

# First steps towards a formalization of Forcing

Gunther Pagano Sánchez Terraf

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## Contents

**theory** *Pointed-DC* **imports** *AC*

**begin**

This proof of DC is from Moschovakis "Notes on Set Theory"

**consts** *dc-witness* ::  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i$

**primrec**

*wit0* :  $dc-witness(0, A, a, s, R) = a$

*witrec* :  $dc-witness(succ(n), A, a, s, R) = s'\{x \in A. \langle dc-witness(n, A, a, s, R), x \rangle \in R\}$

**lemma** *witness-into-A* [TC]:  $a \in A \Longrightarrow n \in nat \Longrightarrow$

$(\forall X. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \Longrightarrow$

$\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \Longrightarrow$

$dc-witness(n, A, a, s, R) \in A$

**apply** (*induct-tac*  $n$ , *simp+*)

**apply** (*drule-tac*  $x = dc-witness(x, A, a, s, R)$  **in** *bspec, assumption*)

**apply** (*drule-tac*  $x = \{x \in A. \langle dc-witness(x, A, a, s, R), x \rangle \in R\}$  **in** *spec*)

**apply** *auto*

**done**

**lemma** *witness-related* :  $a \in A \Longrightarrow n \in nat \Longrightarrow$

$(\forall X. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \Longrightarrow$

$\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \Longrightarrow$

$\langle dc-witness(n, A, a, s, R), dc-witness(succ(n), A, a, s,$

$R) \rangle \in R$

**apply** (*frule-tac*  $n = n$  **and**  $s = s$  **and**  $R = R$  **in** *witness-into-A, assumption+*)

**apply** (*drule-tac*  $x = dc-witness(n, A, a, s, R)$  **in** *bspec, assumption*)

**apply** (*drule-tac*  $x = \{x \in A. \langle dc-witness(n, A, a, s, R), x \rangle \in R\}$  **in** *spec*)

**apply** (*simp, blast*)

**done**

**lemma** *witness-funtype*:  $a \in A \Longrightarrow$

$(\forall X. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \Longrightarrow$

$\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \Longrightarrow$

$(\lambda n \in nat. dc-witness(n, A, a, s, R)) \in nat \rightarrow A$

**apply** (rule-tac  $B = \{dc\text{-witness}(n, A, a, s, R). n \in nat\}$  **in** fun-weaken-type)  
**apply** (rule lam-funtype)  
**apply** (blast intro:witness-into-A)  
**done**

**lemma** *witness-to-fun*:  $a \in A \implies (\forall X. X \neq 0 \wedge X \subseteq A \longrightarrow s'X \in X) \implies$   
 $\forall y \in A. \{x \in A. \langle y, x \rangle \in R\} \neq 0 \implies$   
 $\exists f \in nat \rightarrow A. \forall n \in nat. f'n = dc\text{-witness}(n, A, a, s, R)$   
**apply** (rule-tac  $x = \lambda n \in nat. dc\text{-witness}(n, A, a, s, R)$  **in** *bestI, simp*)  
**apply** (rule witness-funtype, simp+)  
**done**

**theorem** *pointed-DC* :  $(\forall x \in A. \exists y \in A. \langle x, y \rangle \in R) \implies$   
 $\forall a \in A. (\exists f \in nat \rightarrow A. f'0 = a \wedge (\forall n \in nat. \langle f'n, f'succ(n) \rangle \in R))$   
**apply** (rule)  
**apply** (insert AC-func-Pow)  
**apply** (drule allI)  
**apply** (drule-tac  $x = A$  **in** *spec*)  
**apply** (drule-tac  $P = \lambda f. \forall x \in Pow(A) - \{0\}. f'x \in x$   
**and**  $A = Pow(A) - \{0\} \rightarrow A$   
**and**  $Q = \exists f \in nat \rightarrow A. f'0 = a \wedge (\forall n \in nat. \langle f'n, f'succ(n) \rangle \in R)$   
**in** *bestE*)  
**prefer** 2 **apply** (*assumption*)  
**apply** (*rename-tac s*)  
**apply** (rule-tac  $x = \lambda n \in nat. dc\text{-witness}(n, A, a, s, R)$  **in** *bestI*)  
**prefer** 2 **apply** (blast intro:witness-funtype)  
**apply** (rule *conjI, simp*)  
**apply** (rule *ballI, rename-tac m*)  
**apply** (*subst beta, simp*)  
**apply** (rule *witness-related, auto*)  
**done**

**lemma** *aux-DC-on-AxNat2* :  $\forall x \in A \times nat. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R \implies$   
 $\forall x \in A \times nat. \exists y \in A \times nat. \langle x, y \rangle \in \{\langle a, b \rangle \in R. snd(b) = succ(snd(a))\}$   
**apply** (rule *ballI, erule-tac x = x* **in** *ballE, simp-all*)  
**done**

**lemma** *infer-snd* :  $c \in A \times B \implies snd(c) = k \implies c = \langle fst(c), k \rangle$   
**by** *auto*

**corollary** *DC-on-A-x-nat* :  
 $(\forall x \in A \times nat. \exists y \in A. \langle x, \langle y, succ(snd(x)) \rangle \rangle \in R) \implies$   
 $\forall a \in A. (\exists f \in nat \rightarrow A. f'0 = a \wedge (\forall n \in nat. \langle f'n, \langle f'succ(n), succ(n) \rangle \rangle \in R))$   
**apply** (frule *aux-DC-on-AxNat2*)  
**apply** (drule-tac  $R = \{\langle a, b \rangle \in R. snd(b) = succ(snd(a))\}$  **in** *pointed-DC*)  
**apply** (rule *ballI*)  
**apply** (*rotate-tac*)  
**apply** (drule-tac  $x = \langle a, 0 \rangle$  **in** *bspec, simp*)

```

apply (erule bxE, rename-tac g)
apply (rule-tac  $x = \lambda x \in \text{nat}. \text{fst}(g'x)$  and  $A = \text{nat} \rightarrow A$  in bxI, auto)
apply (subgoal-tac  $\forall n \in \text{nat}. g'n = \langle \text{fst}(g' n), n \rangle$ )
prefer 2 apply (rule ballI, rename-tac m)
apply (induct-tac m, simp)
apply (rename-tac d, auto)
apply (frule-tac  $A = \text{nat}$  and  $x = d$  in bspec, simp)
apply (rule-tac  $A = A$  and  $B = \text{nat}$  in infer-snd, auto)
apply (rule-tac  $a = \langle \text{fst}(g' d), d \rangle$  and  $b = g' d$  in ssubst, assumption)
apply (subst snd-conv, simp)
done

