# A Tableaux Calculus for Default Intuitionistic Logic

Valentin Cassano<sup>1</sup>, <u>Raul Fervari<sup>1</sup></u>, Guillaume Hoffmann<sup>1</sup>, Carlos Areces<sup>1</sup> and Pablo F. Castro<sup>2</sup>

FAMAF-UNC & CONICET, Argentina
 UNRC & CONICET, Argentina

The 27th International Conference on Automated Deduction (CADE), Natal, Brazil, August 2019



A. Heyting.

Die intuitionistische Grundlegung der Mathematik. Erkenntnis 2, 106-115 (1931).



A. Heyting.Die intuitionistische Grundlegung derMathematik. Erkenntnis 2, 106-115 (1931).

Constructive reasoning:



A. Heyting.
Die intuitionistische Grundlegung der
Mathematik. Erkenntnis 2, 106-115 (1931).

Constructive reasoning:

 $\varphi \lor \neg \varphi$  (excluded middle)



A. Heyting.
Die intuitionistische Grundlegung der Mathematik. Erkenntnis 2, 106-115 (1931).

Constructive reasoning:

$$\varphi \lor \neg \varphi$$
 (excluded middle)



A. Heyting.
Die intuitionistische Grundlegung der Mathematik. Erkenntnis 2, 106-115 (1931).

Constructive reasoning:

 $\begin{array}{l} \varphi \lor \neg \varphi \quad (\text{excluded middle}) \\ \neg \neg \varphi \supset \varphi \quad (\neg \neg \text{ elim.}) \\ \hline (\neg \varphi \supset \neg \psi) \supset (\psi \supset \varphi) \quad (\text{contrapositive}) \\ \hline ((\varphi \supset \chi) \supset \varphi) \supset \varphi \quad (\text{Peirce's Law}) \end{array}$ 



R. Reiter.

**A Logic for Default Reasoning.** Al 13(1-2): 81-132 (1980).



R. Reiter.

**A Logic for Default Reasoning.** *AI* 13(1-2): 81-132 (1980).

Formally represent non-monotonic reasoning:

```
if \Phi \vdash \varphi then \Phi \cup \Psi \vdash \varphi (monotonicity)
```



R. Reiter.

**A Logic for Default Reasoning.** *AI* 13(1-2): 81-132 (1980).

Formally represent non-monotonic reasoning:

$$-if \Phi \vdash \varphi \text{ then } \Phi \cup \Psi \vdash \varphi \quad (\text{monotonicity})$$



R. Reiter.

**A Logic for Default Reasoning.** *AI* 13(1-2): 81-132 (1980).

Formally represent non-monotonic reasoning:

 $-if \Phi \vdash \varphi \text{ then } \Phi \cup \Psi \vdash \varphi \quad (\text{monotonicity})$ 

Applications:

common sense reasoning – knowledge representation- software engineering- computer science – legal reasoning – planning

I/II

### Consider a trial: the possible verdicts are guilty or not guilty.

Consider a trial: the possible verdicts are guilty or not guilty.

• Verdict of guilty g: prosecution presents evidence with the "beyond reasonable doubt" standard of proof.

Consider a trial: the possible verdicts are guilty or not guilty.

- Verdict of guilty g: prosecution presents evidence with the *"beyond reasonable doubt"* standard of proof.
- Verdict of not guilty  $\neg g$ : the defense manages to pinpoint *contradiction* in the evidences.

Consider a trial: the possible verdicts are guilty or not guilty.

- Verdict of guilty g: prosecution presents evidence with the *"beyond reasonable doubt"* standard of proof.
- Verdict of not guilty <u>g</u>: the defense manages to pinpoint *contradiction* in the evidences.
- This behaviour is intuitionistic:  $\boxed{g \lor \neg g}$  is not plainly true.

"A person is considered innocent unless proven guilty".

||/||

"A person is considered innocent unless proven guilty".

 If we only know that a person has been accused of committing a crime a, we must conclude that this person is innocent i:

$$\{a\} \approx i$$

||/||

"A person is considered innocent unless proven guilty".

 If we only know that a person has been accused of committing a crime a, we must conclude that this person is innocent i:

$$\{a\} \approx i$$

If additional information is brought up, e.g., a credible witness
 c, the murder weapon w, the principle ceases to apply:

$$\{a, c, w\} \not\approx i$$

"A person is considered innocent unless proven guilty".

