta, p \rangle \in \text{Union-name}(\tau)$ $\text{val}(G, \vartheta) = x$
 using *elem-of-val-pair* **by** *blast*
 with $\langle \text{filter}(G) \rangle$ **have** $p \in P$ **using** *filterD* **by** *simp*
 from $\langle \langle \vartheta, p \rangle \in \text{Union-name}(\tau) \rangle$ **obtain** σ q r **where**
 $\sigma \in \text{domain}(\tau)$ $\langle \sigma, q \rangle \in \tau$ $\langle \vartheta, r \rangle \in \sigma$ $r \in P$ $q \in P$ $\langle p, r \rangle \in \text{leq}$ $\langle p, q \rangle \in \text{leq}$
 unfolding *Union-name-def Union-name-body-def* **by** *force*
 with $\langle p \in G \rangle$ $\langle \text{filter}(G) \rangle$ **have** $r \in G$ $q \in G$
 using *filter-leqD* **by** *auto*
 with $\langle \langle \vartheta, r \rangle \in \sigma \rangle$ $\langle \langle \sigma, q \rangle \in \tau \rangle$ $\langle q \in P \rangle$ $\langle r \in P \rangle$ **have**
 $\text{val}(G, \sigma) \in \text{val}(G, \tau)$ $\text{val}(G, \vartheta) \in \text{val}(G, \sigma)$
 using *val-of-elem* **by** *simp+*
 then have $\text{val}(G, \vartheta) \in \bigcup \text{val}(G, \tau)$ **by** *blast*
 }

with $\langle \text{val}(G, \vartheta) = x \rangle \langle a = \text{val}(G, \tau) \rangle$ **have**
 $x \in \bigcup a$ **by** *simp*
}
with $\langle a = \text{val}(G, \tau) \rangle$ **have** $x \in \text{val}(G, \text{Union-name}(\tau)) \implies x \in \bigcup a$ **for** x **by** *blast*
then show *?thesis* **using** 1 **by** *blast*
qed

lemma *union-in-MG* : **assumes** *filter(G)*
shows *Union-ax(##M[G])*
proof –
{ **fix** a
assume $a \in M[G]$
then obtain τ **where** $\tau \in M$ $a = \text{val}(G, \tau)$ **using** *GenExtD* **by** *blast*
then have $\text{Union-name}(\tau) \in M$ **(is** $? \pi \in -$) **using** *Union-name-M* **unfolding**
Union-name-def **by** *simp*
then have $\text{val}(G, ? \pi) \in M[G]$ **(is** $?U \in -$) **using** *GenExtI* **by** *simp*
with $\langle a \in M[G] \rangle \langle \tau \in M \rangle \langle \text{filter}(G) \rangle \langle ?U \in M[G] \rangle \langle a = \text{val}(G, \tau) \rangle$
have *big-union(##M[G], a, ?U)*
using *Union-MG-Eq* *Union-abs-trans* *Transset-MG* **by** *blast*
with $\langle ?U \in M[G] \rangle$ **have** $\exists z[\text{##M}[G]]. \text{big-union}(\text{##M}[G], a, z)$ **by** *force*
}
then have *Union-ax(##M[G])* **unfolding** *Union-ax-def* **by** *force*
then show *?thesis* **by** *simp*
qed

theorem *Union-MG* : $M\text{-generic}(G) \implies \text{Union-ax}(\text{##M}[G])$
by (*simp* *add:M-generic-def* *union-in-MG*)

end

end

theory *Powerset-Axiom*

imports *Separation-Axiom* *Pairing-Axiom* *Union-Axiom*

begin

definition

perm-pow :: i **where**

$\text{perm-pow} == \{ \langle 0, 3 \rangle, \langle 1, 4 \rangle, \langle 2, 5 \rangle, \langle 3, 1 \rangle, \langle 4, 0 \rangle, \langle 5, 6 \rangle \}$

lemma *perm-pow-ffc* : $\text{perm-pow} \in 6 \text{ --} || > 7$ $\text{domain}(\text{perm-pow}) = 6$

unfolding *perm-pow-def*

by (*blast* *intro: consI* *emptyI, auto*)

lemma *perm-pow-tc* : $\text{perm-pow} \in 6 \rightarrow 7$

using *FiniteFun-is-fun* *perm-pow-ffc*

by *force*

lemma *perm-pow-env* :