```

**lemma** *aux-sequence-DC* :  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \implies$   
 $\forall x \in A \times \text{nat}. \exists y \in A. \langle x, \langle y, \text{succ}(\text{snd}(x)) \rangle \rangle \in \{ \langle \langle x, n \rangle, \langle y, m \rangle \rangle \in (A \times \text{nat}) \times (A \times \text{nat}).$   
 $\langle x, y \rangle \in S'm \}$   
**by** *auto*

**lemma** *sequence-DC* :  $\forall x \in A. \forall n \in \text{nat}. \exists y \in A. \langle x, y \rangle \in S'n \implies$   
 $\forall a \in A. (\exists f \in \text{nat} \rightarrow A. f'0 = a \wedge (\forall n \in \text{nat}. \langle f'n, f'\text{succ}(n) \rangle \in S'\text{succ}(n)))$   
**apply** (*drule aux-sequence-DC*)  
**apply** (*drule DC-on-A-x-nat*, *auto*)  
**done**

**end**

**theory** *Forcing-notions* **imports** *Pointed-DC* **begin**

**definition** *compat-in* ::  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{compat-in}(A, r, p, q) == \exists d \in A. \langle d, p \rangle \in r \wedge \langle d, q \rangle \in r$

**lemma** *compat-inI* :  
 $\llbracket d \in A ; \langle d, p \rangle \in r ; \langle d, q \rangle \in r \rrbracket \implies \text{compat-in}(A, r, p, q)$   
**by** (*auto simp add: compat-in-def*)

**lemma** *refl-compat*:  
 $\llbracket \text{refl}(A, r) ; \langle p, q \rangle \in r \mid p = q \mid \langle q, p \rangle \in r ; p \in A ; q \in A \rrbracket \implies \text{compat-in}(A, r, p, q)$   
**by** (*auto simp add: refl-def compat-inI*)

**lemma** *chain-compat*:  
 $\text{refl}(A, r) \implies \text{linear}(A, r) \implies (\forall p \in A. \forall q \in A. \text{compat-in}(A, r, p, q))$   
**by** (*simp add: refl-compat linear-def*)

**lemma** *subset-fun-image*:  $f : N \rightarrow P \implies f'N \subseteq P$   
**by** (*auto simp add: image-fun apply-funtype*)

**definition**  
*antichain* ::  $i \Rightarrow i \Rightarrow i \Rightarrow o$  **where**  
 $\text{antichain}(P, \text{leq}, A) == A \subseteq P \wedge (\forall p \in A. \forall q \in A. (\neg \text{compat-in}(P, \text{leq}, p, q)))$

**definition**

$ccc :: i \Rightarrow i \Rightarrow o$  **where**  
 $ccc(P, leq) == \forall A. \text{antichain}(P, leq, A) \longrightarrow |A| \leq \text{nat}$

**locale** *forcing-notion* =

**fixes**  $P$   $leq$   $one$   
**assumes**  $one\text{-in-}P$ :  $one \in P$   
**and**  $leq\text{-preord}$ :  $\text{preorder-on}(P, leq)$   
**and**  $one\text{-max}$ :  $\forall p \in P. \langle p, one \rangle \in leq$

**begin**

**definition**

$dense :: i \Rightarrow o$  **where**  
 $dense(D) == \forall p \in P. \exists d \in D. \langle d, p \rangle \in leq$

**definition**

$dense\text{-below} :: i \Rightarrow i \Rightarrow o$  **where**  
 $dense\text{-below}(D, q) == \forall p \in P. \langle p, q \rangle \in leq \longrightarrow (\exists d \in D. \langle d, p \rangle \in leq)$

**lemma**  $P\text{-dense}$ :  $dense(P)$

**using**  $leq\text{-preord}$   
**unfolding**  $\text{preorder-on-def}$   $\text{refl-def}$   $dense\text{-def}$   
**by**  $\text{blast}$

**definition**

$increasing :: i \Rightarrow o$  **where**  
 $increasing(F) == \forall x \in F. \forall p \in P. \langle x, p \rangle \in leq \longrightarrow p \in F$

**definition**

$compat :: i \Rightarrow i \Rightarrow o$  **where**  
 $compat(p, q) == \text{compat-in}(P, leq, p, q)$

**definition**

$\text{antichain} :: i \Rightarrow o$  **where**  
 $\text{antichain}(A) == A \subseteq P \wedge (\forall p \in A. \forall q \in A. (\neg \text{compat}(p, q)))$

**definition**

$\text{filter} :: i \Rightarrow o$  **where**  
 $\text{filter}(G) == G \subseteq P \wedge \text{increasing}(G) \wedge (\forall p \in G. \forall q \in G. \text{compat-in}(G, leq, p, q))$

**definition**

$\text{upclosure} :: i \Rightarrow i$  **where**  
 $\text{upclosure}(A) == \{p \in P. \exists a \in A. \langle a, p \rangle \in leq\}$

**lemma**  $\text{upclosureI}$  [*intro*] :  $p \in P \Longrightarrow a \in A \Longrightarrow \langle a, p \rangle \in leq \Longrightarrow p \in \text{upclosure}(A)$

**by** ( $\text{simp add: upclosure-def, auto}$ )

**lemma**  $\text{upclosureE}$  [*elim*] :

$p \in \text{upclosure}(A) \Longrightarrow (\bigwedge x a. x \in P \Longrightarrow a \in A \Longrightarrow \langle a, x \rangle \in leq \Longrightarrow R) \Longrightarrow R$

```

by (auto simp add:upclosure-def)

lemma upclosureD [dest] :
  p ∈ upclosure(A) ⇒ ∃ a ∈ A. (⟨a,p⟩ ∈ leq) ∧ p ∈ P
by (simp add:upclosure-def)

lemma upclosure-increasing :
  A ⊆ P ⇒ increasing(upclosure(A))
apply (unfold increasing-def upclosure-def, simp)
apply clarify
apply (rule-tac x=a in bexI)
apply (insert leq-preord, unfold preorder-on-def)
apply (drule conjunct2, unfold trans-on-def)
apply (drule-tac x=a in bspec, fast)
apply (drule-tac x=x in bspec, assumption)
apply (drule-tac x=p in bspec, assumption)
apply (simp, assumption)
done

lemma upclosure-in-P: A ⊆ P ⇒ upclosure(A) ⊆ P
apply (rule subsetI)
apply (simp add:upclosure-def)
done

lemma A-sub-upclosure: A ⊆ P ⇒ A ⊆ upclosure(A)
apply (rule subsetI)
apply (simp add:upclosure-def, auto)
apply (insert leq-preord, unfold preorder-on-def refl-def, auto)
done

lemma elem-upclosure: A ⊆ P ⇒ x ∈ A ⇒ x ∈ upclosure(A)
by (blast dest:A-sub-upclosure)

lemma closure-compat-filter:
  A ⊆ P ⇒ (∀ p ∈ A. ∀ q ∈ A. compat-in(A, leq, p, q)) ⇒ filter(upclosure(A))
apply (unfold filter-def)
apply (intro conjI)
apply (rule upclosure-in-P, assumption)
  apply (rule upclosure-increasing, assumption)
apply (unfold compat-in-def)
apply (rule ballI)+
apply (rename-tac x y)
apply (drule upclosureD)+
apply (erule bexE)+
apply (rename-tac a b)
apply (drule-tac A=A and x=a in bspec, assumption)
apply (drule-tac A=A and x=b in bspec, assumption)
apply (auto)
apply (rule-tac x=d in bexI)