 If we only know that a person has been accused of committing a crime a, we must conclude that this person is innocent i:

$$\{a\} \approx i$$

If additional information is brought up, e.g., a credible witness
 c, the murder weapon w, the principle ceases to apply:

$$\{a, c, w\} \not\approx i$$

• The the PPI behaves non-monotonically.

||/||

Syntax of Intuitionistic Propositional Logic (IPL):

$$\varphi ::= p_i \mid \varphi \land \varphi \mid \varphi \lor \varphi \mid \neg \varphi \mid \varphi \supset \varphi.$$

**Models:** a model is a tuple  $\mathfrak{M} = \langle W, \preccurlyeq, V \rangle$ , where

- W is a set of elements or worlds;
- $\preccurlyeq \subseteq W^2$  is *reflexive* and *transitive*; and
- $V : \operatorname{Prop} \to 2^W$  is s.t. for all  $w \preccurlyeq w'$ , if  $w \in V(p)$ ,  $w' \in V(p)$ .

Semantics of IPL:

$$\begin{split} \mathfrak{M}, w \models \neg \varphi & \text{iff for all } w \preccurlyeq w', \ \mathfrak{M}, w' \not\models \varphi \\ \mathfrak{M}, w \models \varphi \supset \psi & \text{iff for all } w \preccurlyeq w', \text{ if } \mathfrak{M}, w' \models \varphi \text{ then } \mathfrak{M}, w' \models \psi. \end{split}$$

• Φ is a set of formulas of the underlying (monotonic) logic;

- $\Phi$  is a set of formulas of the underlying (monotonic) logic;
- $\Delta$  is a set of defaults  $\pi \stackrel{\rho}{\Rightarrow} \chi$ :

- $\Phi$  is a set of formulas of the underlying (monotonic) logic;
- $\Delta$  is a set of defaults  $\pi \stackrel{\rho}{\Rightarrow} \chi$  :
  - $\pi$  is the prerrequisite of the default;

- $\Phi$  is a set of formulas of the underlying (monotonic) logic;
- $\Delta$  is a set of defaults  $\pi \stackrel{\rho}{\Rightarrow} \chi$  :
  - $\pi$  is the prerrequisite of the default;
  - $\rho$  is the justification; and

- Φ is a set of formulas of the underlying (monotonic) logic;
- $\Delta$  is a set of defaults  $\pi \stackrel{\rho}{\Rightarrow} \chi$  :
  - $\pi$  is the prerrequisite of the default;
  - $\rho$  is the justification; and
  - $\chi$  is the consequent.

- Φ is a set of formulas of the underlying (monotonic) logic;
- $\Delta$  is a set of defaults  $\pi \stackrel{\rho}{\Rightarrow} \chi$ :
  - $\pi$  is the prerrequisite of the default;
  - $\rho$  is the justification; and
  - $\chi$  is the consequent.

Let  $\langle \Phi, \Delta \rangle$  be a default theory, and  $\varphi$  a formula, we have a notion of default consequence:

 $\langle \Phi, \Delta \rangle \approx \varphi$ 

• Φ is a set of formulas of the underlying (monotonic) logic;

• 
$$\Delta$$
 is a set of defaults  $\pi \stackrel{\rho}{\Rightarrow} \chi$  :

- $\pi$  is the prerrequisite of the default;
- $\rho$  is the justification; and
- $\chi$  is the consequent.

Let  $\langle \Phi, \Delta \rangle$  be a default theory, and  $\varphi$  a formula, we have a notion of default consequence:

$$\langle \Phi, \Delta \rangle \approx \varphi$$

In our case,  $\Phi$ ,  $\pi$ ,  $\rho$ ,  $\chi$  and  $\varphi$ , are formulas from intuitionistic logic.

#### Definition.

An extension *E* of a default theory  $\langle \Phi, \Delta \rangle$ , is a set  $E = \text{Conseq}(\Phi \cup \{\chi \mid \pi \stackrel{\rho}{\Rightarrow} \chi \in \Delta'\})$ , where  $\Delta' \subseteq \Delta$ .

### Definition.

An extension *E* of a default theory  $\langle \Phi, \Delta \rangle$ , is a set  $E = \text{Conseq}(\Phi \cup \{\chi \mid \pi \stackrel{\rho}{\Rightarrow} \chi \in \Delta'\})$ , where  $\Delta' \subseteq \Delta$ .

Definition (Default Consequence).

 $\langle \Phi, \Delta \rangle \approx \varphi$ , iff for all extension *E*,  $E \vDash \varphi$ .