$\{p, l, o, ss, fs, \chi\} \subseteq A \implies j < 6 \implies$

$\text{nth}(j, [p, l, o, ss, fs, \chi]) = \text{nth}(\text{perm-pow}'j, [fs, ss, sp, p, l, o, \chi])$

```

apply(subgoal-tac j ∈ nat)
apply(rule natE, simp, subst apply-fun, rule perm-pow-tc, simp add: perm-pow-def, simp-all)+
  apply(subst apply-fun, rule perm-pow-tc, simp add: perm-pow-def, simp-all, drule
ltD, auto)
done

```

lemma (*in M-trivial*) *powerset-subset-Pow*:

```

assumes
   $\text{powerset}(M, x, y) \wedge z. z \in y \implies M(z)$ 
shows
   $y \subseteq \text{Pow}(x)$ 
using assms unfolding powerset-def
by (auto)

```

lemma (*in M-trivial*) *powerset-abs*:

```

assumes
   $M(x) \wedge z. z \in y \implies M(z)$ 
shows
   $\text{powerset}(M, x, y) \longleftrightarrow y = \{a \in \text{Pow}(x) . M(a)\}$ 
proof (intro iffI equalityI)

```

```

  assume
     $\text{powerset}(M, x, y)$ 
  with assms have
     $y \subseteq \text{Pow}(x)$ 
    using powerset-subset-Pow by simp
  with assms show
     $y \subseteq \{a \in \text{Pow}(x) . M(a)\}$ 
    by blast
  {
    fix a
    assume
       $a \subseteq x \wedge M(a)$ 
    then have
       $\text{subset}(M, a, x)$  by simp
    with  $\langle M(a) \rangle \langle \text{powerset}(M, x, y) \rangle$  have
       $a \in y$ 
      unfolding powerset-def by simp
  }
  then show
     $\{a \in \text{Pow}(x) . M(a)\} \subseteq y$ 
    by auto

```

next

```

  assume
     $y = \{a \in \text{Pow}(x) . M(a)\}$ 
  then show
     $\text{powerset}(M, x, y)$ 
    unfolding powerset-def

```

by *simp*
qed

lemma *Collect-inter-Transset*:

assumes

$Transset(M) \ b \in M$

shows

$\{x \in b . P(x)\} = \{x \in b . P(x)\} \cap M$

using *assms unfolding Transset-def*

by (*auto*)

context *G-generic begin*

lemma *name-components-in-M*:

assumes $\langle \sigma, p \rangle \in \vartheta \ \vartheta \in M$

shows $\sigma \in M \ p \in M$

proof –

from *assms* **obtain** *a* **where**

$\sigma \in a \ p \in a \ a \in \langle \sigma, p \rangle$

unfolding *Pair-def* **by** *auto*

moreover from *assms* **have**

$\langle \sigma, p \rangle \in M$

using *trans-M Transset-intf[of - <σ,p>]* **by** *simp*

moreover from *calculation* **have**

$a \in M$

using *trans-M Transset-intf[of - - <σ,p>]* **by** *simp*

ultimately show

$\sigma \in M \ p \in M$

using *trans-M Transset-intf[of - - a]* **by** *simp-all*

qed

lemma *sats-fst-snd-in-M*:

assumes

$A \in M \ B \in M \ \varphi \in \text{formula} \ p \in M \ l \in M \ o \in M \ \chi \in M$

$\text{arity}(\varphi) \leq 6$

shows

$\{sq \in A \times B . \text{sats}(M, \varphi, [p, l, o, \text{snd}(sq), \text{fst}(sq), \chi])\} \in M$

(**is** $\vartheta \in M$)

proof –

have $6 \in \text{nat} \ 7 \in \text{nat}$ **by** *simp-all*

let $\varphi' = \text{ren}(\varphi) \text{'6'7'perm-pow}$

from $\langle A \in M \rangle \langle B \in M \rangle$ **have**

$A \times B \in M$

using *cartprod-closed* **by** *simp*

from $\langle \text{arity}(\varphi) \leq 6 \rangle \langle \varphi \in \text{formula} \rangle$ **have**