```

```

prefer 2 apply (simp add:A-sub-upclosure [THEN subsetD])
apply (insert leq-preord, unfold preorder-on-def trans-on-def, drule conjunct2)
apply (rule conjI)
apply (drule-tac x=d in bspec, rule-tac A=A in subsetD, assumption+)
apply (drule-tac x=a in bspec, rule-tac A=A in subsetD, assumption+)
apply (drule-tac x=x in bspec, assumption, auto)
done

```

```

lemma aux-RS1: f ∈ N → P ⇒ n ∈ N ⇒ f^n ∈ upclosure(f ^N)
apply (rule-tac elem-upclosure)
apply (rule subset-fun-image, assumption)
apply (simp add: image-fun, blast)
done
end

```

```

lemma refl-monot-domain: refl(B,r) ⇒ A ⊆ B ⇒ refl(A,r)
apply (drule subset-iff [THEN iffD1])
apply (unfold refl-def)
apply (blast)
done

```

```

lemma decr-succ-decr: f ∈ nat → P ⇒ preorder-on(P,leq) ⇒
   $\forall n \in \text{nat}. \langle f \text{ ' succ}(n), f \text{ ' } n \rangle \in \text{leq} \Rightarrow$ 
   $n \in \text{nat} \Rightarrow m \in \text{nat} \Rightarrow n \leq m \rightarrow \langle f \text{ ' } m, f \text{ ' } n \rangle \in \text{leq}$ 
apply (unfold preorder-on-def, erule conjE)
apply (induct-tac m, simp add:refl-def, rename-tac x)
apply (rule impI)
apply (case-tac n ≤ x, simp)
  apply (drule-tac x=x in bspec, assumption)
apply (unfold trans-on-def)
apply (drule-tac x=f'succ(x) in bspec, simp)
apply (drule-tac x=f'x in bspec, simp)
apply (drule-tac x=f'n in bspec, auto)
apply (drule-tac le-succ-iff [THEN iffD1], simp add: refl-def)
done

```

```

lemma not-le-imp-lt: [~ i ≤ j ; Ord(i); Ord(j)] ⇒ j < i
by (simp add:not-le-iff-lt)

```

```

lemma decr-seq-linear: refl(P,leq) ⇒ f ∈ nat → P ⇒
   $\forall n \in \text{nat}. \langle f \text{ ' succ}(n), f \text{ ' } n \rangle \in \text{leq} \Rightarrow$ 
   $\text{trans}[P](\text{leq}) \Rightarrow \text{linear}(f \text{ ' } \text{nat}, \text{leq})$ 
apply (unfold linear-def)
apply (rule ball-image-simp [THEN iffD2], assumption, simp, rule ballI)+
apply (rename-tac y)
  apply (case-tac x ≤ y)
apply (drule-tac leq=leq and n=x and m=y in decr-succ-decr)

```

```

apply (simp add:preorder-on-def)

```

```

    apply (simp+)
  apply (drule not-le-imp-lt [THEN leI], simp-all)
  apply (drule-tac leq=leq and n=y and m=x in decr-succ-decr)

    apply (simp add:preorder-on-def)

  apply (simp+)
done

locale countable-generic = forcing-notion +
  fixes  $\mathcal{D}$ 
  assumes countable-subst-of-P:  $\mathcal{D} \in \text{nat} \rightarrow \text{Pow}(P)$ 
  and seq-of-denses:  $\forall n \in \text{nat}. \text{dense}(\mathcal{D}'n)$ 

begin

definition
  D-generic ::  $i \Rightarrow o$  where
  D-generic( $G$ ) == filter( $G$ )  $\wedge$  ( $\forall n \in \text{nat}. (\mathcal{D}'n) \cap G \neq \emptyset$ )

lemma RS-relation:
  assumes
    1:  $x \in P$ 
    and
    2:  $n \in \text{nat}$ 
  shows
     $\exists y \in P. \langle x, y \rangle \in (\lambda m \in \text{nat}. \{ \langle x, y \rangle \in P * P. \langle y, x \rangle \in \text{leq} \wedge y \in \mathcal{D}'(\text{pred}(m)) \})'n$ 
proof -
  from seq-of-denses and 2 have dense( $\mathcal{D}' \text{pred}(n)$ ) by (simp)
  with 1 have
     $\exists d \in \mathcal{D}' \text{Arith.pred}(n). \langle d, x \rangle \in \text{leq}$ 
  unfolding dense-def by (simp)
  then obtain  $d$  where
    3:  $d \in \mathcal{D}' \text{Arith.pred}(n) \wedge \langle d, x \rangle \in \text{leq}$ 
  by (rule bexE, simp)
  from countable-subst-of-P have
     $\mathcal{D}' \text{Arith.pred}(n) \in \text{Pow}(P)$ 
  using 2 by (blast dest:apply-funtype intro:pred-type)
  then have
     $\mathcal{D}' \text{Arith.pred}(n) \subseteq P$ 
  by (rule PowD)
  then have
     $d \in P \wedge \langle d, x \rangle \in \text{leq} \wedge d \in \mathcal{D}' \text{Arith.pred}(n)$ 
  using 3 by auto
  then show ?thesis using 1 and 2 by auto
qed

```

**theorem** *rasiowa-sikorski*:

$$p \in P \implies \exists G. p \in G \wedge D\text{-generic}(G)$$

**proof** –

**assume**

$$Eq1: p \in P$$

**let**

$$?S = (\lambda m \in \text{nat}. \{ \langle x, y \rangle \in P * P. \langle y, x \rangle \in \text{leq} \wedge y \in \mathcal{D}'(\text{pred}(m)) \})$$

**from** *RS-relation* **have**

$$\forall x \in P. \forall n \in \text{nat}. \exists y \in P. \langle x, y \rangle \in ?S' n$$

**by** (*auto*)

**with** *sequence-DC* **have**

$$\forall a \in P. (\exists f \in \text{nat} \rightarrow P. f' 0 = a \wedge (\forall n \in \text{nat}. \langle f' n, f' \text{succ}(n) \rangle \in ?S' \text{succ}(n)))$$