### Definition.

An extension *E* of a default theory  $\langle \Phi, \Delta \rangle$ , is a set  $E = \text{Conseq}(\Phi \cup \{\chi \mid \pi \stackrel{\rho}{\Rightarrow} \chi \in \Delta'\})$ , where  $\Delta' \subseteq \Delta$ .

Definition (Default Consequence).

$$\langle \Phi, \Delta \rangle \approx \varphi$$
, iff for all extension *E*,  $E \vDash \varphi$ .

Notice that  $\vDash$  is the underlying consequence (in our case, IPL).

We define a tableaux-based notion of default proof  $\vdash$ , in correspondence with  $\models$ .

The tableaux calculus is an extension of the calculus for IPL.

Tableaux formulas:

- $\mathbf{Q}_i^+ \varphi$  stands for " $\varphi$  holds at world i";
- $\mathbb{Q}_i^- \varphi$  stands for " $\varphi$  does not hold at world i";
- (*i*, *j*) stands for "world *j* is accessible from world *i*"

### Tableaux calculus for IPL

The calculus decides logical consequence, i.e., let  $\Phi$  a set of formulas, and  $\varphi$  a formula, it decides whether  $\Phi \vDash \varphi$ .

$$\begin{array}{cccc} & \underbrace{\overset{0}{\overset{+}{_{i}}}(\varphi \wedge \psi)}{\overset{0}{\overset{+}{_{i}}}} & (\wedge^{+}) & \underbrace{\overset{0}{\overset{-}{_{i}}}(\varphi \wedge \psi)}{\overset{0}{\overset{-}{_{i}}}\varphi & \underbrace{(\wedge^{-})}{\overset{0}{\overset{+}{_{i}}}\varphi & \underbrace{\overset{0}{\overset{+}{_{i}}}(\varphi \vee \psi)}{\overset{0}{\overset{+}{_{i}}}\varphi & \underbrace{(\vee^{+})}{\overset{0}{\overset{-}{_{i}}}\psi} & (\vee^{+}) & \underbrace{\overset{0}{\overset{-}{_{i}}}(\varphi \vee \psi)}{\overset{0}{\overset{-}{_{i}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{-}{_{i}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}{\overset{-}{_{i}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}{\overset{0}{\overset{-}}{_{i}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}{\overset{0}{\overset{0}{\overset{0}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}{\overset{0}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}{\overset{0}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}{\overset{0}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}{\overset{0}}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi & \underbrace{(\vee^{-})}\varphi & \underbrace{(\vee^{-})}{\overset{0}}\varphi &$$

The calculus decides default consequence, i.e., let  $\langle \Phi, \Delta \rangle$  a default theory, and  $\varphi$  a formula, it decides whether  $\langle \Phi, \Delta \rangle \approx \varphi$ .

$\frac{\overline{\delta_1}}{@_0^+ \delta_1^{\mathrm{X}} \dots}$	$\delta_i$ $@^{+}_{0}\delta^X_i$	$\frac{\delta_n}{(0_n^+ \delta_n^{\mathbf{X}})} (D)^{\dagger}$
$ \{ \delta_i \mid i \in [1, n] \} = \{ \delta \in \Delta_{\Theta} \setminus \Delta_B \mid \delta \text{ is detached by } \Delta_B \} $ $ \text{ where } \Delta_B \text{ is the set of defaults in the branch.} $		

#### Theorem.

The calculus is sound, complete, and it terminates (by using loop-checks).

- A prototype implementation in Haskell.
- Given  $\langle \Phi, \Delta \rangle$  and  $\varphi$  as input, DefTab builds proof attempts of  $\langle \Phi, \Delta \rangle \vdash \varphi$  by searching for Kripke models for  $\varphi$ .
- Then it uses sentences from  $\Phi$  and defaults from  $\Delta$ .
- DefTab reports whether or not a default proof has been found.
- In the latter case, DefTab exhibits an extension of  $\langle \Phi, \Delta \rangle$  from which  $\varphi$  does not follow.

# **Empirical evaluation**

- We compare DefTab against intuitionistic provers:
  - intuit: SMT reasoner over MiniSAT;
  - IntHistGC: sequent based prover (with backtracking optimizations);
  - **fCube:** tableaux-based (specialized rules for nested implications).
  - These provers outperform DefTab (but comparable mostly in non-valid formulas).
  - Expected since DefTab does not implement optimizations yet.
- For the default part, we tested with non-trivial intuitionistic formulas, defaults do not block each other.
- Relatively good performance.

- Exhaustive testing (combining complex intuitionistic and default formulas).
- Optimizations:
  - caching
  - nested implications
  - . . .
- Parametric prover on the rules for the underlying logic.