$\varphi' \in \text{formula} \ \text{arity}(\varphi') \leq 7$

using *perm-pow-tc ren-arity ren-tc* **by** *simp-all*

with $\langle \varphi' \in \text{formula} \rangle$ **have**

$1: \text{arity}(\text{Exists}(\text{Exists}(\text{And}(\text{pair-fm}(0, 1, 2), \varphi')))) \leq 5 \quad (\text{is } \text{arity}(\varphi') \leq 5)$

```

unfolding pair-fm-def upair-fm-def
using nat-simp-union pred-le arity-type by auto
{
fix sp
note  $\langle A \times B \in M \rangle$ 
moreover assume
   $sp \in A \times B$ 
moreover from calculation have
   $fst(sp) \in A \ snd(sp) \in B$ 
  using fst-type snd-type by simp-all
ultimately have
   $sp \in M \ fst(sp) \in M \ snd(sp) \in M$ 
  using  $\langle A \in M \rangle \langle B \in M \rangle$ 
  by (simp-all add: trans-M Transset-intf)
note
   $inM = \langle A \in M \rangle \langle B \in M \rangle \langle p \in M \rangle \langle l \in M \rangle \langle o \in M \rangle \langle \chi \in M \rangle$ 
   $\langle sp \in M \rangle \langle fst(sp) \in M \rangle \langle snd(sp) \in M \rangle$ 
with 1  $\langle sp \in M \rangle \langle ?\varphi' \in formula \rangle$  have
   $sats(M, ?\psi, [sp, p, l, o, \chi]@[p]) \longleftrightarrow sats(M, ?\psi, [sp, p, l, o, \chi])$  (is  $sats(M, -, ?env0@-)$ 
 $\longleftrightarrow -$ )
  using arity-sats-iff[of  $?\psi$   $[p]$   $M$   $?env0$ ] by auto
also from inM  $\langle sp \in A \times B \rangle$  have
   $\dots \longleftrightarrow sats(M, ?\varphi', [fst(sp), snd(sp), sp, p, l, o, \chi])$ 
  by auto
also from inM  $\langle \varphi \in formula \rangle \langle arity(\varphi) \leq 6 \rangle$  have
   $\dots \longleftrightarrow sats(M, \varphi, [p, l, o, snd(sp), fst(sp), \chi])$ 
  (is  $sats(-, -, ?env1) \longleftrightarrow sats(-, -, ?env2)$ )
  using sats-iff-sats-ren[of  $\varphi$  6 7  $?env2$   $M$   $?env1$  perm-pow] perm-pow-tc
  perm-pow-env [of - - - - -  $M$ ]
  by simp
finally have
   $sats(M, ?\psi, [sp, p, l, o, \chi, p]) \longleftrightarrow$ 
   $sats(M, \varphi, [p, l, o, snd(sp), fst(sp), \chi])$ 
  by simp
}
then have
   $?v = \{sp \in A \times B . sats(M, ?\psi, [sp, p, l, o, \chi, p])\}$ 
by auto
also from assms  $\langle A \times B \in M \rangle$  have
   $\dots \in M$ 
proof -
from 1 have
   $arity(?\psi) \leq 6$ 
  using leI by simp
moreover from  $\langle ?\varphi' \in formula \rangle$  have
   $?\psi \in formula$ 
  by simp
moreover note assms  $\langle A \times B \in M \rangle$ 
ultimately show

```

```

    { $x \in A \times B . \text{sats}(M, ?\psi, [x, p, l, o, \chi, p])$ }  $\in M$ 
    using sixp-sep Collect-abs separation-iff
    by simp
  qed
  finally show ?thesis .
qed

lemma Pow-inter-MG:
  assumes
     $a \in M[G]$ 
  shows
     $\text{Pow}(a) \cap M[G] \in M[G]$ 
proof -
  from assms obtain  $\tau$  where
     $\tau \in M \text{ val}(G, \tau) = a$ 
  using GenExtD by blast
  let
     $?Q = \text{Pow}(\text{domain}(\tau) \times P) \cap M$ 
  from  $\langle \tau \in M \rangle$  have
     $\text{domain}(\tau) \times P \in M \text{ domain}(\tau) \in M$ 
  using domain-closed cartprod-closed P-in-M
  by simp-all
  then have
     $?Q \in M$ 
proof -
  from power-ax  $\langle \text{domain}(\tau) \times P \in M \rangle$  obtain  $Q$  where
     $\text{powerset}(\#\#M, \text{domain}(\tau) \times P, Q) \ Q \in M$ 
  unfolding power-ax-def by auto
  moreover from calculation have
     $z \in Q \implies z \in M$  for  $z$ 
  using Transset-intf trans-M by blast
  ultimately have
     $Q = \{a \in \text{Pow}(\text{domain}(\tau) \times P) . a \in M\}$ 
  using  $\langle \text{domain}(\tau) \times P \in M \rangle$  powerset-abs[of domain(\tau) \times P Q]
  by (simp del:setclass-iff add:setclass-iff[symmetric])
  also have
     $\dots = ?Q$ 
  by auto
  finally show
     $?Q \in M$ 
  using  $\langle Q \in M \rangle$  by simp
qed
let
   $?\pi = ?Q \times \{\text{one}\}$ 
let
   $?b = \text{val}(G, ?\pi)$ 
from  $\langle ?Q \in M \rangle$  have
   $? \pi \in M$ 
  using one-in-P P-in-M Transset-intf transM