**by** (*blast*)

**then obtain** *f* **where**

$$Eq2: f : \text{nat} \rightarrow P$$

**and**

$$Eq3: f' 0 = p \wedge$$

$$(\forall n \in \text{nat}.$$

$$f' n \in P \wedge f' \text{succ}(n) \in P \wedge \langle f' \text{succ}(n), f' n \rangle \in \text{leq} \wedge$$

$$f' \text{succ}(n) \in \mathcal{D}' n)$$

**using** *Eq1* **by** (*auto*)

**then have**

$$Eq4: f' \text{nat} \subseteq P$$

**by** (*simp add: subset-fun-image*)

**with** *leq-preord* **have**

$$Eq5: \text{refl}(f' \text{nat}, \text{leq}) \wedge \text{trans}[P](\text{leq})$$

**unfolding** *preorder-on-def* **by** (*blast intro: refl-monot-domain*)

**from** *Eq3* **have**

$$\forall n \in \text{nat}. \langle f' \text{succ}(n), f' n \rangle \in \text{leq}$$

**by** (*simp*)

**with** *Eq2* **and** *Eq5* **and** *leq-preord* **and** *decr-seq-linear* **have**

$$Eq6: \text{linear}(f' \text{nat}, \text{leq})$$

**unfolding** *preorder-on-def* **by** (*blast*)

**with** *Eq5* **and** *chain-compat* **have**

$$(\forall p \in f' \text{nat}. \forall q \in f' \text{nat}. \text{compat-in}(f' \text{nat}, \text{leq}, p, q))$$

**by** (*auto*)

**then have**

$$\text{fil}: \text{filter}(\text{upclosure}(f' \text{nat}))$$

(**is** *filter*(*?G*))

**using** *closure-compat-filter* **and** *Eq4* **by** *simp*

**have**

$$\text{gen}: \forall n \in \text{nat}. \mathcal{D}' n \cap ?G \neq 0$$

**proof**

**fix** *n*

**assume**

$$n \in \text{nat}$$

**with** *Eq2* **and** *Eq3* **have**

$$f' \text{succ}(n) \in ?G \wedge f' \text{succ}(n) \in \mathcal{D}' n$$



```

    using aux-RS1 by simp
  then show
     $\mathcal{D}'n \cap ?G \neq 0$ 
  by blast
qed
from Eq3 and Eq2 have
   $p \in ?G$ 
  using aux-RS1 by auto
with gen and fil show ?thesis
  unfolding D-generic-def by auto
qed

end

end

theory Forcing-data imports Forcing-notions begin

lemma lam-codomain:  $\forall n \in N. (\lambda x \in N. b(x))'n \in B \implies (\lambda x \in N. b(x)) : N \rightarrow B$ 
  apply (rule fun-weaken-type)
  apply (subgoal-tac  $(\lambda x \in N. b(x)) : N \rightarrow \{b(x).x \in N\}$ , assumption)
  apply (auto simp add: lam-funtype)
  done

locale forcing-data = forcing-notion +
  fixes M enum
  assumes M-countable:  $enum \in \text{bij}(nat, M)$ 
    and P-in-M:  $P \in M$ 
    and leq-in-M:  $leq \in M$ 
    and trans-M:  $\text{Transset}(M)$ 

begin
definition
  M-generic ::  $i \Rightarrow o$  where
  M-generic(G) ==  $\text{filter}(G) \wedge (\forall D \in M. D \subseteq P \wedge \text{dense}(D) \longrightarrow D \cap G \neq 0)$ 

declare iff-trans [trans]

lemma generic-filter-existence:
   $p \in P \implies \exists G. p \in G \wedge M\text{-generic}(G)$ 
proof -
  assume
    Eq1:  $p \in P$ 
  let
     $?D = \lambda n \in nat. (\text{if } (enum'n \subseteq P \wedge \text{dense}(enum'n)) \text{ then } enum'n \text{ else } P)$ 
  have
    Eq2:  $\forall n \in nat. ?D'n \in \text{Pow}(P)$ 
  by auto
  then have

```

```

    Eq3: ?D:nat→Pow(P)
  by (rule lam-codomain)
have
  Eq4: ∀ n∈nat. dense(?D'n)
proof
  show
    dense(?D'n)
  if Eq5: n∈nat    for n
  proof -
    have
      dense(?D'n)
      ↔ dense(if enum ' n ⊆ P ∧ dense(enum ' n) then enum ' n else P)
    using Eq5 by simp
    also have
      ... ↔ (¬(enum ' n ⊆ P ∧ dense(enum ' n)) → dense(P))
    using split-if by simp
    finally show ?thesis
    using P-dense and Eq5 by auto
  qed
qed
from Eq3 and Eq4 interpret
  cg: countable-generic P leq one ?D
  by (unfold-locales, auto)
from cg.rasiowa-sikorski and Eq1 obtain G where
  Eq6: p∈G ∧ filter(G) ∧ (∀ n∈nat.(?D'n)∩G≠0)
  unfolding cg.D-generic-def by blast
then have
  Eq7: (∀ D∈M. D⊆P ∧ dense(D)→D∩G≠0)
proof (intro ballI impI)
  show
    D ∩ G ≠ 0
  if Eq8: D∈M and
    Eq9: D ⊆ P ∧ dense(D) for D
  proof -
    from M-countable and bij-is-surj have
      ∀ y∈M. ∃ x∈nat. enum'x = y
    unfolding surj-def by (simp)
    with Eq8 obtain n where
      Eq10: n∈nat ∧ enum'n = D
    by auto
    with Eq9 and if-P have
      Eq11: ?D'n = D
    by (simp)
    with Eq6 and Eq10 show
      D∩G≠0
    by auto
  qed
with Eq6 have
  Eq12: ∃ G. filter(G) ∧ (∀ D∈M. D⊆P ∧ dense(D)→D∩G≠0)

```

```

    by auto
  qed
  with Eq6 show ?thesis
    unfolding M-generic-def by auto
  qed
end

end

```

**theory** *Names* **imports** *Forcing-data* **begin**

**lemma** *transD* :  $\text{Transset}(M) \implies y \in M \implies y \subseteq M$   
**by** (*unfold Transset-def, blast*)

**definition**

*SepReplace* ::  $[i, i \Rightarrow i, i \Rightarrow o] \Rightarrow i$  **where**  
*SepReplace*( $A, b, Q$ ) ==  $\{y . x \in A, y = b(x) \wedge Q(x)\}$

**syntax**

*-SepReplace* ::  $[i, ptrn, i, o] \Rightarrow i$  ( $(I\{- \dots / - \in -, -\})$ )

**translations**

$\{b .. x \in A, Q\} \Rightarrow \text{CONST } \text{SepReplace}(A, \lambda x. b, \lambda x. Q)$

**lemma** *Sep-and-Replace*:  $\{b(x) .. x \in A, P(x)\} = \{b(x) . x \in \{y \in A. P(y)\}\}$   
**by** (*auto simp add:SepReplace-def*)