```

```

  by (simp del:setclass-iff add:setclass-iff[symmetric])
from ⟨?π∈M⟩ have
  ?b ∈ M[G]
  using GenExtI by simp
have
  Pow(a) ∩ M[G] ⊆ ?b
proof
  fix c
  assume
  c ∈ Pow(a) ∩ M[G]
  then obtain χ where
  c∈M[G] χ ∈ M val(G,χ) = c
  using GenExtD by blast
let
  ?∅={sp ∈ domain(τ)×P . sats(M,forces(Member(0,1)),[P,leq,one,snd(sp),fst(sp),χ])}
have
  arity(forces(Member(0,1))) = 6
  using arity-forces by auto
with ⟨domain(τ) ∈ M⟩ ⟨χ ∈ M⟩ have
  ?∅ ∈ M
  using P-in-M one-in-M leq-in-M sats-fst-snd-in-M
  by simp
then have
  ?∅ ∈ ?Q
  by auto
then have
  val(G,?∅) ∈ ?b
  using one-in-G one-in-P generic val-of-elem [of ?∅ one ?π G]
  by auto
have
  val(G,?∅) = c
proof
  {
  fix x
  assume
  x ∈ val(G,?∅)
  then obtain σ p where
  1: ⟨σ,p⟩∈?∅ p∈G val(G,σ) = x
  using elem-of-val-pair
  by blast
  moreover from ⟨⟨σ,p⟩∈?∅⟩ ⟨?∅ ∈ M⟩ have
  σ∈M
  using name-components-in-M[of - - ?∅] by auto
  moreover from 1 have
  sats(M,forces(Member(0,1)),[P,leq,one,p,σ,χ]) p∈P
  by simp-all
  moreover note
  ⟨val(G,χ) = c⟩
  }

```



```

ultimately have
  sats(M[G],Member(0,1),[x,c])
  using ⟨χ ∈ M⟩ generic definition-of-forces
  by auto
moreover have
  x ∈ M[G]
  using ⟨val(G,σ) = x⟩ ⟨σ ∈ M⟩ GenExtI by blast
ultimately have
  x ∈ c
  using ⟨c ∈ M[G]⟩ by simp
}
then show
  val(G,?∅) ⊆ c
  by auto
next

{
  fix x
  assume
    x ∈ c
  with ⟨c ∈ Pow(a) ∩ M[G]⟩ have
    x ∈ a c ∈ M[G] x ∈ M[G]
    by (auto simp add:Transset-intf Transset-MG)
  with ⟨val(G,τ) = a⟩ obtain σ where
    σ ∈ domain(τ) val(G,σ) = x
    using elem-of-val
    by blast
  moreover note ⟨x ∈ c⟩ ⟨val(G,χ) = c⟩
  moreover from calculation have
    val(G,σ) ∈ val(G,χ)
    by simp
  moreover note ⟨c ∈ M[G]⟩ ⟨x ∈ M[G]⟩
  moreover from calculation have
    sats(M[G],Member(0,1),[x,c])
    by simp
  moreover have
    Member(0,1) ∈ formula by simp
  moreover have
    σ ∈ M
  proof -
    from ⟨σ ∈ domain(τ)⟩ obtain p where
      ⟨σ,p⟩ ∈ τ
      by auto
    with ⟨τ ∈ M⟩ show ?thesis
      using name-components-in-M by blast
  qed
  moreover note ⟨χ ∈ M⟩
  ultimately obtain p where
    p ∈ G sats(M,forces(Member(0,1)),[P,leq,one,p,σ,χ])