**lemma** *SepReplace-subset* :  $A \subseteq A' \implies \{b .. x \in A, Q\} \subseteq \{b .. x \in A', Q\}$   
**by** (*auto simp add:SepReplace-def*)

**lemma** *SepReplace-dom-implies* :

$\forall x \in A. b(x) = b'(x) \implies \{b(x) .. x \in A, Q(x)\} = \{b'(x) .. x \in A, Q(x)\}$   
**by** (*simp add:SepReplace-def*)

**lemma** *SepReplace-pred-implies* :

$\forall x. Q(x) \longrightarrow b(x) = b'(x) \implies \{b(x) .. x \in A, Q(x)\} = \{b'(x) .. x \in A, Q(x)\}$   
**by** (*force simp add:SepReplace-def*)

The well founded relation on which *val* is defined

**definition**

*ed* ::  $[i, i] \Rightarrow o$  **where**  
*ed*( $x, y$ ) ==  $x \in \text{domain}(y)$

**definition**

*edrel* ::  $i \Rightarrow i$  **where**  
*edrel*( $A$ ) ==  $\{\langle x, y \rangle \in A * A . x \in \text{domain}(y)\}$

**lemma** *edrelI* [*intro!*]:  $x \in A \implies y \in A \implies x \in \text{domain}(y) \implies \langle x, y \rangle \in \text{edrel}(A)$

by (simp add:edrel-def)

**lemma** edrelD [dest] :  $\langle x,y \rangle \in \text{edrel}(A) \implies x \in \text{domain}(y)$   
by (simp add:edrel-def)

**lemma** edrel-trans:  $\text{Transset}(A) \implies y \in A \implies x \in \text{domain}(y) \implies \langle x,y \rangle \in \text{edrel}(A)$   
by (rule edrelI, auto simp add:Transset-def domain-def Pair-def)

**lemma** edrel-trans-iff:  $\text{Transset}(A) \implies y \in A \implies x \in \text{domain}(y) \longleftrightarrow \langle x,y \rangle \in \text{edrel}(A)$   
by (auto simp add: edrel-trans, auto simp add:Transset-def Pair-def)

**lemma** edrel-domain:  $x \in M \implies \text{edrel}(\text{eclose}(M)) -\{x\} = \text{domain}(x)$   
**apply** (rule equalityI, auto, subgoal-tac Transset(eclose(M)), rule vimageI)  
**apply** (auto simp add: edrelI Transset-eclose)  
**apply** (rename-tac y z)  
**apply** (rule-tac  $A=\{y\}$  in ecloseD)  
**apply** (rule-tac  $A=\langle y, z \rangle$  in ecloseD)  
**apply** (rule-tac  $A=x$  in ecloseD)  
**apply** (tactic  $\ll$  distinct-subgoals-tac  $\gg$ )  
**apply** (auto simp add: Pair-def arg-into-eclose)  
**done**

**lemma** domain-trans:  $\text{Transset}(A) \implies y \in A \implies x \in \text{domain}(y) \implies x \in A$   
by (auto simp add: Transset-def domain-def Pair-def)

**lemma** edrel-sub-memrel:  $\text{edrel}(A) \subseteq \text{trancl}(\text{Memrel}(\text{eclose}(A)))$   
**proof**  
**let**  
 $?r = \text{trancl}(\text{Memrel}(\text{eclose}(A)))$   
**fix** z  
**assume**  
 $z \in \text{edrel}(A)$   
**then obtain** x y **where**  
 $x \in A \ y \in A \ z = \langle x,y \rangle \ x \in \text{domain}(y)$   
**unfolding** edrel-def **by** (auto)  
**then obtain** u v **where**  
 $Eq1: \ x \in u \ u \in v \ v \in y$   
**unfolding** domain-def Pair-def **by** auto  
**with**  $\langle x \in A \rangle \langle y \in A \rangle$  **have**  
 $x \in \text{eclose}(A) \ y \in \text{eclose}(A)$   
**using** arg-into-eclose **by** auto  
**moreover with**  $\langle v \in y \rangle$  **have**  
 $v \in \text{eclose}(A)$   
**using** ecloseD **by** simp  
**moreover with**  $\langle u \in v \rangle$  **have**  
 $u \in \text{eclose}(A)$   
**using** ecloseD arg-into-eclose **by** simp  
**ultimately have**  
 $\langle x,u \rangle \in ?r \ \langle u,v \rangle \in ?r \ \langle v,y \rangle \in ?r$

**using** *Eq1 r-into-trancl* **by** *auto*  
**then have**  
 $\langle x, y \rangle \in ?r$   
**by** (*rule-tac trancl-trans; simp*)+  
**with**  $\langle z = \langle x, y \rangle \rangle$  **show**  
 $z \in ?r$  **by** *simp*  
**qed**

**lemma** *wf-edrel* :  $wf(edrel(A))$   
**apply** (*rule-tac wf-subset [of trancl(Memrel(eclose(A)))]*)  
**apply** (*auto simp add:edrel-sub-memrel wf-trancl wf-Memrel*)  
**done**

**lemma** *eq-sub-trans* :  $x=y \implies y \subseteq z \implies x \subseteq z$   
 $x \subseteq y \implies y=z \implies x \subseteq z$   
**by** *simp-all*

**lemma** *SepReplace-iff* [*simp*]:  $y \in \{b(x) \mid x \in A, P(x)\} \iff (\exists x \in A. y = b(x) \ \& \ P(x))$   
**by** (*auto simp add:SepReplace-def*)

**context** *forcing-data*  
**begin**

**definition**  
 $Hcheck :: [i, i] \Rightarrow i$  **where**  
 $Hcheck(z, f) == \{ \langle f^i y, one \rangle \mid y \in z \}$

**definition**  
 $check :: i \Rightarrow i$  **where**  
 $check(x) == wfrec(Memrel(eclose(\{x\})), x, Hcheck)$

**lemma** *aux-def-check*:  
 $(\lambda x \in y. wfrec(Memrel(eclose(\{y\})), x, Hcheck)) =$   
 $(\lambda x \in y. wfrec(Memrel(eclose(\{x\})), x, Hcheck))$   
**apply** (*rule lam-cong*)  
**defer 1 apply** (*rule wfrec-eclose-eq*)  
**defer 1 apply** (*rule ecloseD, auto simp add: arg-into-eclose*)  
**done**

**lemma** *def-check* :  $check(y) = \{ \langle check(w), one \rangle \mid w \in y \}$

**proof** –  
**let**  
 $?r = \lambda y. Memrel(eclose(\{y\}))$   
**from** *wf-Memrel* **have**  
 $wfr: \forall w. wf(?r(w)) \dots$   
**with** *wfrec [of ?r(y) y Hcheck]* **have**

$check(y) =$   
 $Hcheck(y, \lambda x \in ?r(y) - \{y\}. wfrec(?r(y), x, Hcheck))$   
**by** (*simp add:check-def*)  
**also have**  
 $\dots = Hcheck(y, \lambda x \in y. wfrec(?r(y), x, Hcheck))$   
**by** (*simp add:under-Memrel-eclose arg-into-eclose*)  
**also have**  
 $\dots = Hcheck(y, \lambda x \in y. check(x))$   
**using** *aux-def-check* **by** (*simp add:check-def*)  
**finally show** *?thesis* **by** (*simp add:Hcheck-def*)  
**qed**

**definition**

$Hv :: i \Rightarrow i \Rightarrow i$  **where**  
 $Hv(G, x, f) == \{ f^y .. y \in domain(x), \exists p \in P. \langle y, p \rangle \in x \wedge p \in G \}$

**definition**

$val :: i \Rightarrow i \Rightarrow i$  **where**  
 $val(G, \tau) == wfrec(edrel(eclose(M)), \tau, Hv(G))$

**definition**

$GenExt :: i \Rightarrow i$  ( $M[-]$ )  
**where**  $GenExt(G) == \{ val(G, \tau). \tau \in M \}$

**lemma** *def-val*:  $x \in M \implies val(G, x) = \{ val(G, t) .. t \in domain(x), \exists p \in P. \langle t, p \rangle \in x \wedge p \in G \}$

**proof** –

**assume**

*asm*:  $x \in M$

**let**

$?r = edrel(eclose(M))$

**let**

$?f = \lambda z \in ?r - \{x\}. wfrec(?r, z, Hv(G))$

**have**

$\forall \tau. wf(?r)$

**by** (*simp add:wf-edrel*)

**with** *wfrec [of ?r x Hv(G)]* **have**

$val(G, x) = Hv(G, x, ?f)$

**by** (*simp add:val-def*)

**also have**

$\dots = Hv(G, x, \lambda z \in domain(x). wfrec(?r, z, Hv(G)))$

**using** *asm and edrel-domain* **by** (*simp*)

**also have**

$\dots = Hv(G, x, \lambda z \in domain(x). val(G, z))$

**by** (*simp add:val-def*)

**finally show** *?thesis* **by** (*simp add:Hv-def SepReplace-def*)

**qed**

**lemma** *elem-of-val*:  $\llbracket x \in val(G, \pi) ; \pi \in M \rrbracket \implies \exists \vartheta \in domain(\pi). val(G, \vartheta) = x$

by (subst (asm) def-val,auto)

**lemma** *elem-of-val-pair*:  $\llbracket x \in \text{val}(G,\pi) ; \pi \in M \rrbracket \implies \exists \vartheta. \exists p \in G. \langle \vartheta, p \rangle \in \pi \wedge \text{val}(G,\vartheta) = x$   
 by (subst (asm) def-val,auto)

**lemma** *GenExtD*:  
 $x \in M[G] \implies \exists \tau \in M. x = \text{val}(G,\tau)$   
 by (simp add: GenExt-def)

**lemma** *GenExtI*:  
 $x \in M \implies \text{val}(G,x) \in M[G]$   
 by (auto simp add: GenExt-def)

**lemma** *val-of-name* :  
 $\{x \in A \times P. Q(x)\} \in M \implies \text{val}(G, \{x \in A \times P. Q(x)\}) = \{\text{val}(G,t) .. t \in A, \exists p \in P. Q(\langle t, p \rangle) \wedge p \in G\}$

**proof** –

let

$?n = \{x \in A \times P. Q(x)\}$  and  
 $?r = \text{edrel}(\text{eclose}(M))$

assume

*asm*:  $?n \in M$

let

$?f = \lambda z \in ?r. \text{“}\{?n\}. \text{val}(G,z)$

have

$\forall \tau. \text{wf} (?r)$

by (simp add: wf-edrel)

with *val-def* have

$\text{val}(G, ?n) = \text{Hv}(G, ?n, ?f)$

by (rule-tac def-wfrec [of - ?r Hv(G)], simp-all)

also have

$\dots = \{?f \circ t .. t \in \text{domain}(?n), \exists p \in P. \langle t, p \rangle \in ?n \wedge p \in G\}$

unfolding *Hv-def* by *simp*

also have

$\dots = \{(\text{if } t \in ?r \text{ “}\{?n\} \text{ then } \text{val}(G,t) \text{ else } 0) .. t \in \text{domain}(?n), \exists p \in P. \langle t, p \rangle \in ?n \wedge p \in G\}$

by (*simp*)

also have

*Eq1*:  $\dots = \{\text{val}(G,t) .. t \in \text{domain}(?n), \exists p \in P. \langle t, p \rangle \in ?n \wedge p \in G\}$

**proof** –

from *edrel-domain* and *asm* have

$\text{domain}(?n) \subseteq ?r \text{ “}\{?n\}$

by *simp*

then have

$\forall t \in \text{domain}(?n). (\text{if } t \in ?r \text{ “}\{?n\} \text{ then } \text{val}(G,t) \text{ else } 0) = \text{val}(G,t)$

by *auto*

then show

$\{(\text{if } t \in ?r \text{ “}\{?n\} \text{ then } \text{val}(G,t) \text{ else } 0) .. t \in \text{domain}(?n), \exists p \in P. \dots$

$\langle t, p \rangle \in ?n \wedge p \in G \} =$   
 $\{ \text{val}(G, t) .. t \in \text{domain}(?n), \exists p \in P . \langle t, p \rangle \in ?n \wedge p \in G \}$   
**by auto**  
**qed**  
**also have**  
 $... = \{ \text{val}(G, t) .. t \in A, \exists p \in P . \langle t, p \rangle \in ?n \wedge p \in G \}$   
**by force**  
**finally show**  
 $\text{val}(G, ?n) = \{ \text{val}(G, t) .. t \in A, \exists p \in P . Q(\langle t, p \rangle) \wedge p \in G \}$   
**by auto**  
**qed**

**lemma** *valcheck* :  $y \in M \implies \text{one} \in G \implies \forall x \in M. \text{check}(x) \in M \implies \text{val}(G, \text{check}(y))$   
 $= y$

**proof** (*induct rule:eps-induct*)

**case** (1 *y*)

**then show** ?*case*

**proof** –

**from** *def-check* **have**

*Eq1*:  $\text{check}(y) = \{ \langle \text{check}(w), \text{one} \rangle . w \in y \}$  (**is** - = ?*C*) .