```

```

    using generic truth-lemma[of Member(0,1) [σ,χ] G]
    by auto
  moreover from ⟨p∈G⟩ have
    p∈P
    using generic unfolding M-generic-def filter-def by blast
  ultimately have
    ⟨σ,p⟩∈?∅
    using ⟨σ∈domain(τ)⟩ by simp
  with ⟨val(G,σ) = x⟩ ⟨p∈G⟩ have
    x∈val(G,?∅)
    using val-of-elem [of - - ?∅] by auto
}
then show
  c ⊆ val(G,?∅)
  by auto
qed
with ⟨val(G,?∅) ∈ ?b⟩ show
  c∈?b
  by simp
qed
then have
  Pow(a) ∩ M[G] = {x∈?b . x⊆a & x∈M[G]}
  by auto
also from ⟨a∈M[G]⟩ have
  ... = {x∈?b . sats(M[G],subset-fm(0,1),[x,a]) & x∈M[G]}
  using Transset-MG by force
also have
  ... = {x∈?b . sats(M[G],subset-fm(0,1),[x,a])} ∩ M[G]
  by auto
also from ⟨?b∈M[G]⟩ have
  ... = {x∈?b . sats(M[G],subset-fm(0,1),[x,a])}
  using Collect-inter-Transset Transset-MG
  by simp
also have
  ... ∈ M[G]
proof -
  have
    arity(subset-fm(0,1)) ≤ 2
    by (simp add: not-lt-iff-le leI nat-union-abs1)
  moreover note
    ⟨?π∈M⟩ ⟨τ∈M⟩ ⟨val(G,τ) = a⟩
  ultimately show ?thesis
    using Collect-sats-in-MG by auto
qed
finally show ?thesis .
qed
end

sublocale G-generic ⊆ M-trivial##M[G]

```

```

using generic Union-MG pairing-in-MG zero-in-MG Transset-intf Transset-MG
unfolding M-trivial-def by simp

context G-generic begin
theorem power-in-MG :
  power-ax(##(M[G]))
  unfolding power-ax-def
proof (intro rallI, simp only:setclass-iff rex-setclass-is-bex)

  fix a
  assume
    a ∈ M[G]
  have
    {x∈Pow(a) . x ∈ M[G]} = Pow(a) ∩ M[G]
  by auto
  also from ⟨a∈M[G]⟩ have
    ... ∈ M[G]
  using Pow-inter-MG by simp
  finally have
    {x∈Pow(a) . x ∈ M[G]} ∈ M[G] .
  moreover from ⟨a∈M[G]⟩ have
    powerset(##M[G], a, {x∈Pow(a) . x ∈ M[G]})
  using powerset-abs[of a {x∈Pow(a) . x ∈ M[G]}]
  by simp
  ultimately show
    ∃ x∈M[G] . powerset(##M[G], a, x)
  by auto
qed
end
end
theory Infinity-Axiom
  imports Pairing-Axiom Union-Axiom
begin

  locale G-generic = forcing-data +
    fixes G :: i
    assumes generic : M-generic(G)
begin

  lemma zero-in-MG :
    0 ∈ M[G]
proof –
  from zero-in-M and elem-of-val have
    0 = val(G,0)
  by auto
  also from GenExtI and zero-in-M have
    ... ∈ M[G]
  by simp
  finally show ?thesis .

```

```

qed
end

sublocale G-generic  $\subseteq$  M-trivial##M[G]
  using generic Union-MG pairing-in-MG zero-in-MG Transset-intf Transset-MG
  unfolding M-trivial-def by simp

locale G-generic-extra = G-generic + M-extra-assms
begin
lemma infinty-in-MG : infinity-ax(##M[G])
proof -
  from infinity-ax obtain I where
    Eq1:  $I \in M \ 0 \in I \ \forall y \in M. y \in I \longrightarrow succ(y) \in I$ 
  unfolding infinity-ax-def by auto
  then have
    check(I) ∈ M
  using check-in-M by simp
  then have
     $I \in M[G]$ 
  using valcheck generic one-in-G one-in-P GenExtI[of check(I) G] by simp
  with  $\langle 0 \in I \rangle$  have  $0 \in M[G]$  using Transset-MG Transset-intf by simp
  with  $\langle I \in M \rangle$  have  $y \in M$  if  $y \in I$  for y
  using Transset-intf[OF trans-M -  $\langle I \in M \rangle$ ] that by simp
  with  $\langle I \in M[G] \rangle$  have  $succ(y) \in I \cap M[G]$  if  $y \in I$  for y
  using that Eq1 Transset-MG Transset-intf by blast
  with Eq1  $\langle I \in M[G] \rangle$   $\langle 0 \in M[G] \rangle$  show ?thesis
  unfolding infinity-ax-def by auto
qed

end
end

```