**with** 1 **have**

*Eq2*: ?*C* ∈ *M*

**by auto**

**with** 1 *transD subsetD trans-M* **have**

*w-in-M* :  $\forall w \in y . w \in M$  **by force**

**from** *Eq1* **have**

$\text{val}(G, \text{check}(y)) = \text{val}(G, \{ \langle \text{check}(w), \text{one} \rangle . w \in y \})$

**by simp**

**also have**

$... = \{ \text{val}(G, t) .. t \in \text{domain}(?C) , \exists p \in P . \langle t, p \rangle \in ?C \wedge p \in G \}$

**using** *def-val* **and** *Eq2* **by simp**

**also have**

$... = \{ \text{val}(G, t) .. t \in \text{domain}(?C) , \exists w \in y. t = \text{check}(w) \}$

**using** 1 **and** *one-in-P* **by simp**

**also have**

$... = \{ \text{val}(G, \text{check}(w)) . w \in y \}$

**by force**

**also have**

$... = y$

**using** 1 **and** *w-in-M* **by simp**

**finally show**  $\text{val}(G, \text{check}(y)) = y$

**using** 1 **by simp**

**qed**

**qed**

**lemma** *trans-Gen-Ext'* :

**assumes**  $vc \in M[G]$

$y \in vc$



**shows**  
 $y \in M[G]$   
**proof** –  
**from**  $\langle vc \in M[G] \rangle$  **have**  
 $\exists c \in M. vc = val(G, c)$   
**by** (*blast dest: GenExtD*)  
**then obtain**  $c$  **where**  
 $c \in M \ vc = val(G, c)$  **by** *auto*  
**with**  $\langle vc \in M[G] \rangle$  **have**  
 $val(G, c) \in M[G]$  **by** *simp*  
**from**  $\langle y \in vc \rangle$  **and**  $\langle vc = val(G, c) \rangle$  **have**  
 $y \in val(G, c)$  **by** *simp*  
**with**  $\langle c \in M \rangle$  **and** *elem-of-val* **have**  
 $\exists \vartheta \in domain(c). val(G, \vartheta) = y$  **by** *simp*  
**then obtain**  $\vartheta$  **where**  
 $\vartheta \in domain(c) \ val(G, \vartheta) = y$  **by** *auto*  
**from**  $\langle \vartheta \in domain(c) \rangle$  *trans-M*  $\langle c \in M \rangle$  *domain-trans* **have**  
 $\vartheta \in M$  **by** *simp*  
**then have**  
 $val(G, \vartheta) \in M[G]$   
**by** (*blast intro: GenExtI*)  
**with**  $\langle val(G, \vartheta) = y \rangle$  **show** *?thesis* **by** *simp*  
**qed**

**lemma** *trans-Gen-Ext*:  
 $Transset(M[G])$   
**by** (*auto simp add: Transset-def trans-Gen-Ext'*)

**lemma** *val-of-elem*:  
**assumes**  
 $\langle \vartheta, p \rangle \in \pi \ \pi \in M \ p \in G \ p \in P$   
**shows**  
 $val(G, \vartheta) \in val(G, \pi)$   
**proof** –  
**from**  $\langle \pi \in M \rangle$  **have**  
 $1: val(G, \pi) = \{ val(G, t) \ .. \ t \in domain(\pi) \ , \ \exists p \in P . \ \langle t, p \rangle \in \pi \ \wedge \ p \in G \}$   
**using** *def-val* **by** *simp*  
**from**  $\langle \langle \vartheta, p \rangle \in \pi \rangle$  **have**  $\vartheta \in domain(\pi)$  **by** *auto*  
**with**  $\langle p \in G \rangle \ \langle p \in P \rangle \ \langle \vartheta \in domain(\pi) \rangle \ \langle \langle \vartheta, p \rangle \in \pi \rangle$  **have**  
 $val(G, \vartheta) \in \{ val(G, t) \ .. \ t \in domain(\pi) \ , \ \exists p \in P . \ \langle t, p \rangle \in \pi \ \wedge \ p \in G \}$   
**by** *auto*  
**with**  $1$  **show** *?thesis* **by** *simp*  
**qed**

**end**

**definition**  
 $upair :: [i \Rightarrow o, i, i, i] \Rightarrow o$  **where**

$upair(M, a, b, z) == a \in z \ \& \ b \in z \ \& \ (\forall x[M]. x \in z \longrightarrow x = a \mid x = b)$

**definition**

$upair-ax :: (i=>o) => o$  **where**  
 $upair-ax(M) == \forall x[M]. \forall y[M]. \exists z[M]. upair(M, x, y, z)$

**definition**

$univalent :: [i=>o, i, [i,i]=>o] => o$  **where**  
 $univalent(M, A, P) ==$   
 $\forall x[M]. x \in A \longrightarrow (\forall y[M]. \forall z[M]. P(x, y) \ \& \ P(x, z) \longrightarrow y = z)$

**definition**

$strong-replacement :: [i=>o, [i,i]=>o] => o$  **where**  
 $strong-replacement(M, P) ==$   
 $\forall A[M]. univalent(M, A, P) \longrightarrow$   
 $(\exists Y[M]. \forall b[M]. b \in Y \longleftrightarrow (\exists x[M]. x \in A \ \& \ P(x, b)))$

**lemma** *univalent-triv* [*intro, simp*]:

$univalent(M, A, \lambda x y. y = f(x))$

**by** (*simp add: univalent-def*)

**locale** *M-extra-assms* = *forcing-data* +

**assumes**

$check-in-M : \bigwedge x. x \in M \Longrightarrow check(x) \in M$

**and** *upair-ax*:  $upair-ax(\#\#M)$

**and** *repl-check-pair* :  $strong-replacement(\#\#M, \lambda p y. y = \langle check(p), p \rangle)$

**begin**

**lemma** *Transset-intf* :

$Transset(M) \Longrightarrow y \in x \Longrightarrow x \in M \Longrightarrow y \in M$

**by** (*simp add: Transset-def, auto*)

**lemma** *upair-abs* [*simp*]:

$z \in M ==> upair(\#\#M, a, b, z) \longleftrightarrow z = \{a, b\}$

**apply** (*simp add: upair-def*)

**apply** (*insert trans-M*)

**apply** (*blast intro: Transset-intf*)

**done**

**lemma** *upairM* :  $x \in M \Longrightarrow y \in M \Longrightarrow \{x, y\} \in M$

**by** (*insert upair-ax, auto simp add: upair-ax-def*)

**lemma** *pairM* :  $x \in M \Longrightarrow y \in M \Longrightarrow \langle x, y \rangle \in M$

**by** (*unfold Pair-def, rule upairM, (rule upairM, simp+)+*)

**lemma** *univalent-Replace-iff*:  

$$\llbracket A \in M; \text{univalent}(\#\#M, A, Q);$$

$$\llbracket \forall x y. \llbracket x \in A; Q(x, y) \rrbracket \implies y \in M \rrbracket$$

$$\implies u \in \text{Replace}(A, Q) \iff (\exists x. x \in A \ \& \ Q(x, u))$$
**apply** (*simp add: Replace-iff univalent-def*)  
**apply** (*insert trans-M*)  
**apply** (*blast dest: Transset-intf*)  
**done**

**lemma** *strong-replacement-closed* [*intro, simp*]:  

$$\llbracket \text{strong-replacement}(\#\#M, Q); A \in M; \text{univalent}(\#\#M, A, Q);$$

$$\llbracket \forall x y. \llbracket x \in A; Q(x, y) \rrbracket \implies y \in M \rrbracket \implies (\text{Replace}(A, Q) \in M)$$
**apply** (*simp add: strong-replacement-def*)  
**apply** (*drule-tac x=A in bspec, safe*)  
**apply** (*subgoal-tac Replace(A, Q) = Y*)  
**apply** *simp*  
**apply** (*rule equality-iffI*)  
**apply** (*simp add: univalent-Replace-iff*)  
**apply** (*insert trans-M*)  
**apply** (*blast dest: Transset-intf*)  
**done**

**lemma** *P-sub-M* :  $P \subseteq M$   
**by** (*simp add: P-in-M trans-M transD*)

**definition**  
 $G\text{-dot} :: i \text{ where}$   
 $G\text{-dot} == \{ \langle \text{check}(p), p \rangle . p \in P \}$

**lemma** *G-dot-in-M* :  
 $G\text{-dot} \in M$   
**proof** –  
**have**  $0: G\text{-dot} = \{ y . p \in P, y = \langle \text{check}(p), p \rangle \}$   
**unfolding** *G-dot-def* **by** *auto*  
**from** *P-in-M check-in-M pairM P-sub-M* **have**  $\bigwedge p . p \in P \implies \langle \text{check}(p), p \rangle \in M$   
**by** *auto*  
**then have**  
 $1: \bigwedge x y. \llbracket x \in P; y = \langle \text{check}(x), x \rangle \rrbracket \implies y \in M$   
**by** *simp*  
**then have**  
 $\forall A \in M. (\exists Y \in M. \forall b \in M. b \in Y \iff (\exists x \in M. x \in A \ \& \ b = \langle \text{check}(x), x \rangle))$   
**using** *repl-check-pair unfolding strong-replacement-def* **by** *simp*  
**then have**  
 $(\exists Y \in M. \forall b \in M. b \in Y \iff (\exists x \in M. x \in P \ \& \ b = \langle \text{check}(x), x \rangle))$   
**using** *P-in-M* **by** *simp*  
**with** *1 repl-check-pair P-in-M strong-replacement-closed* **have**

```

    {  $y . p \in P$  ,  $y = \langle \text{check}(p), p \rangle$  }  $\in M$  by simp
  then show ?thesis using 0 by simp
qed

lemma val-G-dot :
  assumes  $G \subseteq P$ 
    one  $\in G$ 
  shows  $\text{val}(G, G\text{-dot}) = G$ 
proof (intro equalityI subsetI)
  fix  $x$ 
  assume  $x \in \text{val}(G, G\text{-dot})$ 
  then have
     $\exists \vartheta . \exists p \in G . \langle \vartheta, p \rangle \in G\text{-dot} \wedge \text{val}(G, \vartheta) = x$ 
    using G-dot-in-M elem-of-val-pair by simp
  then obtain  $r$   $p$  where
     $p \in G \langle r, p \rangle \in G\text{-dot} \text{val}(G, r) = x$ 
    by auto
  then have
     $r = \text{check}(p)$ 
    unfolding G-dot-def by simp
  with  $\langle \text{one} \in G \rangle \langle G \subseteq P \rangle \langle p \in G \rangle \langle \text{val}(G, r) = x \rangle$  show
     $x \in G$ 
    using valcheck P-sub-M check-in-M by auto
next
  fix  $p$ 
  assume  $p \in G$ 
  have  $\forall q \in P . \langle \text{check}(q), q \rangle \in G\text{-dot}$ 
    unfolding G-dot-def by simp
  with  $\langle p \in G \rangle \langle G \subseteq P \rangle$  have
     $\text{val}(G, \text{check}(p)) \in \text{val}(G, G\text{-dot})$ 
    using val-of-elem G-dot-in-M by blast
  with  $\langle p \in G \rangle \langle G \subseteq P \rangle \langle \text{one} \in G \rangle$  show
     $p \in \text{val}(G, G\text{-dot})$ 
    using P-sub-M check-in-M valcheck by auto
qed

lemma G-in-Gen-Ext :
  assumes  $G \subseteq P$ 
    one  $\in G$ 
  shows  $G \in M[G]$ 
proof -
  from G-dot-in-M have
     $\text{val}(G, G\text{-dot}) \in M[G]$ 
    by (auto intro: GenExtI)
  with assms val-G-dot
  show ?thesis by simp
qed

```

end

end

**theory** *Gen-ext-pair* **imports** *Names Forcing-data* **begin**

**context** *M-extra-assms*

**begin**

**lemma** *one-in-M* :  $one \in M$

**by** (*insert trans-M,insert one-in-P,insert P-in-M,rule Transset-intf*)

**lemma** *pairs-in-M* :

$\llbracket a \in M ; b \in M ; c \in M ; d \in M \rrbracket \implies \{\langle a,c \rangle, \langle b,d \rangle\} \in M$

**by** (*unfold Pair-def, ((rule upairM)+,assumption+)+*)

**lemma** *sigma-in-M* :

$one \in G \implies \tau \in M \implies \varrho \in M \implies \{\langle \tau, one \rangle, \langle \varrho, one \rangle\} \in M$

**by** (*rule pairs-in-M,simp-all add: upair-ax-def one-in-M*)

**lemma** *valsigma* :

$one \in G \implies \{\langle \tau, one \rangle, \langle \varrho, one \rangle\} \in M \implies$

$val(G, \{\langle \tau, one \rangle, \langle \varrho, one \rangle\}) = \{val(G, \tau), val(G, \varrho)\}$

**apply** (*insert one-in-P*)

**apply** (*rule trans*)

**apply** (*rule def-val,assumption*)

**apply** (*auto simp add: Sep-and-Replace*)

**done**

**lemma** *pairing-axiom* :

$one \in G \implies upair-ax(\#\#M[G])$

**apply** (*simp add: upair-ax-def*)

**apply** (*rule ballI*)**+**

**apply** (*drule GenExtD*)**+**

**apply** (*rule bexE,assumption*)

**apply** (*rule-tac A=M and P= $\lambda w. y=val(G,w)$  in bexE,assumption*)

**apply** (*rename-tac x y  $\tau$   $\varrho$* )

**apply** (*rule-tac  $x=val(G, \{\langle \tau, one \rangle, \langle \varrho, one \rangle\})$  in bexI*)

**apply** (*subst valsigma,assumption+*)

**defer 1 apply** (*simp add: upair-def*)

**apply** (*rule GenExtI*)

**apply** (*insert sigma-in-M,simp-all add: upair-ax-def*)

**done**

end

end